

10950

I N A S S E M B L Y

May 5, 2010

Introduced by M. of A. BALL, CASTELLI -- read once and referred to the  
Committee on Governmental Operations

AN ACT to amend the public officers law and the legislative law, in  
relation to disclosure of all income from employment (Part A); to  
amend the legislative law, in relation to the terms of legislative  
committee chairs (Part B); to amend the legislative law, in relation  
to term limits for state legislators (Part C); to amend the election  
law, in relation to the time for filing statements of campaign  
receipts and expenditures (Part D); and to amend the judiciary law, in  
relation to regulating the activities of judicial candidates (Part E)

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEM-  
BLY, DO ENACT AS FOLLOWS:

1 Section 1. This act enacts into law major components of legislation  
2 which are necessary to enact ethics reform. Each component is wholly  
3 contained within a Part identified as Parts A through E. The effective  
4 date of each particular provision contained within such Part is set  
5 forth in the last section of such Part. Any provision in any section  
6 contained within a Part, including the effective date of the Part, which  
7 makes reference to a section "of this act", when used in connection with  
8 that particular component, shall be deemed to mean and refer to the  
9 corresponding section of the Part in which it is found. Section three of  
10 this act sets forth the general effective date of this act.

11 PART A

12 Section 1. Paragraph 5 of subdivision 3 of section 73-a of the public  
13 officers law is amended by adding a new subparagraph (c) to read as  
14 follows:

15 (C) IF YOU ARE A MEMBER OF THE LEGISLATURE OR A CANDIDATE FOR MEMBER  
16 OF THE LEGISLATURE, LIST EACH SOURCE AND THE AMOUNT OF INCOME THEREFROM,  
17 IN EXCESS OF \$1,000, (OTHER THAN FROM THE EMPLOYMENT LISTED UNDER PARA-  
18 GRAPH 2 ABOVE) RECEIVED DURING THE REPORTING PERIOD FOR WHICH THIS  
19 STATEMENT IS FILED BY THE REPORTING INDIVIDUAL FROM THE PRACTICE OF  
20 EVERY OCCUPATION, EMPLOYMENT, TRADE, BUSINESS AND PROFESSION ENGAGED IN

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets  
[ ] is old law to be omitted.

LBD15602-03-0

1 BY THE REPORTING INDIVIDUAL AND REPORTED IN SUBPARAGRAPH (A) OF THIS  
 2 PARAGRAPH. INCLUDE, NOTWITHSTANDING THE EXISTENCE OF ANY PROFESSIONAL  
 3 EXEMPTION, THE NAME AND ADDRESS OF EACH SUCH SOURCE OF INCOME, THE WORK  
 4 PERFORMED TO EARN SUCH INCOME AND THE AMOUNT OF SUCH INCOME.

5 NAME OF SOURCE	ADDRESS	WORK	AMOUNT OF
6 OF INCOME		PERFORMED	INCOME

7  
8  
9

10 S 2. Paragraphs i, p and q of subdivision 7 of section 80 of the  
 11 legislative law, as amended by chapter 14 of the laws of 2007, are  
 12 amended and a new paragraph r is added to read as follows:

13 i. Permit any person required to file a financial disclosure statement  
 14 to request the commission to delete from the copy thereof made available  
 15 for public inspection and copying one or more items of information,  
 16 which may be deleted by the commission upon a finding that the informa-  
 17 tion which would otherwise be required to be disclosed will have no  
 18 material bearing on the discharge of the reporting person's official  
 19 duties; PROVIDED, HOWEVER, THAT, IF SUCH PERSON IS A MEMBER OF THE  
 20 LEGISLATURE OR A CANDIDATE FOR MEMBER OF THE LEGISLATURE, UNDER NO  
 21 CIRCUMSTANCES SHALL THE COMMISSION DELETE FROM THE COPY THEREOF MADE  
 22 AVAILABLE FOR PUBLIC INSPECTION AND COPYING ANY OF THE INFORMATION  
 23 INCLUDED IN SUBPARAGRAPH (C) OF PARAGRAPH FIVE OF SUCH FINANCIAL DISCLO-  
 24 SURE STATEMENT;

25 p. Develop educational materials and training with regard to legisla-  
 26 tive ethics for members of the legislature and legislative employees;  
 27 [and]

