4656

2011-2012 Regular Sessions

IN ASSEMBLY

February 4, 2011

Introduced by M. of A. LANCMAN -- read once and referred to the Committee on Environmental Conservation

AN ACT to amend the environmental conservation law, in relation to enacting the "environmental rights enforcement reform act"

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

Section 1. Short title. This act shall be known and may be cited as the "environmental rights enforcement reform act".

3 S 2. Article 71 of the environmental conservation law is amended by 4 adding a new title 45 to read as follows:

5 TITLE 45 6 PRIVATE ENVIRONMENTAL 7 LAW ENFORCEMENT ACT 8 SECTION 71-4501. ENFORCEMENT BY PRIVATE CITIZENS. 9 71-4503. NOTICE OF ACTION. 10 71-4505. INTERVENTION. 71-4507. APPROVAL OF SETTLEMENTS. 11 12 71-4509. COSTS, FEES AND PENALTIES. 71-4511. APPLICABILITY IN THE ADIRONDACK PARK. 13

71-4513. SAVINGS CLAUSE.

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15 S 71-4501. ENFORCEMENT BY PRIVATE CITIZENS.

1. EXCEPT AS OTHERWISE PROVIDED IN SECTION 71-4503 OF THIS TITLE, ANY 16 17 PERSON WHO HAS SUFFERED OR MAY SUFFER AN INJURY IN FACT, REGARDLESS OF WHETHER SUCH INJURY IS DIFFERENT IN KIND OR DEGREE FROM THAT SUFFERED BY 18 19 THE PUBLIC AT LARGE, MAY COMMENCE A CIVIL ACTION IN A COURT OF COMPETENT JURISDICTION FOR INJUNCTIVE AND DECLARATORY RELIEF PURSUANT TO 20 SUBDIVI-SECTION AGAINST ANY PERSON FOR ANY VIOLATION OF AN 21 SION TWO OF THIS ADMINISTRATIVE OR COURT ORDER COMPELLING THAT PERSON TO 22 INVESTIGATE OR 23 REMEDIATE AN INACTIVE HAZARDOUS WASTE DISPOSAL SITE PURSUANT TO TITLE 24 THIRTEEN OF ARTICLE TWENTY-SEVEN OF THIS CHAPTER, OR FOR A VIOLATION OF

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets
[] is old law to be omitted.

