

STATE OF NEW YORK

3544

2023-2024 Regular Sessions

IN SENATE

January 31, 2023

Introduced by Sen. BRESLIN -- read twice and ordered printed, and when printed to be committed to the Committee on Ethics and Internal Governance

AN ACT to amend the public officers law, in relation to electronic financial disclosures of members and candidates of the legislature

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

1 Section 1. The opening paragraph of paragraph (a) of subdivision 2 of
2 section 73-a of the public officers law, as amended by section 7 of part
3 QQ of chapter 56 of the laws of 2022, is amended to read as follows:

4 Every statewide elected official, state officer or employee, member of
5 the legislature, legislative employee and political party chair and
6 every candidate for statewide elected office or for member of the legis-
7 lature shall file an annual statement of financial disclosure containing
8 the information and in the form set forth in subdivision three of this
9 section. Every member of the legislature and candidate for member of the
10 legislature must file their annual statement of financial disclosure
11 electronically in an electronic, readable, searchable and downloadable
12 format. On or before the fifteenth day of May with respect to the
13 preceding calendar year: (1) every member of the legislature, every
14 candidate for member of the legislature and legislative employee shall
15 file such statement with the legislative ethics commission which shall
16 provide such statement along with any requests for exemptions or
17 deletions to the commission on ethics and lobbying in government for
18 filing and rulings with respect to such requests for exemptions or
19 deletions, on or before the thirtieth day of June; and (2) all other
20 individuals required to file such statement shall file it with the
21 commission on ethics and lobbying in government, except that:

22 § 2. Subdivision 3 of section 73-a of the public officers law, as
23 amended by section 18 of part QQ of chapter 56 of the laws of 2022,
24 subparagraphs (b), (b-2), and (c) of paragraph 8 as separately amended

EXPLANATION--Matter in italics (underscored) is new; matter in brackets
[-] is old law to be omitted.

LBD04016-01-3

1 by section 8 of part QQ of chapter 56 of the laws of 2022, is amended to
 2 read as follows:
 3 3. (a) The annual statement of financial disclosure shall contain the
 4 information and shall be in the form set forth hereinbelow:

5 ANNUAL STATEMENT OF FINANCIAL DISCLOSURE - (For calendar year _____)

6 1. Name _____
 7 2. (a) Title of Position _____
 8 (b) Department, Agency or other Governmental Entity _____
 9 (c) Address of Present Office _____
 10 (d) Office Telephone Number _____
 11 3. (a) Marital Status _____. If married, please give spouse's
 12 full name.
 13 _____.
 14 (b) Full name of domestic partner (if applicable).
 15 _____.
 16 (c) List the names of all unemancipated children.
 17 _____
 18 _____
 19 _____
 20 _____
 21 _____

22 Answer each of the following questions completely, with respect to
 23 calendar year _____, unless another period or date is otherwise
 24 specified. If additional space is needed, attach additional pages.

25 Whenever a "value" or "amount" is required to be reported herein, such
 26 value or amount shall be reported as being within one of the following
 27 Categories in Table I or Table II of this subdivision as called for in
 28 the question: A reporting individual shall indicate the Category by
 29 letter only.

30 Whenever "income" is required to be reported herein, the term "income"
 31 shall mean the aggregate net income before taxes from the source identi-
 32 fied.

33 The term "calendar year" shall mean the year ending the December 31st
 34 preceding the date of filing of the annual statement.

35 4. (a) List any office, trusteeship, directorship, partnership, or
 36 position of any nature, whether compensated or not, held by the
 37 reporting individual with any firm, corporation, association, part-
 38 nership, or other organization other than the State of New York.
 39 Include compensated honorary positions; do NOT list membership or
 40 uncompensated honorary positions. If the listed entity was licensed
 41 by any state or local agency, was regulated by any state regulatory
 42 agency or local agency, or, as a regular and significant part of the
 43 business or activity of said entity, did business with, or had
 44 matters other than ministerial matters before, any state or local
 45 agency, list the name of any such agency.

46		State or
47	Position	Local Agency
	Organization	

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(b) List any office, trusteeship, directorship, partnership, or position of any nature, whether compensated or not, held by the spouse, domestic partner or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

18		State or
19	Position	Local Agency
	Organization	
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5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

34		State or
35	Name & Address	Local
36	Position of Organization	Agency
	Description	
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(b) If the spouse, domestic partner or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency,

list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

Position	Name & Address of Organization	Description	State or Local Agency
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6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse, domestic partner or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse, domestic partner or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties. Do NOT list any interest in a contract made or executed by a local agency after public notice and pursuant to a process for competitive bidding or a process for competitive requests for proposals.