28 q. Prepare an annual report to the governor and legislature summariz-  
 29 ing the activities of the commission during the previous year and recom-  
 30 mending any changes in the laws governing the conduct of persons subject  
 31 to the jurisdiction of the commission, or the rules, regulations and  
 32 procedures governing the commission's conduct. Such report shall  
 33 include: (i) a listing by assigned number of each complaint and referral  
 34 received which alleged a possible violation within its jurisdiction,  
 35 including the current status of each complaint, and (ii) where a matter  
 36 has been resolved, the date and nature of the disposition and any sanc-  
 37 tion imposed, subject to the confidentiality requirements of this  
 38 section. Such annual report shall not contain any information for which  
 39 disclosure is not permitted pursuant to subdivision fourteen of this  
 40 section[.]; AND

41 R. COMPILE AND POST ON THE INTERNET WEBSITES OF THE SENATE AND ASSEM-  
 42 BLY THE MOST CURRENT FINANCIAL DISCLOSURE STATEMENTS OF MEMBERS OF THE  
 43 LEGISLATURE AND CANDIDATES FOR MEMBER OF THE LEGISLATURE.

44 S 3. Subparagraph 1 of paragraph a of subdivision 14 of section 80 of  
 45 the legislative law, as amended by chapter 14 of the laws of 2007, is  
 46 amended to read as follows:

47 (1) [the] ALL information set forth in an annual statement of finan-  
 48 cial disclosure filed pursuant to section seventy-three-a of the public  
 49 officers law except [the categories of value or amount which shall be  
 50 confidential, and any other item] THOSE ITEMS of information deleted  
 51 pursuant to paragraph i of subdivision seven of this section;

52 S 4. This act shall take effect January 1, 2011.

1 Section 1. Section 5-a of the legislative law is amended by adding a  
2 new subdivision 4 to read as follows:

3 4. ANY MEMBER OF EITHER HOUSE OF THE LEGISLATURE SERVING AS A COMMIT-  
4 TEE CHAIR OF ANY COMMITTEE AS ENUMERATED IN THE SCHEDULE SET FORTH IN  
5 SUBDIVISION ONE OF THIS SECTION SHALL NOT SERVE IN SUCH CAPACITY AS THE  
6 CHAIR FOR A TERM LONGER THAN FOUR CONSECUTIVE YEARS.

7 S 2. This act shall take effect on the first of January next succeed-  
8 ing the date on which it shall have become a law.

9 PART C

10 Section 1. The legislative law is amended by adding a new section 2-a  
11 to read as follows:

12 S 2-A. LEGISLATIVE TERM LIMITS. MEMBERS OF THE LEGISLATURE SHALL NOT  
13 SERVE MORE THAN FIVE TWO YEAR TERMS IN EITHER THE SENATE OR THE ASSEM-  
14 BLY. IN NO EVENT MAY A LEGISLATOR SERVE MORE THAN TEN YEARS IN EITHER  
15 THE SENATE OR ASSEMBLY.

16 S 2. This act shall take effect January 1, 2011.

17 PART D

18 Section 1. Subdivision 3 of section 14-108 of the election law, as  
19 redesignated by chapter 9 of the laws of 1978, is amended to read as  
20 follows:

21 3. Each statement shall be preserved by the officer with whom or the  
22 board with which it is required to be filed for a period of [five]  
23 FIFTEEN years from the date of filing thereof.

24 S 2. This act shall take effect immediately.

25 PART E

26 Section 1. Article 23 and sections 860 and 861 of the judiciary law,  
27 as renumbered by chapter 840 of the laws of 1983, are renumbered article  
28 24 and sections 870 and 871, and a new article 23 is added to read as  
29 follows:

30 ARTICLE 23

31 ACTIVITIES OF A JUDICIAL CANDIDATE

- 32 SECTION 860. LEGISLATIVE INTENT.
- 33 861. ACTIVITIES PROHIBITED.
- 34 862. ACTIVITIES NOT CONDONED.
- 35 863. LIMITATIONS ON POLITICAL ACTIVITY.
- 36 864. EFFECT OF REVISION OF JUDICIAL SELECTION METHOD.
- 37 865. CAMPAIGN CONTRIBUTION AND EXPENSE; REPORTING.

38 S 860. LEGISLATIVE INTENT. THE LEGISLATURE FINDS THAT A JUDICIAL  
39 OFFICE IS A NONPARTISAN OFFICE, AND A CANDIDATE FOR ELECTION OR  
40 RETENTION THERETO IS PROHIBITED FROM CAMPAIGNING OR QUALIFYING FOR SUCH  
41 AN OFFICE BASED ON PARTY AFFILIATION. TO INSURE THAT SUCH CAMPAIGNS FOR  
42 ELECTION OR RETENTION ARE CONDUCTED ON A NONPARTISAN BASIS, THE LEGISLA-  
43 TURE WANTS THE ELECTION LAW TO IMPOSE CERTAIN RESTRICTIONS ON THE ACTIV-  
44 ITIES OF A JUDICIAL CANDIDATE TO INSULATE HIM OR HER FROM POLITICAL  
45 PARTIES.