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1	THE FOLLOWING PROVISIONS OF OR ANY RULE, REGULATION, PERMIT, CERTIFICATE
2	OR ORDER PROMULGATED OR ISSUED PURSUANT TO:
3	A. SECTION 15-0501, 15-0503 OR 15-0505 OF TITLE FIVE OF ARTICLE
4	FIFTEEN OF THIS CHAPTER; OR
5	B. TITLE TWENTY-SEVEN OF ARTICLE FIFTEEN OF THIS CHAPTER; OR
б	C. TITLE FIVE, SEVEN, EIGHT, TEN OR SEVENTEEN OF ARTICLE 17 OF THIS
7	CHAPTER; OR
8	D. ARTICLE NINETEEN OF THIS CHAPTER; OR
9	E. ARTICLE TWENTY-THREE OF THIS CHAPTER; OR
10	F. ARTICLE TWENTY-FOUR OF THIS CHAPTER; OR
11	G. ARTICLE TWENTY-FIVE OF THIS CHAPTER; OR
12	H. TITLE THREE, SEVEN, NINE OR FIFTEEN OF ARTICLE TWENTY-SEVEN OF THIS
13	CHAPTER; OR
14	I. ARTICLE THIRTY-THREE OF THIS CHAPTER; OR
15	J. ARTICLE THIRTY-SEVEN OF THIS CHAPTER; OR
16	K. ARTICLE FORTY OF THIS CHAPTER.
17	2. IN ANY ACTION COMMENCED PURSUANT TO SUBDIVISION ONE OF THIS
18	SECTION, THE COURT MAY ISSUE DECLARATORY AND/OR INJUNCTIVE RELIEF FOR
19	EACH VIOLATION FOUND. THE JUDGMENT IN ANY SUCH ACTION MAY ALSO IMPOSE
20	SUCH CONDITIONS ON THE DEFENDANT AS ARE NECESSARY TO ASSURE COMPLIANCE
21	WITH SUCH LAW, RULE, REGULATION, PERMIT, CERTIFICATE OR ORDER WITHIN A
22	REASONABLE TIME PERIOD.
23	3. NO PERSON SHALL COMMENCE A CIVIL ACTION PURSUANT TO SUBDIVISION ONE
24	OF THIS SECTION UNLESS THE ALLEGED VIOLATION COULD BE SUBJECT TO A JUDI-
25	CIAL ENFORCEMENT ACTION OR ADMINISTRATIVE ENFORCEMENT PROCEEDING BROUGHT
26	BY OR ON BEHALF OF THE DEPARTMENT, THE STATE OF NEW YORK, THE COMMIS-
27	SIONER, OR THE COMMISSIONER'S DESIGNEE.
28	4. NO ACTION MAY BE BROUGHT AGAINST THE STATE OR ANY OF ITS DEPART-
29	MENTS, AGENCIES OR BUREAUS OR ANY OF ITS POLITICAL SUBDIVISIONS OR ANY
30	PUBLIC AUTHORITY PURSUANT TO THIS TITLE EXCEPT IN THEIR CAPACITY AS
31	OWNER OR OPERATOR OF A POLLUTION SOURCE OR AS A PERSON RESPONSIBLE FOR
32	THE INVESTIGATION OR REMEDIATION OF AN INACTIVE HAZARDOUS WASTE DISPOSAL
33	SITE PURSUANT TO TITLE THIRTEEN OF ARTICLE TWENTY-SEVEN OF THIS CHAPTER.
34	S 71-4503. NOTICE OF ACTION.
35	1. EXCEPT AS PROVIDED IN SUBDIVISION TWO OF THIS SECTION, NO ACTION
36	MAY BE COMMENCED UNDER SUBDIVISION ONE OF SECTION 71-4501 OF THIS TITLE:
37	A. PRIOR TO SIXTY DAYS AFTER WRITTEN NOTICE BY CERTIFIED MAIL, RETURN
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39	THE ATTORNEY GENERAL, AND ANY PERSON ALLEGED TO BE IN VIOLATION OF ANY
40	LAW, RULE, REGULATION, PERMIT, CERTIFICATE OR ORDER. SUCH WRITTEN NOTICE
41	SHALL BE GIVEN IN SUCH A MANNER AS THE COMMISSIONER MAY PRESCRIBE BY
42	REGULATION, AND SHALL IDENTIFY ANY PERSON ALLEGED TO BE IN VIOLATION OF
43	ANY SUCH LAW, RULE, REGULATION, PERMIT, CERTIFICATE OR ORDER AS SET
44	FORTH IN SUBDIVISION ONE OF SECTION 71-4501 OF THIS TITLE AND SHALL
45	DESCRIBE WITH REASONABLE PARTICULARITY THE ACTIVITY OR CONDITION
46	COMPLAINED OF INCLUDING, WHERE APPROPRIATE, DATA OR TEST RESULTS IN THE
47	POSSESSION OF THE PLAINTIFF WHICH DESCRIBE SUCH ALLEGED VIOLATION; OR
48	B. IF THE COMMISSIONER OR THE COMMISSIONER'S DESIGNEE, AT ANY TIME
49	PRIOR TO THE END OF THE SIXTY DAY NOTICE PERIOD PRESCRIBED IN PARAGRAPH
50	A OF THIS SUBDIVISION OR PRIOR TO COMMENCEMENT OF SUCH ACTION, WHICHEVER
51 52	IS LATER AND UPON WRITTEN NOTICE TO THE PERSON WHO PROVIDED THE NOTICE
52	PRESCRIBED IN PARAGRAPH A OF THIS SUBDIVISION, HAS COMMENCED AND IS
53 E1	ACTIVELY PROSECUTING AN ADMINISTRATIVE ENFORCEMENT PROCEEDING PURSUANT
54 55	TO THIS CHAPTER RELATIVE TO THE ALLEGED VIOLATION; OR
55 56	C. IF THE ATTORNEY GENERAL, AT ANY TIME PRIOR TO THE END OF THE SIXTY DAY NOTICE PERIOD PRESCRIBED IN PARAGRAPH A OF THIS SUBDIVISION OR PRIOR

1 TO COMMENCEMENT OF SUCH ACTION, WHICHEVER IS LATER, AND UPON WRITTEN 2 NOTICE TO THE PERSON WHO PROVIDED THE NOTICE PRESCRIBED IN PARAGRAPH A 3 OF THIS SUBDIVISION, HAS COMMENCED AND IS ACTIVELY PROSECUTING A CIVIL 4 ACTION IN A COURT OF THE UNITED STATES OR NEW YORK STATE WHICH SEEKS AN 5 ORDER OR INJUNCTION RELATIVE TO THE ALLEGED VIOLATION; OR