Self, Spouse, Domestic Partner or Child	Entity Which Held Interest in Contract	Relationship to Entity and Interest in Contract	Contracting State or Local Agency	Category of Value of Contract
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(In Table II)

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political party district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

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6 8. (a) If the reporting individual practices law, is licensed by the
7 department of state as a real estate broker or agent or practices a
8 profession licensed by the department of education, or works as a member
9 or employee of a firm required to register pursuant to section one-e of
10 the legislative law as a lobbyist, describe the services rendered for
11 which compensation was paid including a general description of the prin-
12 cipal subject areas of matters undertaken by such individual and princi-
13 pal duties performed. Specifically state whether the reporting individ-
14 ual provides services directly to clients. Additionally, if such an
15 individual practices with a firm or corporation and is a partner or
16 shareholder of the firm or corporation, give a general description of
17 principal subject areas of matters undertaken by such firm or corpo-
18 ration.

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20 _____
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23 _____

24 (b) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE
25 PROVIDED ON OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER
26 THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING
27 CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON
28 OR AFTER JULY FIRST, TWO THOUSAND TWELVE AND BEFORE DECEMBER
29 THIRTY-FIRST, TWO THOUSAND FIFTEEN:

30 If the reporting individual personally provides services to any person
31 or entity, or works as a member or employee of a partnership or corpo-
32 ration that provides such services (referred to hereinafter as a
33 "firm"), then identify each client or customer to whom the reporting
34 individual personally provided services, or who was referred to the firm
35 by the reporting individual, and from whom the reporting individual or
36 his or her firm earned fees in excess of \$10,000 during the reporting
37 period for such services rendered in direct connection with:

38 (i) A contract in an amount totaling \$50,000 or more from the state or
39 any state agency for services, materials, or property;

40 (ii) A grant of \$25,000 or more from the state or any state agency
41 during the reporting period;

42 (iii) A grant obtained through a legislative initiative during the
43 reporting period; or

44 (iv) A case, proceeding, application or other matter that is not a
45 ministerial matter before a state agency during the reporting period.

46 For purposes of this question, "referred to the firm" shall mean:
47 having intentionally and knowingly taken a specific act or series of
48 acts to intentionally procure for the reporting individual's firm or
49 knowingly solicit or direct to the reporting individual's firm in whole
50 or substantial part, a person or entity that becomes a client of that
51 firm for the purposes of representation for a matter as defined in
52 subparagraphs (i) through (iv) of this paragraph, as the result of such

1 procurement, solicitation or direction of the reporting individual. A
 2 reporting individual need not disclose activities performed while
 3 lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivi-
 4 sion seven of section seventy-three of this article.

5 The disclosure requirement in this question shall not require disclo-
 6 sure of clients or customers receiving medical or dental services, or
 7 mental health services, residential real estate brokering services, or
 8 insurance brokering services from the reporting individual or his or her
 9 firm. The reporting individual need not identify any client to whom he
 10 or she or his or her firm provided legal representation with respect to
 11 investigation or prosecution by law enforcement authorities, bankruptcy,
 12 or domestic relations matters. With respect to clients represented in
 13 other matters, where disclosure of a client's identity is likely to
 14 cause harm, the reporting individual shall request an exemption from the
 15 commission on ethics and lobbying in government pursuant to section
 16 ninety-four of the executive law, provided, however, that a reporting
 17 individual who first enters public office after July first, two thousand
 18 twelve, need not report clients or customers with respect to matters for
 19 which the reporting individual or his or her firm was retained prior to
 20 entering public office.

21 Client Nature of Services Provided

22 _____
 23 _____
 24 _____
 25 _____
 26 _____

27 (b-1) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES
 28 ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR
 29 FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE
 30 SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOU-
 31 SAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN
 32 CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

33 If the reporting individual receives income from employment reportable
 34 in question 8(a) and personally provides services to any person or enti-
 35 ty, or works as a member or employee of a partnership or corporation
 36 that provides such services (referred to hereinafter as a "firm"), the
 37 reporting individual shall identify each client or customer to whom the
 38 reporting individual personally provided services, or who was referred
 39 to the firm by the reporting individual, and from whom the reporting
 40 individual or his or her firm earned fees in excess of \$10,000 during
 41 the reporting period in direct connection with:

42 (i) A contract in an amount totaling \$10,000 or more from the state or
 43 any state agency for services, materials, or property;

44 (ii) A grant of \$10,000 or more from the state or any state agency
 45 during the reporting period;

46 (iii) A grant obtained through a legislative initiative during the
 47 reporting period; or

48 (iv) A case, proceeding, application or other matter that is not a
 49 ministerial matter before a state agency during the reporting period.

