

10335

I N A S S E M B L Y

March 18, 2010

Introduced by M. of A. KELLNER -- read once and referred to the Committee on Governmental Operations

AN ACT to amend the public officers law, in relation to requiring public disclosure of certain state agency materials; and to amend the state technology law, in relation to authorizing the office for technology to publish a technical standards manual for the publishing of records on the internet by state agencies

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

- 1 Section 1. This act shall be known as and may be cited as the "Open
2 New York Act".
- 3 S 2. Section 86 of the public officers law is amended by adding four
4 new subdivisions 6, 7, 8 and 9 to read as follows:
- 5 6. "CONSENSUS" MEANS GENERAL AGREEMENT, BUT NOT NECESSARILY UNANIMITY,
6 AND INCLUDES A PROCESS FOR ATTEMPTING TO RESOLVE OBJECTIONS BY INTER-
7 ESTED PARTIES, AS LONG AS ALL COMMENTS HAVE BEEN FAIRLY CONSIDERED.
- 8 7. "TECHNICAL STANDARD" MEANS (A) THE COMMON AND REPEATED USE OF
9 RULES, CONDITIONS, GUIDELINES OR CHARACTERISTICS FOR PRODUCTS OR RELATED
10 PROCESSES AND PRODUCTION METHODS, AND RELATED MANAGEMENT SYSTEMS PRAC-
11 TICES AND (B) THE DEFINITION OF TERMS; CLASSIFICATION OF COMPONENTS,
12 DELINEATION OF PROCEDURES; SPECIFICATIONS OF DIMENSIONS, MATERIALS,
13 PERFORMANCE, DESIGNS OR OPERATIONS; MEASUREMENT OF QUALITY AND QUANTITY
14 IN DESCRIBING MATERIALS, PROCESSES, PRODUCTS, SYSTEMS, SERVICES OR PRAC-
15 TICES; TEST METHODS AND SAMPLING PROCEDURES; OR DESCRIPTIONS OF FIT AND
16 MEASUREMENTS OF SIZE OR STRENGTH.
- 17 8. "VOLUNTARY CONSENSUS STANDARDS" MEANS STANDARDS DEVELOPED OR
18 ADOPTED BY VOLUNTARY CONSENSUS STANDARDS BODIES, BOTH DOMESTIC AND
19 INTERNATIONAL. THESE STANDARDS INCLUDE PROVISIONS REQUIRING THAT OWNERS
20 OF RELEVANT INTELLECTUAL PROPERTY AGREE TO MAKE SUCH INTELLECTUAL PROP-
21 ERTY AVAILABLE ON A NON-DISCRIMINATORY, ROYALTY-FREE OR REASONABLE
22 ROYALTY BASIS TO ALL INTERESTED PARTIES.
- 23 9. "VOLUNTARY CONSENSUS STANDARDS BODIES" MEANS DOMESTIC OR INTERNA-
24 TIONAL ORGANIZATIONS WHICH PLAN, DEVELOP, ESTABLISH, OR COORDINATE
25 VOLUNTARY CONSENSUS STANDARDS USING AGREED-UPON PROCEDURES.

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets
[] is old law to be omitted.

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1 S 3. The opening paragraph of paragraph (c) of subdivision 1 of
2 section 87 of the public officers law, as added by chapter 223 of the
3 laws of 2008, is amended and a new subdivision 2-a is added to read as
4 follows:

5 In determining the actual cost of reproducing a PHYSICAL COPY OF A
6 record, an agency may include only:

7 2-A. ALL RECORDS AVAILABLE PURSUANT TO THE PROVISIONS OF THIS SECTION
8 SHALL BE AVAILABLE IN ELECTRONIC FORM PURSUANT TO THE PROVISIONS OF THIS
9 SECTION AND IN ACCORDANCE WITH THE PROVISIONS OF SECTION EIGHTY-SEVEN-A
10 OF THIS ARTICLE.

