## STATE OF NEW YORK

6226--A

2021-2022 Regular Sessions

## IN SENATE

April 15, 2021

Introduced by Sens. KRUEGER, HOYLMAN -- read twice and ordered printed, and when printed to be committed to the Committee on Elections -committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee

AN ACT to amend the election law, in relation to the exercise of powers and duties of the board of elections of the city of New York and its executive management

## The People of the State of New York, represented in Senate and Assembly, do enact as follows:

Section 1. The section heading and subdivision 3 of section 3-200 of 2 the election law, the section heading as amended by chapter 373 of the laws of 1978, are amended and a new subdivision 8 is added to read as follows:

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Boards of elections; creation, qualifications of commissioners,

removal; additional qualifications and training in the city of New York. 3. In the city of New York the board shall consist of ten commission-8 ers of election who shall be registered voters in the county for which they are appointed and they shall be appointed by the city council of 10 the city of New York. Not more than two commissioners shall be regis-11 tered voters of the same county. In addition, no later than December 12 thirty-first, two thousand twenty-one, the state board of elections 13 shall prescribe qualifications for the commissioners of election of the 14 board of elections of the city of New York. Such qualifications shall apply to appointments made beginning thirty days after promulgation of 15 such qualifications and shall thereafter be reviewed by such board at least every two years and updated as necessary. Such qualifications 18 shall be prescribed only after consideration of the skills and knowledge 19 necessary or useful for the exercise of the duties and responsibilities 20 of such commissioners, as well as the ability to recruit a sufficient 21 <u>number of candidates to be commissioners.</u>

EXPLANATION -- Matter in italics (underscored) is new; matter in brackets [-] is old law to be omitted.

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 8. In the city of New York the commissioners of election shall participate in training conducted by the state board of elections regarding their duties and responsibilities as commissioners of election, including training regarding election day operations, to be completed within sixty days after a commissioner commences performance of the duties of the office. Commissioners of election shall participate in such continuing training conducted by the state board of elections as may be required by such board to remain informed of best practices, regulatory and statutory changes relating to their duties and responsibilities, and any other continuing training as is necessary to fulfill the duties of the commissioners of election.

§ 2. The section heading of section 3-212 of the election law is amended and a new subdivision 6 is added to read as follows:

Boards of elections; organization, proceedings, reports and records; budget reporting in the city of New York.

- 6. If, at any time during the city fiscal year of the city of New York, the director of management and budget of such city determines that the expenditures of the board of elections of the city of New York are reasonably likely to exceed appropriations to such board for personnel services or other than personnel services for a quarter of the fiscal year, based upon a reasonable allotment by such director of such appropriations to such quarter, or for the entire fiscal year, such director shall provide written notice of such determination to the co-executive directors of such board, the mayor of such city, the speaker of the city council of such city, and the public advocate of such city, together with any relevant requests for additional data or information that the director determines to be material to such board's level of expendi-Within twenty days of receiving such notice, such co-executive directors shall submit to such director, mayor, public advocate and speaker a projection of whether and by what amount it will exceed its appropriations for personnel services and other than personnel services for each quarter and the entire fiscal year, together with a detailed explanation of the needs justifying any such projected excess expendi-Nothing in this subdivision shall be construed to prevent the director from requiring the furnishing of data and information, and answers to pertinent inquiries, at any time in accordance with section two hundred twenty-five of the New York city charter.
  - § 3. Section 3-300 of the election law is amended to read as follows:
- § 3-300. Board employees; appointment. [Every] 1. Except as provided in subdivision two of this section, every board of elections shall appoint, and at its pleasure remove, clerks, voting machine technicians, custodians and other employees, fix their number, prescribe their duties, fix their titles and rank and establish their salaries within the amounts appropriated therefor by the local legislative body and shall secure in the appointment of employees of the board of elections equal representation of the major political parties. Every commissioner in each board of elections except for commissioners of the board of elections of the city of New York, may approve and at pleasure remove a deputy, establish his or her title and prescribe his or her duties. [In]
- 2. (a) Notwithstanding any provision of general, special or local law, in the city of New York, [the board of elections shall appoint an executive director and a deputy executive director whose duties it] co-executive directors who shall each be of separate major political parties and shall be appointed, and may be removed, in a manner set forth in paragraph (b) of this subdivision. The duty of the co-executive directors shall be to supervise the operations of the board of elections [under

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the supervision of such board | in accordance with this subdivision. The 1 2 board of elections of the city of New York shall advise the co-executive 3 directors on matters of policy affecting the administration of elections 4 in the city of New York. Except as expressly provided in this subdivi-5 sion, such board shall exercise no executive power and perform no execu-6 tive or administrative functions. Except as expressly provided in this 7 subdivision, such board shall make no individualized decisions concerning the employment of any specific person or the registration, pre-re-8 9 gistration, enrollment or qualifications of any specific voter or appli-10 cant. Nothing in this subdivision shall be construed to require or 11 authorize the day-to-day supervision of the co-executive directors by the board. The board may delegate powers and duties conferred upon the 12 13 board to the co-executive directors, to be exercised consistent with 14 paragraph (d) of this subdivision. One co-executive director shall first be appointed for a term of two years and the other shall first be 15 16 appointed to a term of four years, with both terms commencing on March 17 first, two thousand twenty-two. Thereafter, each co-executive director shall be appointed for a term of four years in the manner described in 18 paragraph (b) of this subdivision. In the case of a vacancy, a co-exe-19 20 cutive director shall be appointed to serve the remainder of the unex-21 pired term according to the original manner of appointment of the previ-22 ous co-executive director.