50 For such services rendered by the reporting individual directly to
 51 each such client, describe each matter that was the subject of such
 52 representation, the services actually provided and the payment received.
 53 For payments received from clients referred to the firm by the reporting
 54 individual, if the reporting individual directly received a referral fee

or fees for such referral, identify the client and the payment so received.

For purposes of this question, "referred to the firm" shall mean: having intentionally and knowingly taken a specific act or series of acts to intentionally procure for the reporting individual's firm or having knowingly solicited or directed to the reporting individual's firm in whole or substantial part, a person or entity that becomes a client of that firm for the purposes of representation for a matter as defined in clauses (i) through (iv) of this subparagraph, as the result of such procurement, solicitation or direction of the reporting individual. A reporting individual need not disclose activities performed while lawfully acting in his or her capacity as provided in paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article.

Client	Matter	Nature of Services Provided	Category of Amount (in Table I)
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(b-2) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN (FOR PURPOSES OF THIS QUESTION, "SERVICES" SHALL MEAN CONSULTATION, REPRESENTATION, ADVICE OR OTHER SERVICES):

(i) With respect to reporting individuals who receive ten thousand dollars or more from employment or activity reportable under question 8(a), for each client or customer NOT otherwise disclosed or exempted in question 8 or 13, disclose the name of each client or customer known to the reporting individual to whom the reporting individual provided services: (A) who paid the reporting individual in excess of five thousand dollars for such services; or (B) who had been billed with the knowledge of the reporting individual in excess of five thousand dollars by the firm or other entity named in question 8(a) for the reporting individual's services.

Client	Services Actually Provided	Category of Amount (in Table I)
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FOLLOWING IS AN ILLUSTRATIVE, NON-EXCLUSIVE LIST OF EXAMPLES OF DESCRIPTIONS OF "SERVICES ACTUALLY PROVIDED":

- * REVIEWED DOCUMENTS AND CORRESPONDENCE;
- * REPRESENTED CLIENT (IDENTIFY CLIENT BY NAME) IN LEGAL PROCEEDING;
- * PROVIDED LEGAL ADVICE ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);
- * CONSULTED WITH CLIENT OR CONSULTED WITH LAW PARTNERS/ASSOCIATES/MEMBERS OF FIRM ON CLIENT MATTER (IDENTIFY CLIENT BY NAME);

1 * PREPARED CERTIFIED FINANCIAL STATEMENT FOR CLIENT (IDENTIFY CLIENT BY
 2 NAME);
 3 * REFERRED INDIVIDUAL OR ENTITY (IDENTIFY CLIENT BY NAME) FOR
 4 REPRESENTATION OR CONSULTATION;
 5 * COMMERCIAL BROKERING SERVICES (IDENTIFY CUSTOMER BY NAME);
 6 * PREPARED CERTIFIED ARCHITECTURAL OR ENGINEERING
 7 RENDERINGS FOR CLIENT (IDENTIFY CUSTOMER BY NAME);
 8 * COURT APPOINTED GUARDIAN OR EVALUATOR (IDENTIFY COURT NOT CLIENT).
 9 (ii) With respect to reporting individuals who disclosed in question
 10 8(a) that the reporting individual did not provide services to a client
 11 but provided services to a firm or business, identify the category of
 12 amount received for providing such services and describe the services
 13 rendered.
 14 Services Actually Provided Category of Amount (Table I)

15 A reporting individual need not disclose activities performed while
 16 lawfully acting in his or her capacity as provided in paragraphs (c),
 17 (d), (e) and (f) of subdivision seven of section seventy-three of this
 18 article.
 19 The disclosure requirement in questions (b-1) and (b-2) shall not
 20 require disclosing clients or customers receiving medical, pharmaceu-
 21 tical or dental services, mental health services, or residential real
 22 estate brokering services from the reporting individual or his or her
 23 firm or if federal law prohibits or limits disclosure. The reporting
 24 individual need not identify any client to whom he or she or his or her
 25 firm provided legal representation with respect to investigation or
 26 prosecution by law enforcement authorities, bankruptcy, family court,
 27 estate planning, or domestic relations matters, nor shall the reporting
 28 individual identify individuals represented pursuant to an insurance
 29 policy but the reporting individual shall in such circumstances only
 30 report the entity that provides compensation to the reporting individ-
 31 ual; with respect to matters in which the client's name is required by
 32 law to be kept confidential (such as matters governed by the family
 33 court act) or in matters in which the reporting individual represents or
 34 provides services to minors, the client's name may be replaced with
 35 initials. To the extent that the reporting individual, or his or her
 36 firm, provided legal representation with respect to an initial public
 37 offering, and professional disciplinary rules, federal law or regu-
 38 lations restrict the disclosure of information relating to such work,
 39 the reporting individual shall (i) disclose the identity of the client
 40 and the services provided relating to the initial public offering to the
 41 office of court administration, who will maintain such information
 42 confidentially in a locked box; and (ii) include in his or her response
 43 to questions (b-1) and (b-2) that pursuant to this paragraph, a disclo-
 44 sure to the office of court administration has been made. Upon such time
 45 that the disclosure of information maintained in the locked box is no
 46 longer restricted by professional disciplinary rules, federal law or
 47 regulation, the reporting individual shall disclose such information in
 48 an amended disclosure statement in response to the disclosure require-
 49 ments in questions (b-1) and (b-2). The office of court administration
 50 shall develop and maintain a secure portal through which information
 51 submitted to it pursuant to this paragraph can be safely and confiden-
 52 tially stored. With respect to clients represented in other matters not
 53 otherwise exempt, the reporting individual may request an exemption to