11 S 4. The public officers law is amended by adding a new section 87-a
12 to read as follows:

13 S 87-A. STATE INTERNET RECORDS POLICY. 1. (A) THE COMMITTEE ON OPEN
14 GOVERNMENT SHALL PROMULGATE RULES ESTABLISHING AN INTERNET RECORD POLICY
15 FOR THE STATE OF NEW YORK NO LATER THAN JANUARY THIRD, TWO THOUSAND
16 ELEVEN, FOR THE PURPOSES OF MAKING AGENCY RECORDS AVAILABLE ON THE
17 INTERNET AND ENSURING AGENCY COMPLIANCE WITH THE PROVISIONS OF THIS
18 ARTICLE. SUCH POLICY SHALL INCLUDE, BUT NOT BE LIMITED TO, GUIDELINES TO
19 ASSIST AGENCIES IN CLASSIFYING THEIR RECORDS EITHER AS IMMEDIATE, PRIOR-
20 ITY, LEGACY OR EXEMPT PURSUANT TO THE AGENCY COMPLIANCE PLAN DESCRIBED
21 IN SUBDIVISION TWO OF THIS SECTION.

22 (B) THE COMMITTEE ON OPEN GOVERNMENT SHALL CONSULT WITH THE OFFICE FOR
23 TECHNOLOGY REGARDING THE PREPARATION, PUBLICATION AND PERIODIC UPDATING
24 OF A TECHNICAL STANDARDS MANUAL FOR THE PUBLISHING OF RECORDS ON THE
25 INTERNET BY STATE AGENCIES AS PROVIDED IN SUBDIVISION TWELVE-C OF
26 SECTION ONE HUNDRED THREE OF THE STATE TECHNOLOGY LAW.

27 (C) EACH STATE AGENCY, IN CONSULTATION WITH THE OFFICE FOR TECHNOLOGY
28 AND THE COMMITTEE ON OPEN GOVERNMENT, SHALL REVIEW ALL RECORDS UNDER ITS
29 CONTROL AND SHALL CLASSIFY THEM AS IMMEDIATE, PRIORITY, LEGACY OR EXEMPT
30 IN ACCORDANCE WITH THE PROVISIONS OF SUBDIVISION THREE OF THIS SECTION.

31 (D) EACH STATE AGENCY, IN CONSULTATION WITH THE OFFICE FOR TECHNOLOGY
32 AND THE COMMITTEE ON OPEN GOVERNMENT, SHALL SUBMIT AN AGENCY COMPLIANCE
33 PLAN TO THE GOVERNOR AND THE LEGISLATURE NO LATER THAN JANUARY THIRD,
34 TWO THOUSAND ELEVEN. SUCH PLAN SHALL DESCRIBE HOW SUCH AGENCY INTENDS TO
35 ACHIEVE FULL COMPLIANCE WITH THE PROVISIONS OF THIS SECTION BY JULY
36 SEVENTH, TWO THOUSAND FOURTEEN, AND SHALL INCLUDE AN ACCOUNTING OF ALL
37 RECORDS UNDER THE CONTROL OF THE AGENCY AND HOW SUCH RECORDS HAVE BEEN
38 CLASSIFIED PURSUANT TO SUBDIVISION TWO OF THIS SECTION.

39 2. (A) EACH STATE AGENCY SHALL MAKE ITS RECORDS AVAILABLE FOR
40 INSPECTION BY THE PUBLIC ON THE INTERNET THROUGH A SINGLE WEB PORTAL
41 PURSUANT TO THE TIMETABLE ESTABLISHED BY THE AGENCY IN ITS AGENCY
42 COMPLIANCE PLAN.

43 (B) ALL RECORDS SHALL BE AVAILABLE ON A PERMANENT BASIS, IN
44 MACHINE-READABLE AND UNPROCESSED ELECTRONIC FORMAT AND IN THEIR COMPLETE
45 FORM, EXCEPT FOR THOSE RECORDS OR PORTIONS OF RECORDS CLASSIFIED AS
46 EXEMPT PURSUANT TO SUBDIVISION THREE OF THIS SECTION.