6 D. IF THE ALLEGED VIOLATION IS THE SUBJECT OF A CONSENT ORDER, Α 7 COURT ORDER OR ANY OTHER WRITTEN AGREEMENT SIGNED BY THE COMMISSIONER OR COMMISSIONER'S DESIGNEE AND THE ALLEGED VIOLATOR SETTING FORTH A 8 THE COMPLIANCE SCHEDULE TO ELIMINATE THE ALLEGED VIOLATION IN A REASONABLE 9 10 PERIOD, OR OF A SETTLEMENT OR DISPOSITION OF AN ADMINISTRATIVE TIME 11 ENFORCEMENT PROCEEDING OR A CIVIL ACTION COMMENCED PURSUANT TO THIS 12 PROVIDED THAT THE ALLEGED VIOLATOR IS IN COMPLIANCE WITH THE CHAPTER, 13 TERMS OF SUCH CONSENT ORDER, COURT ORDER, AGREEMENT, SETTLEMENT OR 14 DISPOSITION.

15 2. THE PLAINTIFF MAY COMMENCE AN ACTION UNDER SUBDIVISION ONE OF 16 SECTION 71-4501 OF THIS TITLE PRIOR TO SIXTY DAYS AFTER THE GIVING OF 17 NOTICE REQUIRED BY PARAGRAPH A OF SUBDIVISION ONE OF THIS SECTION UPON A 18 SHOWING TO THE COURT THAT THE MATTER IN CONTROVERSY INVOLVES A SUBSTAN-19 TIAL AND IMMINENT HAZARD TO THE ENVIRONMENT.

3. A COPY OF THE COMPLAINT, AND, UNLESS SERVICE IS THEREAFTER WAIVED, ALL MOTION PAPERS AND ANY SUBSEQUENT PLEADINGS SHALL BE SERVED UPON THE COMMISSIONER, THE ATTORNEY GENERAL AND THE DEFENDANT.

23 S 71-4505. INTERVENTION.

24 1. THE STATE AS REPRESENTED BY THE ATTORNEY GENERAL MAY INTERVENE AS A 25 MATTER OF RIGHT IN ANY ACTION BROUGHT PURSUANT TO THIS TITLE.

26 2. ANY PERSON WHO IS AUTHORIZED TO COMMENCE AN ACTION PURSUANT TO 27 SECTION 71-4501 OF THIS TITLE AND WHO HAS GIVEN NOTICE PURSUANT TO 28 SECTION 71-4503 OF THIS TITLE MAY INTERVENE UPON TIMELY MOTION AS A 29 MATTER OF RIGHT IN ANY ACTION OR PROCEEDING SUBSEQUENTLY COMMENCED BY 30 THE DEPARTMENT OR THE ATTORNEY GENERAL RELATING TO ANY VIOLATION ALLEGED 31 IN SUCH NOTICE.

32 S 71-4507. APPROVAL OF SETTLEMENTS.

33 1. NO ACTION COMMENCED UNDER THIS TITLE SHALL BE SETTLED EXCEPT UPON APPROVAL BY THE COURT UPON SIXTY DAYS NOTICE TO ALL PARTIES, THE COMMIS-34 35 SIONER AND THE ATTORNEY GENERAL. NOTICE OF THE PROPOSED SETTLEMENT SHALL PUBLISHED IN THE ENVIRONMENTAL NOTICE BULLETIN. UPON THE MOTION OF 36 BE 37 THE COMMISSIONER, THE ATTORNEY GENERAL, OR ANY OTHER PARTY OR UPON ITS 38 OWN MOTION, THE COURT MAY REQUIRE SUCH FURTHER NOTICE AS MAY BE REQUIRED 39 ΤO PROTECT THE INTERESTS IN ENVIRONMENTAL PROTECTION OR ENFORCEMENT OF 40 CITIZENS WHO ARE NOT A PARTY TO THE ACTION. THE COURT SHALL NOT APPROVE A SETTLEMENT IN AN ACTION COMMENCED UNDER THIS TITLE IF THE COURT DETER-41 THAT A MONETARY SETTLEMENT IN EXCESS OF COSTS, DISBURSEMENTS AND 42 MINES 43 REASONABLE EXPERT WITNESS AND ATTORNEY FEES HAS BEEN OFFERED OR PAID BY 44 A DEFENDANT AS CONSIDERATION FOR SUCH SETTLEMENT TO A PLAINTIFF WHO HAS 45 STANDING TO SUE ONLY BY VIRTUE OF THIS TITLE.