1 publicly disclosing the name of that client from the commission on
2 ethics and lobbying in government pursuant to section ninety-four of the
3 executive law, or from the office of court administration. In such
4 application, the reporting individual shall state the following: "My
5 client is not currently receiving my services or seeking my services in
6 connection with:

7 (i) A proposed bill or resolution in the senate or assembly during the
8 reporting period;

9 (ii) A contract in an amount totaling \$10,000 or more from the state
10 or any state agency for services, materials, or property;

11 (iii) A grant of \$10,000 or more from the state or any state agency
12 during the reporting period;

13 (iv) A grant obtained through a legislative initiative during the
14 reporting period; or

15 (v) A case, proceeding, application or other matter that is not a
16 ministerial matter before a state agency during the reporting period."

17 In reviewing the request for an exemption, the commission on ethics
18 and lobbying in government or the office of court administration may
19 consult with bar or other professional associations and the legislative
20 ethics commission for individuals subject to its jurisdiction and may
21 consider the rules of professional conduct. In making its determination,
22 the commission on ethics and lobbying in government or the office of
23 court administration shall conduct its own inquiry and shall consider
24 factors including, but not limited to: (i) the nature and the size of
25 the client; (ii) whether the client has any business before the state;
26 and if so, how significant the business is; and whether the client has
27 any particularized interest in pending legislation and if so how signif-
28 icant the interest is; (iii) whether disclosure may reveal trade
29 secrets; (iv) whether disclosure could reasonably result in retaliation
30 against the client; (v) whether disclosure may cause undue harm to the
31 client; (vi) whether disclosure may result in undue harm to the attor-
32 ney-client relationship; and (vii) whether disclosure may result in an
33 unnecessary invasion of privacy to the client.

34 The commission on ethics and lobbying in government or, as the case
35 may be, the office of court administration shall promptly make a final
36 determination in response to such request, which shall include an expla-
37 nation for its determination. The office of court administration shall
38 issue its final determination within three days of receiving the
39 request. Notwithstanding any other provision of law or any professional
40 disciplinary rule to the contrary, the disclosure of the identity of any
41 client or customer in response to this question shall not constitute
42 professional misconduct or a ground for disciplinary action of any kind,
43 or form the basis for any civil or criminal cause of action or proceed-
44 ing. A reporting individual who first enters public office after January
45 first, two thousand sixteen, need not report clients or customers with
46 respect to matters for which the reporting individual or his or her firm
47 was retained prior to entering public office.

48 (c) APPLICABLE ONLY TO NEW CLIENTS OR CUSTOMERS FOR WHOM SERVICES ARE
49 PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOUSAND FIFTEEN, OR FOR
50 NEW MATTERS FOR EXISTING CLIENTS OR CUSTOMERS WITH RESPECT TO THOSE
51 SERVICES THAT ARE PROVIDED ON OR AFTER DECEMBER THIRTY-FIRST, TWO THOU-
52 SAND FIFTEEN:

53 If the reporting individual receives income of ten thousand dollars or
54 greater from any employment or activity reportable under question 8(a),
55 identify each registered lobbyist who has directly referred to such
56 individual a client who was successfully referred to the reporting indi-