47 (C) ALL RECORDS SHALL BE AVAILABLE TO THE PUBLIC WITHOUT ANY REGISTRA-
48 TION REQUIREMENT, LICENSE REQUIREMENT, FEES, OR RESTRICTIONS ON THEIR
49 USE UNLESS OTHERWISE PROVIDED BY LAW.

50 3. STATE AGENCY RECORDS SHALL BE CLASSIFIED AS FOLLOWS:

51 (A) IMMEDIATE: ANY RECORD IN POSSESSION OF AN AGENCY AS OF THE EFFEC-
52 TIVE DATE OF THIS SECTION AND ANY RECORD SUCH AGENCY ACQUIRES OR CREATES
53 AFTER SUCH EFFECTIVE DATE THROUGH JANUARY THIRD, TWO THOUSAND ELEVEN,
54 THAT CAN BE MADE AVAILABLE ON THE INTERNET PURSUANT TO THE PROVISIONS OF
55 SECTION EIGHTY-SEVEN OF THIS ARTICLE WITHIN THIRTY DAYS OF THE AGENCY
56 ACQUIRING OR CREATING SUCH RECORD SHALL BE CLASSIFIED AS IMMEDIATE.

1 AGENCIES SHOULD MAKE BEST EFFORTS TO CLASSIFY AS MANY RECORDS AS IMMEDI-
2 ATE AS IS POSSIBLE. ANY RECORD ACQUIRED OR CREATED AFTER JANUARY THIRD,
3 TWO THOUSAND ELEVEN, SHALL BE CLASSIFIED AS IMMEDIATE, AND SHALL BE MADE
4 AVAILABLE PURSUANT TO THE PROVISIONS OF SECTION EIGHTY-SEVEN OF THIS
5 ARTICLE IN AS CLOSE TO REAL-TIME AS POSSIBLE;

6 (B) LEGACY: ANY RECORD THAT, DUE TO ITS SIZE OR COMPLEXITY, OR DUE TO
7 TECHNOLOGY CONSTRAINTS, CANNOT BE MADE AVAILABLE ON THE INTERNET PURSU-
8 ANT TO THE PROVISIONS OF SECTION EIGHTY-SEVEN OF THIS ARTICLE BY JULY
9 SEVENTH, TWO THOUSAND FOURTEEN, SHALL BE CLASSIFIED AS LEGACY. AGENCIES
10 SHALL MAKE BEST EFFORTS TO AVOID PLACING RECORDS INTO THE LEGACY CLASSI-
11 FICATION;

12 (C) PRIORITY: ANY RECORD THAT IS NOT CLASSIFIED AS IMMEDIATE, LEGACY
13 OR EXEMPT SHALL BE CLASSIFIED AS PRIORITY; OR

14 (D) EXEMPT: ANY RECORD OR PORTION OF A RECORD WHICH IS NOT REQUIRED TO
15 BE DISCLOSED PURSUANT TO THE PROVISIONS OF SUBDIVISION TWO OF SECTION
16 EIGHTY-SEVEN OF THIS ARTICLE SHALL BE CLASSIFIED AS EXEMPT.

17 4. STATE AGENCY RECORDS SHALL BE MADE AVAILABLE ON THE INTERNET
18 ACCORDING TO THE FOLLOWING SCHEDULE:

19 (A) RECORDS CLASSIFIED AS IMMEDIATE SHALL BE AVAILABLE NO LATER THAN
20 JULY FOURTH, TWO THOUSAND ELEVEN;

21 (B) RECORDS CLASSIFIED AS PRIORITY SHALL BE AVAILABLE NO LATER THAN
22 JULY SECOND, TWO THOUSAND TWELVE;

23 (C) RECORDS CLASSIFIED AS LEGACY SHALL BE AVAILABLE NO LATER THAN JULY
24 SEVENTH, TWO THOUSAND FOURTEEN;

25 (D) RECORDS OR PORTIONS OF RECORDS SPECIFIED AS EXEMPT SHALL BE EXEMPT
26 FROM THE PROVISIONS OF THIS SECTION.