46 S 861. ACTIVITIES PROHIBITED. A CANDIDATE FOR JUDICIAL OFFICE SHALL  
47 NOT:

- 48 1. PARTICIPATE IN ANY PARTISAN POLITICAL PARTY ACTIVITIES, EXCEPT THAT
- 49 SUCH CANDIDATE MAY REGISTER TO VOTE AS A MEMBER OF ANY POLITICAL PARTY

1 AND MAY VOTE IN ANY PARTY PRIMARY FOR CANDIDATES FOR NOMINATION OF THE  
2 PARTY IN WHICH HE OR SHE IS REGISTERED TO VOTE.

3 2. CAMPAIGN AS A MEMBER OF ANY POLITICAL PARTY.

4 3. PUBLICLY REPRESENT OR ADVERTISE HIMSELF OR HERSELF AS A MEMBER OF  
5 ANY POLITICAL PARTY.

6 4. ENDORSE ANY CANDIDATE.

7 5. MAKE POLITICAL SPEECHES OTHER THAN ON HIS OR HER BEHALF.

8 6. MAKE CONTRIBUTIONS TO POLITICAL PARTY FUNDS.

9 7. ACCEPT CONTRIBUTIONS FROM ANY POLITICAL PARTY.

10 8. SOLICIT CONTRIBUTIONS FROM ANY POLITICAL PARTY.

11 9. ACCEPT OR RETAIN A PLACE ON ANY POLITICAL PARTY COMMITTEE.

12 10. MAKE ANY CONTRIBUTION TO ANY PERSON, GROUP OR ORGANIZATION FOR ITS  
13 ENDORSEMENT TO JUDICIAL OFFICE.

14 11. AGREE TO PAY ALL OR ANY PART OF ANY ADVERTISEMENT SPONSORED BY ANY  
15 PERSON, GROUP OR ORGANIZATION WHEREIN THE CANDIDATE MAY BE ENDORSED FOR  
16 JUDICIAL OFFICE BY ANY SUCH PERSON, GROUP OR ORGANIZATION.

17 12. IN ADDITION TO THE ABOVE, POLITICAL PARTIES AND PARTISAN POLI-  
18 TICAL GROUPS, I.E., THOSE ASSOCIATED WITH A PARTICULAR POLITICAL PARTY  
19 OR CANDIDATE, ARE PROHIBITED FROM ENDORSING, SUPPORTING OR ASSISTING ANY  
20 JUDICIAL CANDIDATE.

21 A VIOLATION OF THIS SECTION SHALL CONSTITUTE A CLASS A MISDEMEANOR.

22 S 862. ACTIVITIES NOT CONDONED. A JUDGE OR A CANDIDATE FOR ELECTION TO  
23 JUDICIAL OFFICE SHALL NOT:

24 1. ACT AS A LEADER OR HOLD ANY OFFICE IN A POLITICAL ORGANIZATION;

25 2. MAKE SPEECHES FOR A POLITICAL ORGANIZATION OR CANDIDATE, OR PUBLIC-  
26 LY ENDORSE A CANDIDATE FOR A PUBLIC OFFICE;

27 3. SOLICIT FUNDS FOR, PAY AN ASSESSMENT OR MAKE A CONTRIBUTION TO A  
28 POLITICAL ORGANIZATION OR CANDIDATE, OR PURCHASE TICKETS FOR POLITICAL  
29 PARTY DINNERS OR OTHER FUNCTIONS;

30 4. PARTICIPATE IN ANY ACTIVITY THAT HAS ANY RELATIONSHIP WHATSOEVER TO  
31 A POLITICAL PARTY; HOWEVER, HE OR SHE MAY CAMPAIGN ON HIS OR HER OWN  
32 BEHALF AND MAY SPEAK AT MEETINGS OF PARTY EXECUTIVE COMMITTEES, RALLIES  
33 AND OTHER POLITICAL GATHERINGS, AND MAY ATTEND AND SPEAK AT MEETINGS OF  
34 POLITICAL PARTIES OR ANY PARTISAN ORGANIZATION. CARE SHALL BE TAKEN TO  
35 INSURE THAT ANY APPEARANCE BY A JUDICIAL CANDIDATE IS ALSO AVAILABLE TO  
36 ALL OTHER SUCH CANDIDATES AND THAT THE ORGANIZATION IS NOT COLLECTIVELY  
37 SUPPORTING ANY PARTICULAR JUDICIAL CANDIDATES. IT IS ALSO REQUIRED THAT  
38 ANY APPEARANCES BEFORE PARTISAN GROUPS BY JUDICIAL CANDIDATES BE SEPA-  
39 RATE FROM THOSE BY PARTISAN CANDIDATES.