vidual's business and from whom the reporting individual or firm received a fee for services in excess of five thousand dollars. Report only those referrals that were made to a reporting individual by direct communication from a person known to such reporting individual to be a registered lobbyist at the time the referral is made. With respect to each such referral, the reporting individual shall identify the client, the registered lobbyist who has made the referral, the category of value of the compensation received and a general description of the type of matter so referred. A reporting individual need not disclose activities performed while lawfully acting pursuant to paragraphs (c), (d), (e) and (f) of subdivision seven of section seventy-three of this article. The disclosure requirements in this question shall not require disclosing clients or customers receiving medical, pharmaceutical or dental services, mental health services, or residential real estate brokering services from the reporting individual or his or her firm or if federal law prohibits or limits disclosure. The reporting individual need not identify any client to whom he or she or his or her firm provided legal representation with respect to investigation or prosecution by law enforcement authorities, bankruptcy, family court, estate planning, or domestic relations matters, nor shall the reporting individual identify individuals represented pursuant to an insurance policy but the reporting individual shall in such circumstances only report the entity that provides compensation to the reporting individual; with respect to matters in which the client's name is required by law to be kept confidential (such as matters governed by the family court act) or in matters in which the reporting individual represents or provides services to minors, the client's name may be replaced with initials. To the extent that the reporting individual, or his or her firm, provided legal representation with respect to an initial public offering, and federal law or regulations restricts the disclosure of information relating to such work, the reporting individual shall (i) disclose the identity of the client and the services provided relating to the initial public offering to the office of court administration, who will maintain such information confidentially in a locked box; and (ii) include in his or her response a statement that pursuant to this paragraph, a disclosure to the office of court administration has been made. Upon such time that the disclosure of information maintained in the locked box is no longer restricted by federal law or regulation, the reporting individual shall disclose such information in an amended disclosure statement in response to the disclosure requirements of this paragraph. The office of court administration shall develop and maintain a secure portal through which information submitted to it pursuant to this paragraph can be safely and confidentially stored. With respect to clients represented in other matters not otherwise exempt, the reporting individual may request an exemption to publicly disclosing the name of that client from the commission on ethics and lobbying in government pursuant to section ninety-four of the executive law, or from the office of court administration. In such application, the reporting individual shall state the following: "My client is not currently receiving my services or seeking my services in connection with:

(i) A proposed bill or resolution in the senate or assembly during the reporting period;

(ii) A contract in an amount totaling \$10,000 or more from the state or any state agency for services, materials, or property;

(iii) A grant of \$10,000 or more from the state or any state agency during the reporting period;

(iv) A grant obtained through a legislative initiative during the reporting period; or

(v) A case, proceeding, application or other matter that is not a ministerial matter before a state agency during the reporting period."

In reviewing the request for an exemption, the commission on ethics and lobbying in government or the office of court administration may consult with bar or other professional associations and the legislative ethics commission for individuals subject to its jurisdiction and may consider the rules of professional conduct. In making its determination, the commission on ethics and lobbying in government or the office of court administration shall conduct its own inquiry and shall consider factors including, but not limited to: (i) the nature and the size of the client; (ii) whether the client has any business before the state; and if so, how significant the business is; and whether the client has any particularized interest in pending legislation and if so how significant the interest is; (iii) whether disclosure may reveal trade secrets; (iv) whether disclosure could reasonably result in retaliation against the client; (v) whether disclosure may cause undue harm to the client; (vi) whether disclosure may result in undue harm to the attorney-client relationship; and (vii) whether disclosure may result in an unnecessary invasion of privacy to the client.

The commission on ethics and lobbying in government or, as the case may be, the office of court administration shall promptly make a final determination in response to such request, which shall include an explanation for its determination. The office of court administration shall issue its final determination within three days of receiving the request. Notwithstanding any other provision of law or any professional disciplinary rule to the contrary, the disclosure of the identity of any client or customer in response to this question shall not constitute professional misconduct or a ground for disciplinary action of any kind, or form the basis for any civil or criminal cause of action or proceeding. A reporting individual who first enters public office after December thirty-first, two thousand fifteen, need not report clients or customers with respect to matters for which the reporting individual or his or her firm was retained prior to entering public office.

Client	Name of Lobbyist	Description of Matter	Category of Amount (in Table 1)
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(d) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse or domestic partner had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse, domestic partner or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in item 10. Indicate the value and nature of each such gift.

	Self, Spouse, Domestic Partner or Child	Name of Donor	Address	Nature of Gift	Category of Value of Gift (In Table I)
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12 10. Identify and briefly describe the source of any reimbursements for
 13 expenditures, EXCLUDING campaign expenditures and expenditures in
 14 connection with official duties reimbursed by the state, in EXCESS
 15 of \$1,000 from each such source. For purposes of this item, the term
 16 "reimbursements" shall mean any travel-related expenses provided by
 17 nongovernmental sources and for activities related to the reporting
 18 individual's official duties such as, speaking engagements, confer-
 19 ences, or factfinding events. The term "reimbursements" does NOT
 20 include gifts reported under item 9.