27 5. NOTWITHSTANDING THE PROVISIONS OF PARAGRAPH (C) OF SUBDIVISION ONE
28 OF SECTION EIGHTY-SEVEN OF THIS ARTICLE, NO FEES MAY BE CHARGED FOR
29 PHYSICAL COPIES OF RECORDS:

30 (A) WHICH ARE CLASSIFIED AS IMMEDIATE ACCORDING TO THE PROVISIONS OF
31 THIS SECTION AND WHICH ARE NOT AVAILABLE PURSUANT TO PROVISIONS OF THIS
32 SECTION ON OR AFTER JULY FOURTH, TWO THOUSAND ELEVEN;

33 (B) WHICH ARE CLASSIFIED AS PRIORITY ACCORDING TO THE PROVISIONS OF
34 THIS SECTION AND WHICH ARE NOT AVAILABLE PURSUANT TO PROVISIONS OF THIS
35 SECTION ON OR AFTER JULY SECOND, TWO THOUSAND TWELVE; OR

36 (C) WHICH ARE CLASSIFIED AS LEGACY ACCORDING TO THE PROVISIONS OF THIS
37 SECTION AND WHICH ARE NOT AVAILABLE PURSUANT TO PROVISIONS OF THIS
38 SECTION ON OR AFTER JULY SEVENTH, TWO THOUSAND FOURTEEN.

39 S 5. Section 103 of the state technology law is amended by adding two
40 new subdivisions 5-a and 12-c to read as follows:

41 5-A. TO ESTABLISH, OVERSEE, MANAGE, COORDINATE AND FACILITATE THE
42 PLANNING, DESIGN AND IMPLEMENTATION OF A SINGLE WEB PORTAL FOR USE BY
43 STATE AGENCIES IN MAKING RECORDS AVAILABLE TO THE PUBLIC AS PROVIDED IN
44 SECTION EIGHTY-SEVEN-A OF THE PUBLIC OFFICERS LAW.

45 12-C. IN CONSULTATION WITH THE COMMITTEE ON OPEN GOVERNMENT, TO
46 PREPARE AND PUBLISH A TECHNICAL STANDARDS MANUAL FOR THE PUBLISHING OF
47 RECORDS ON THE INTERNET BY STATE AGENCIES AS PROVIDED IN ARTICLE SIX OF
48 THE PUBLIC OFFICERS LAW NO LATER THAN JANUARY THIRD, TWO THOUSAND ELEV-
49 EN, AND SHALL BASE SUCH MANUAL ON TECHNICAL STANDARDS FOR WEB PUBLISHING
50 AND E-GOVERNMENT THAT HAVE BEEN DEVELOPED OR ADOPTED BY VOLUNTARY
51 CONSENSUS STANDARDS BODIES. SUCH MANUAL SHALL BE UPDATED BY THE OFFICE,
52 IN CONJUNCTION WITH THE COMMITTEE ON OPEN GOVERNMENT, AS NECESSARY. THE
53 OFFICE SHALL CONSULT WITH VOLUNTARY CONSENSUS STANDARDS BODIES AND
54 SHALL, WHEN SUCH PARTICIPATION IS FEASIBLE, IN THE PUBLIC INTEREST AND
55 IS COMPATIBLE WITH AGENCY AND DEPARTMENTAL MISSIONS, AUTHORITIES, PRIOR-
56 ITIES, AND BUDGET RESOURCES, PARTICIPATE WITH SUCH BODIES IN THE DEVEL-

1 OPMENT OF TECHNICAL STANDARDS. THE OFFICE SHALL PROMULGATE AND ADOPT
2 ALL NECESSARY RULES AND REGULATIONS TO ENSURE THAT STATE AGENCIES
3 PUBLISH THEIR RECORDS ON THE INTERNET IN ACCORDANCE WITH SUCH TECHNICAL
4 STANDARDS MANUAL.

5 S 6. This act shall take effect immediately.