2. IF, SUBSEQUENT TO THE COMMENCEMENT OF AN ACTION UNDER SUBDIVISION 46 47 ONE OF SECTION 71-4501 OF THIS TITLE WHICH ACTION HAS NOT BEEN FINALLY 48 ADJUDICATED, THE PERSON ALLEGED TO BE IN VIOLATION OF ANY LAW, RULE, 49 REGULATION, PERMIT, CERTIFICATE OR ORDER ENTERS INTO A CONSENT ORDER, OR 50 SUBJECT TO A COURT ORDER OR OTHER WRITTEN AGREEMENT SIGNED BY THE IS COMMISSIONER OR THE COMMISSIONER'S DESIGNEE WHICH SETS FORTH A REASON-51 ABLE SETTLEMENT AND DISPOSITION OF THE ALLEGED VIOLATION, THE COURT IN 52 WHICH SUCH ACTION IS PENDING, ON MOTION OF ANY PARTY, MAY MAKE AN APPRO-53 54 PRIATE COURT ORDER DISPOSING OF THE CASE, INCLUDING THE AWARD OF COSTS, 55 DISBURSEMENTS, REASONABLE EXPERT WITNESS AND ATTORNEY FEES TO ANY PARTY 56 IF APPROPRIATE PURSUANT TO SECTION 71-4509 OF THIS TITLE.

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S 71-4509. COSTS, FEES AND PENALTIES. 1 2 1. THE COURT, IN ISSUING ANY FINAL ORDER IN ANY ACTION BROUGHT PURSU-3 ANT TO SUBDIVISIONS ONE AND TWO OF SECTION 71-4503 OF THIS TITLE MAY IN 4 ITS DISCRETION AWARD COSTS, DISBURSEMENTS AND REASONABLE EXPERT WITNESS 5 AND ATTORNEY FEES TO ANY PREVAILING OR SUBSTANTIALLY PREVAILING PARTY; 6 PROVIDED, HOWEVER, THAT SUCH AN AWARD TO A PREVAILING RESPONDENT OR 7 DEFENDANT SHALL NOT EXCEED TEN THOUSAND DOLLARS AND A PREVAILING 8 RESPONDENT OR DEFENDANT IN ORDER TO RECOVER SUCH COSTS, DISBURSEMENTS, REASONABLE EXPERT WITNESS AND ATTORNEY FEES MUST MAKE A MOTION REQUEST-9 10 ING SUCH COSTS, DISBURSEMENTS AND FEES AND SHOW THAT THE ACTION OR CLAIM BROUGHT WAS FRIVOLOUS. IN ORDER TO FIND THE ACTION OR CLAIM TO BE FRIVO-11 LOUS, THE COURT MUST FIND IN WRITING ONE OR MORE OF THE FOLLOWING: 12 THE ACTION OR CLAIM WAS COMMENCED, USED OR CONTINUED IN BAD FAITH, 13 Α.

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13 A. THE ACTION OF CLAIM WAS COMMENCED, USED OF CONTINUED IN BAD FAITH, 14 SOLELY TO DELAY OF PROLONG THE RESOLUTION OF THE LITIGATION OF TO HARASS 15 OF MALICIOUSLY INJURE ANOTHER;

16 B. THE ACTION OR CLAIM WAS COMMENCED OR CONTINUED IN BAD FAITH WITHOUT 17 ANY REASONABLE BASIS IN LAW OR FACT AND COULD NOT BE SUPPORTED BY A GOOD 18 FAITH ARGUMENT FOR AN EXTENSION, MODIFICATION OR REVERSAL OF EXISTING 19 LAW. IF THE ACTION OR CLAIM WAS PROMPTLY DISCONTINUED WHEN THE PARTY OR 20 THE ATTORNEY LEARNED OR SHOULD HAVE LEARNED THAT THE ACTION OR CLAIM 21 LACKED SUCH A REASONABLE BASIS, THE COURT MAY FIND THAT THE PARTY OR THE ATTORNEY DID NOT ACT IN BAD FAITH. 22

2. NOTWITHSTANDING THE PROVISIONS OF SUBDIVISION ONE OF THIS SECTION,
 NO COSTS, DISBURSEMENTS, OR REASONABLE EXPERT WITNESS AND ATTORNEY FEES
 MAY BE AWARDED AGAINST THE STATE, OR ANY OF ITS DEPARTMENTS, AGENCIES,
 BUREAUS OR ANY OF ITS POLITICAL SUBDIVISIONS, OR ANY PUBLIC AUTHORITY IN
 ANY ACTION BROUGHT UNDER THIS TITLE.