	Source	Description
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22		
23		
24		
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27 11. List the identity and value, if reasonably ascertainable, of each
 28 interest in a trust, estate or other beneficial interest, including
 29 retirement plans (other than retirement plans of the state of New
 30 York or the city of New York), and deferred compensation plans
 31 (e.g., 401, 403(b), 457, etc.) established in accordance with the
 32 internal revenue code, in which the REPORTING INDIVIDUAL held a
 33 beneficial interest in EXCESS of \$1,000 at any time during the
 34 preceding year. Do NOT report interests in a trust, estate or other
 35 beneficial interest established by or for, or the estate of, a rela-
 36 tive.

	Identity	Category of Value* (In Table II)
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45 * The value of such interest shall be reported only if reasonably
 46 ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise, or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

(b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse or domestic partner for the taxable year last occurring prior to the date of filing. Each such source must be described with particularity. Nature of income includes, but is not limited to, all income (other than that received from the employment listed under Item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in the case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

Self/ Spouse or Domestic Partner	Source	Nature	Category of Amount (In Table I)
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14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

Source	Category of Amount (In Table I)
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15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

Item Assigned or Transferred	Assigned or Transferred to	Category of Value (In Table I)
---------------------------------	-------------------------------	--------------------------------------

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

16. List below the type and market value of securities held by the reporting individual or such individual's spouse or domestic partner from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse or domestic partner has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse or domestic partner is the owner of record but in which such individual or the reporting individual's spouse or domes-

1	Self/			Percentage	Category
2	Spouse/	General	Acquisition	of	of Market
3	Domestic	Nature	Date	Ownership	Value
4	Partner				(In
5	Corporation	Location	Size		Table II)
6					
7					
8					
9					
10					

11 18. List below all notes and accounts receivable, other than from goods
 12 or services sold, held by the reporting individual at the close of
 13 the taxable year last occurring prior to the date of filing and
 14 other debts owed to such individual at the close of the taxable year
 15 last occurring prior to the date of filing, in EXCESS of \$1,000,
 16 including the name of the debtor, type of obligation, date due and
 17 the nature of the collateral securing payment of each, if any,
 18 excluding securities reported in item 16 hereinabove. Debts, notes
 19 and accounts receivable owed to the individual by a relative shall
 20 not be reported.

21		Type of Obligation,	Category
22		Date Due, and Nature	of
23	Name of Debtor	of Collateral, if any	Amount
24			(In Table II)
25			
26			
27			
28			
29			

30 19. List below all liabilities of the reporting individual and such
 31 individual's spouse or domestic partner, in EXCESS of \$10,000 as of
 32 the date of filing of this statement, other than liabilities to a
 33 relative. Do NOT list liabilities incurred by, or guarantees made
 34 by, the reporting individual or such individual's spouse or domestic
 35 partner or by any proprietorship, partnership or corporation in
 36 which the reporting individual or such individual's spouse or domes-
 37 tic partner has an interest, when incurred or made in the ordinary
 38 course of the trade, business or professional practice of the
 39 reporting individual or such individual's spouse or domestic part-
 40 ner. Include the name of the creditor and any collateral pledged by
 41 such individual to secure payment of any such liability. A reporting
 42 individual shall not list any obligation to pay maintenance in
 43 connection with a matrimonial action, alimony or child support
 44 payments. Any loan issued in the ordinary course of business by a
 45 financial institution to finance educational costs, the cost of home
 46 purchase or improvements for a primary or secondary residence, or
 47 purchase of a personally owned motor vehicle, household furniture or
 48 appliances shall be excluded. If any such reportable liability has
 49 been guaranteed by any third person, list the liability and name the
 50 guarantor.

	Name of Creditor or Guarantor	Type of Liability and Collateral, if any	Category of Amount (In Table II)
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5	_____	_____	_____
6	_____	_____	_____
7	_____	_____	_____
8	_____	_____	_____
9	_____	_____	_____

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

	(Signature of Reporting Individual)	Date (month/day/year)
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TABLE I