40 A VIOLATION OF THIS SECTION SHALL CONSTITUTE A VIOLATION AS DEFINED IN  
41 THE PENAL LAW.

42 S 863. LIMITATIONS ON POLITICAL ACTIVITY. 1. NO POLITICAL PARTY OR  
43 PARTISAN POLITICAL ORGANIZATION SHALL ENDORSE, SUPPORT OR ASSIST ANY  
44 CANDIDATE IN A CAMPAIGN FOR ELECTION TO JUDICIAL OFFICE.

45 2. ANY PERSON WHO KNOWINGLY, IN AN INDIVIDUAL CAPACITY OR AS AN OFFI-  
46 CER OF AN ORGANIZATION, VIOLATES THE PROVISIONS OF THIS SECTION IS GUIL-  
47 TY OF A CLASS A MISDEMEANOR.

48 S 864. EFFECT OF REVISION OF JUDICIAL SELECTION METHOD. NO JUDGE  
49 ELECTED PRIOR TO OR AT THE ELECTION THAT APPROVES ANY REVISION TO THE  
50 SELECTION OF JUDGESHIPS SHALL BE AFFECTED IN HIS OR HER CURRENT TERM OF  
51 OFFICE. ANY JUDGE WISHING TO APPLY FOR A SUBSEQUENT TERM WILL BE  
52 ELECTED OR RETAINED PURSUANT TO THE METHOD OF ELECTION OR SELECTION AND  
53 RETENTION OF JUDGES IN EFFECT AS SET BY THE ABOVE JUDICIAL ELECTION  
54 PROCESSES.

55 S 865. CAMPAIGN CONTRIBUTION AND EXPENSE; REPORTING. 1. A CANDIDATE  
56 FOR JUDICIAL OFFICE MAY ACCEPT CONTRIBUTIONS AND MAY INCUR ONLY SUCH

1 EXPENSES AS ARE AUTHORIZED BY LAW. EACH SUCH CANDIDATE SHALL KEEP AN  
2 ACCURATE RECORD OF HIS OR HER CONTRIBUTIONS AND EXPENSES, AND SHALL FILE  
3 REPORTS PURSUANT TO CURRENT STANDARDS.

4 2. NOTWITHSTANDING ANY OTHER PROVISION OF THIS ARTICLE, A CANDIDATE  
5 FOR RE-ELECTION AS A JUSTICE OR A JUDGE WHO HAS NOT RECEIVED ANY  
6 CONTRIBUTION OR MADE ANY EXPENDITURE MAY FILE A SWORN STATEMENT AT THE  
7 TIME OF QUALIFYING THAT HE OR SHE DOES NOT ANTICIPATE RECEIVING CONTRIB-  
8 UTIONS OR MAKING EXPENDITURES IN CONNECTION WITH THE CANDIDACY FOR RE-E-  
9 LECTION TO OFFICE. SUCH CANDIDATE SHALL FILE A FINAL REPORT WITHIN NINE-  
10 TY DAYS FOLLOWING THE GENERAL ELECTION FOR WHICH THE CANDIDATE'S NAME  
11 APPEARED ON THE BALLOT FOR RE-ELECTION. ANY SUCH CANDIDATE FOR RE-ELEC-  
12 TION TO JUDICIAL OFFICE WHO, AFTER FILING A STATEMENT PURSUANT TO THIS  
13 SUBDIVISION, RECEIVES ANY CONTRIBUTION OR MAKES ANY EXPENDITURE IN  
14 CONNECTION WITH THE CANDIDACY FOR RE-ELECTION SHALL IMMEDIATELY FILE A  
15 STATEMENT TO THAT EFFECT.

16 S 2. This act shall take effect immediately.

17 S 2. Severability clause. If any clause, sentence, paragraph, subdivi-  
18 sion, section or part of this act shall be adjudged by any court of  
19 competent jurisdiction to be invalid, such judgment shall not affect,  
20 impair or invalidate the remainder thereof, but shall be confined in its  
21 operation to the clause, sentence, paragraph, subdivision, section or  
22 part thereof directly involved in the controversy in which such judgment  
23 shall have been rendered. It is hereby declared to be the intent of the  
24 legislature that this act would have been enacted even if such invalid  
25 provisions had not been included herein.

26 S 3. This act shall take effect immediately; provided, however, that  
27 the applicable effective date of Parts A through E of this act shall be  
28 as specifically set forth in the last section of such Parts.