28 3. IN ADDITION TO THE STATE'S RIGHT TO INTERVENE PURSUANT TO SUBDIVI-29 OF SECTION 71-4505 OF THIS TITLE OR ANY OTHER LAW, THE STATE, SION ONE AS REPRESENTED BY THE ATTORNEY GENERAL, MAY APPEAR UPON TIMELY MOTION IN 30 AN ACTION BROUGHT UNDER THIS TITLE FOR THE SOLE PURPOSE OF OBTAINING 31 AN 32 AWARD OF PENALTIES AGAINST ANY PERSON FOUND LIABLE IN SUCH ACTION; PROVIDED, HOWEVER, THAT THE PLAINTIFF AND DEFENDANT MUST BE NOTIFIED 33 OF THE STATE'S INTENT TO MOVE FOR PENALTIES WITHIN THIRTY DAYS OF COMMENCE-34 35 SUCH ACTION. ANY CLAIM FOR PENALTIES BASED UPON A VIOLATION MENT OF WHICH IS THE SUBJECT OF AN ACTION BROUGHT UNDER THIS 36 TITLE MUST BE37 BROUGHT IN SUCH ACTION.

38 S 71-4511. APPLICABILITY IN THE ADIRONDACK PARK.

39 WITH RESPECT TO THOSE PARTS OF TITLE TWENTY-SEVEN OF ARTICLE FIFTEEN 40 OF THIS CHAPTER AND THOSE PARTS OF ARTICLE TWENTY-FOUR OF THIS CHAPTER ADMINISTERED BY THE ADIRONDACK PARK AGENCY CREATED PURSUANT TO ARTICLE 41 TWENTY-SEVEN OF THE EXECUTIVE LAW, ANY REFERENCE IN THIS 42 TITLETO THE 43 DEPARTMENT, THE COMMISSIONER, OR THE COMMISSIONER'S DESIGNEE SHALL BE CONSTRUED TO MEAN THE ADIRONDACK PARK AGENCY. 44

45 S 71-4513. SAVINGS CLAUSE.

46 NOTHING IN THIS TITLE SHALL RESTRICT ANY RIGHT WHICH ANY PERSON OR 47 CLASS OF PERSONS MAY HAVE UNDER ANY STATUTE OR COMMON LAW TO SEEK 48 ENFORCEMENT OF ANY STATUTE, RULE, REGULATION, PERMIT, CERTIFICATE OR 49 ORDER, OR TO SEEK ANY OTHER RELIEF.

50 S 3. Section 71-1311 of the environmental conservation law, subdivi-51 sion 1 as amended by chapter 846 of the laws of 1981, is amended to read 52 as follows:

53 S 71-1311. Injunction against violations.

54 [1.] Whenever it appears that any person is violating or threatening 55 to violate any provision of article 23 of this chapter or is committing 56 any offense described in section 71-1305 of this title, the department, 1 acting by the Attorney General, may bring suit against such person in 2 any court of competent jurisdiction to restrain such person from contin-3 uing such violation or from carrying out the threat of violation. In any 4 such suit, the court shall have jurisdiction to grant to the department 5 without bond or other undertaking, such prohibitory or mandatory injunc-6 tions as the facts may warrant, including temporary restraining orders 7 and preliminary injunctions.

8 the department, acting by the Attorney General, shall fail to [2. If bring suit to enjoin a violation or threatened violation of any provision of article 23, or any rule, regulation, or order of the 9 10 department made pursuant hereto, within ten days after receipt of writ-11 ten request to do so by any person who is or will be adversely affected 12 13 by such violation, the person making such request may bring suit in his 14 own behalf to restrain such violation or threatened violation in any 15 court in which the department might have brought suit. The department 16 shall be made a party in such suit in addition to the person violating or threatening to violate a provision of article 23, or a rule, regu-17 lation, or order of the department, and the action shall proceed and 18 injunctive relief may be granted to the department without bond, or other undertaking in the same manner as if suit had been brought by the 19 20 21 department.]

S 4. This act shall take effect immediately; provided however, that no action authorized by section 71-4501 of the environmental conservation law, as added by section one of this act, may be commenced against any city, village, town or county prior to September 1, 2015 and nothing in this act shall affect any action commenced pursuant to section 71-1311 of the environmental conservation law prior to such effective date.