Category A	none		
Category B	\$	1 to under	\$ 1,000
Category C	\$	1,000 to under	\$ 5,000
Category D	\$	5,000 to under	\$ 20,000
Category E	\$	20,000 to under	\$ 50,000
Category F	\$	50,000 to under	\$ 75,000
Category G	\$	75,000 to under	\$ 100,000
Category H	\$	100,000 to under	\$ 150,000
Category I	\$	150,000 to under	\$ 250,000
Category J	\$	250,000 to under	\$ 350,000
Category K	\$	350,000 to under	\$ 450,000
Category L	\$	450,000 to under	\$ 550,000
Category M	\$	550,000 to under	\$ 650,000
Category N	\$	650,000 to under	\$ 750,000
Category O	\$	750,000 to under	\$ 850,000
Category P	\$	850,000 to under	\$ 950,000
Category Q	\$	950,000 to under	\$1,050,000
Category R	\$1,050,000	to under	\$1,150,000
Category S	\$1,150,000	to under	\$1,250,000
Category T	\$1,250,000	to under	\$1,350,000
Category U	\$1,350,000	to under	\$1,450,000
Category V	\$1,450,000	to under	\$1,550,000
Category W	\$1,550,000	to under	\$1,650,000
Category X	\$1,650,000	to under	\$1,750,000
Category Y	\$1,750,000	to under	\$1,850,000
Category Z	\$1,850,000	to under	\$1,950,000
Category AA	\$1,950,000	to under	\$2,050,000
Category BB	\$2,050,000	to under	\$2,150,000
Category CC	\$2,150,000	to under	\$2,250,000
Category DD	\$2,250,000	to under	\$2,350,000
Category EE	\$2,350,000	to under	\$2,450,000
Category FF	\$2,450,000	to under	\$2,550,000
Category GG	\$2,550,000	to under	\$2,650,000
Category HH	\$2,650,000	to under	\$2,750,000
Category II	\$2,750,000	to under	\$2,850,000
Category JJ	\$2,850,000	to under	\$2,950,000

1	Category KK	\$2,950,000	to under	\$3,050,000
2	Category LL	\$3,050,000	to under	\$3,150,000
3	Category MM	\$3,150,000	to under	\$3,250,000
4	Category NN	\$3,250,000	to under	\$3,350,000
5	Category OO	\$3,350,000	to under	\$3,450,000
6	Category PP	\$3,450,000	to under	\$3,550,000
7	Category QQ	\$3,550,000	to under	\$3,650,000
8	Category RR	\$3,650,000	to under	\$3,750,000
9	Category SS	\$3,750,000	to under	\$3,850,000
10	Category TT	\$3,850,000	to under	\$3,950,000
11	Category UU	\$3,950,000	to under	\$4,050,000
12	Category VV	\$4,050,000	to under	\$4,150,000
13	Category WW	\$4,150,000	to under	\$4,250,000
14	Category XX	\$4,250,000	to under	\$4,350,000
15	Category YY	\$4,350,000	to under	\$4,450,000
16	Category ZZ	\$4,450,000	to under	\$4,550,000
17	Category AAA	\$4,550,000	to under	\$4,650,000
18	Category BBB	\$4,650,000	to under	\$4,750,000
19	Category CCC	\$4,750,000	to under	\$4,850,000
20	Category DDD	\$4,850,000	to under	\$4,950,000
21	Category EEE	\$4,950,000	to under	\$5,050,000
22	Category FFF	\$5,050,000	to under	\$5,150,000
23	Category GGG	\$5,150,000	to under	\$5,250,000
24	Category HHH	\$5,250,000	to under	\$5,350,000
25	Category III	\$5,350,000	to under	\$5,450,000
26	Category JJJ	\$5,450,000	to under	\$5,550,000
27	Category KKK	\$5,550,000	to under	\$5,650,000
28	Category LLL	\$5,650,000	to under	\$5,750,000
29	Category MMM	\$5,750,000	to under	\$5,850,000
30	Category NNN	\$5,850,000	to under	\$5,950,000
31	Category OOO	\$5,950,000	to under	\$6,050,000
32	Category PPP	\$6,050,000	to under	\$6,150,000
33	Category QQQ	\$6,150,000	to under	\$6,250,000
34	Category RRR	\$6,250,000	to under	\$6,350,000
35	Category SSS	\$6,350,000	to under	\$6,450,000
36	Category TTT	\$6,450,000	to under	\$6,550,000
37	Category UUU	\$6,550,000	to under	\$6,650,000
38	Category VVV	\$6,650,000	to under	\$6,750,000
39	Category WWW	\$6,750,000	to under	\$6,850,000
40	Category XXX	\$6,850,000	to under	\$6,950,000
41	Category YYY	\$6,950,000	to under	\$7,050,000
42	Category ZZZ	\$7,050,000	to under	\$7,150,000
43	Category AAAA	\$7,150,000	to under	\$7,250,000
44	Category BBBB	\$7,250,000	to under	\$7,350,000
45	Category CCCC	\$7,350,000	to under	\$7,450,000
46	Category DDDD	\$7,450,000	to under	\$7,550,000
47	Category EEEE	\$7,550,000	to under	\$7,650,000
48	Category FFFF	\$7,650,000	to under	\$7,750,000
49	Category GGGG	\$7,750,000	to under	\$7,850,000
50	Category HHHH	\$7,850,000	to under	\$7,950,000
51	Category IIII	\$7,950,000	to under	\$8,050,000
52	Category JJJJ	\$8,050,000	to under	\$8,150,000
53	Category KKKK	\$8,150,000	to under	\$8,250,000
54	Category LLLL	\$8,250,000	to under	\$8,350,000
55	Category MMMM	\$8,350,000	to under	\$8,450,000
56	Category NNNN	\$8,450,000	to under	\$8,550,000

1	Category 0000	\$8,550,000	to under	\$8,650,000
2	Category PPPP	\$8,650,000	to under	\$8,750,000
3	Category QQQQ	\$8,750,000	to under	\$8,850,000
4	Category RRRR	\$8,850,000	to under	\$8,950,000
5	Category SSSS	\$8,950,000	to under	\$9,050,000
6	Category TTTT	\$9,050,000	to under	\$9,150,000
7	Category UUUU	\$9,150,000	to under	\$9,250,000
8	Category VVVV	\$9,250,000	to under	\$9,350,000
9	Category WWWW	\$9,350,000	to under	\$9,450,000
10	Category XXXX	\$9,450,000	to under	\$9,550,000
11	Category YYYY	\$9,550,000	to under	\$9,650,000
12	Category ZZZZ	\$9,650,000	to under	\$9,750,000
13	Category AAAAA	\$9,750,000	to under	\$9,850,000
14	Category BBBBB	\$9,850,000	to under	\$9,950,000
15	Category CCCCC	\$9,950,000	to under	\$10,000,000
16	Category DDDDD	\$10,000,000	or over	

TABLE II

17				
18	Category A	none		
19	Category B	\$	1	to under \$ 1,000
20	Category C	\$	1,000	to under \$ 5,000
21	Category D	\$	5,000	to under \$ 20,000
22	Category E	\$	20,000	to under \$ 50,000
23	Category F	\$	50,000	to under \$ 75,000
24	Category G	\$	75,000	to under \$ 100,000
25	Category H	\$	100,000	to under \$ 150,000
26	Category I	\$	150,000	to under \$ 250,000
27	Category J	\$	250,000	to under \$ 500,000
28	Category K	\$	500,000	to under \$ 750,000
29	Category L	\$	750,000	to under \$1,000,000
30	Category M	\$1,000,000	to under	\$1,250,000
31	Category N	\$1,250,000	to under	\$1,500,000
32	Category O	\$1,500,000	to under	\$1,750,000
33	Category P	\$1,750,000	to under	\$2,000,000
34	Category Q	\$2,000,000	to under	\$2,250,000
35	Category R	\$2,250,000	to under	\$2,500,000
36	Category S	\$2,500,000	to under	\$2,750,000
37	Category T	\$2,750,000	to under	\$3,000,000
38	Category U	\$3,000,000	to under	\$3,250,000
39	Category V	\$3,250,000	to under	\$3,500,000
40	Category W	\$3,500,000	to under	\$3,750,000
41	Category X	\$3,750,000	to under	\$4,000,000
42	Category Y	\$4,000,000	to under	\$4,250,000
43	Category Z	\$4,250,000	to under	\$4,500,000
44	Category AA	\$4,500,000	to under	\$4,750,000
45	Category BB	\$4,750,000	to under	\$5,000,000
46	Category CC	\$5,000,000	to under	\$5,250,000
47	Category DD	\$5,250,000	to under	\$5,500,000
48	Category EE	\$5,500,000	to under	\$5,750,000
49	Category FF	\$5,750,000	to under	\$6,000,000
50	Category GG	\$6,000,000	to under	\$6,250,000
51	Category HH	\$6,250,000	to under	\$6,500,000
52	Category II	\$6,500,000	to under	\$6,750,000
53	Category JJ	\$6,750,000	to under	\$7,000,000
54	Category KK	\$7,000,000	to under	\$7,250,000
55	Category LL	\$7,250,000	to under	\$7,500,000

1	Category MM	\$7,500,000 to under \$7,750,000
2	Category NN	\$7,750,000 to under \$8,000,000
3	Category OO	\$8,000,000 to under \$8,250,000
4	Category PP	\$8,250,000 to under \$8,500,000
5	Category QQ	\$8,500,000 to under \$8,750,000
6	Category RR	\$8,750,000 to under \$9,000,000
7	Category SS	\$9,000,000 to under \$9,250,000
8	Category TT	\$9,250,000 to under \$9,500,000
9	Category UU	\$9,500,000 or over

10 (b) The joint commission on public ethics shall make available an
11 editable, electronic, readable and searchable version of the annual
12 statement of financial disclosure by January first, two thousand twen-
13 ty-four to all those required by law to submit such forms.

14 § 3. This act shall take effect immediately; provided however that
15 section one of this act shall take effect January 1, 2025.