- (b)(1) For purposes of this paragraph and paragraph (e) of this subdivision, the term "appointing authorities" shall mean the mayor of the city of New York, the speaker of the city council of such city, and the public advocate of such city, who shall act pursuant to this subdivision by concurring action of at least two of such officials, provided that the mayor shall be one of the concurring officials.
- (2) No earlier than the first day of January and no later than the first day of February in two thousand twenty-two and in every calendar year thereafter during which the four-year term of a co-executive director is to expire, the commissioners of election for each political party shall file one or more certificates of party recommendation with the appointing authorities, as described in this paragraph.
- (3) Party recommendations for co-executive director shall be made by the commissioners of election for each political party. Such commissioners shall act jointly by majority vote to recommend no fewer than three candidates for each position of co-executive director. If at any time a vacancy occurs in the office of co-executive director other than by expiration of term of office, or if a request for additional candidates is made by the appointing authorities pursuant to subparagraph six of this paragraph, party recommendations to fill such vacancy shall be made within thirty days. If fewer than three candidates are recommended in a timely manner by the commissioners of election for the applicable political party acting jointly by majority vote, then the members of the city council who are members of the applicable political party may, by majority vote of such members, file certificates of party recommendation with the appointing authorities within fifteen days after the expiration of the time for filing by the commissioners of election, so as to increase the total number of candidates to three.
- (4) Candidates recommended under this paragraph must satisfy all qualifications required for local officers pursuant to the public officers
  law. Further, no later than December thirty-first, two thousand twenty-one, the state board of elections shall prescribe additional qualifications for the co-executive directors, which shall apply to recommendations and appointments made thereafter. Such qualifications shall

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41 42 thereafter be reviewed by such board at least every two years and updated as necessary, and shall be prescribed only after consideration of the skills and knowledge necessary or useful for the exercise of the duties and responsibilities of the co-executive directors, as well as the ability to recruit a sufficient number of candidates to be co-executive directors.

- (5) The certificates filed shall be in such form and contain such information as shall be prescribed by the state board of elections.
- 9 (6) The co-executive directors shall be appointed jointly by the 10 appointing authorities in accordance with this subdivision, from among the candidates recommended pursuant to subparagraph three of this para-11 graph; provided, however, that within thirty days after receipt of one 12 13 or more certificates of recommendation that include in the aggregate at 14 least three candidates, the appointing authorities may request the submission of not less than two additional candidates, in which event 15 16 the process set forth in subparagraph two of this paragraph shall be 17 repeated. If the appointing authorities fail to jointly appoint any person recommended for appointment as co-executive director pursuant to 18 19 this paragraph, within forty-five days after receipt of one or more 20 certificates of recommendation that include at least three candidates, 21 or within thirty days after receipt of one or more certificates that include at least two additional candidates, then the commissioners of 22 election who are members of the political party which filed such certif-23 icate may appoint one of the recommended candidates by a majority vote 24 of such commissioners. If a sufficient number of candidates, or of 25 26 additional candidates, are not recommended to the appointing authorities 27 by either the applicable commissioners of election or the applicable members of the city council within the times prescribed by this para-28 29 graph, then any holdover status of the incumbent co-executive director 30 shall terminate and the process required by this paragraph shall recom-31 mence, unless the appointing authorities jointly appoint one of the 32 recommended candidates within fifteen days after the expiration of such 33 prescribed times.
  - (7) Notwithstanding any inconsistent provision of this paragraph, no earlier than the first day of January and no later than the thirty-first day of January in the last year of the term of a co-executive director, the appointing authorities and commissioners of election for the applicable political party, acting by a majority vote of such commissioners, may agree to jointly issue a certificate of party recommendation and appointment to reappoint such co-executive director to another term of office. In such event, the process otherwise specified in subparagraphs two, three and six of this paragraph shall not apply.
- (8) A co-executive director may be removed from office for cause by the appointing authorities. In addition, a co-executive director may be removed without cause by the appointing authorities acting jointly with the commissioners of election for the applicable political party, acting by a majority vote of such commissioners. Any vacancy so resulting shall be filled in the manner prescribed by this paragraph for filling vacancies.
- 50 (9) The co-executive directors shall be subject to the training
  51 requirements set forth in subdivision eight of section 3-200 of this
  52 article, unless the state board of elections in its discretion
  53 prescribes alternative or additional training for the co-executive
  54 directors specific to their duties and responsibilities.

(c) The board shall have the power and duty to take the following actions to the extent otherwise consistent with the jurisdiction of the board pursuant to this chapter:

- (1) make determinations regarding the nomination and designation of candidates for public office and party positions pursuant to article six or any other relevant provision of this chapter;
- (2) make determinations regarding the form and content of ballots, including but not limited to the determination of candidates and questions to appear on the ballot pursuant to section 4-114 of this chapter and the certification of ballots pursuant to title one of article seven or any other relevant provision of this chapter;
- 12 (3) adopt resolutions eliminating meetings for local registration 13 pursuant to subdivision six of section 5-202 of this chapter;
  - (4) make determinations concerning challenges to voter registration and applications of voters unlawfully denied the right to register, pursuant to title two of article five of this chapter;
  - (5) make determinations concerning the adoption and use of voting machines or systems pursuant to section 7-200 of this chapter;
  - (6) canvass election results and perform all functions of the board of canvassers in the city of New York, including but not limited to the certification of election results, pursuant to article nine or any other relevant provision of this chapter;
    - (7) take any action authorized by section 3-218 of this article;
    - (8) approve any contract where:
  - (i) such contract was let by a procurement method other than competitive sealed bidding where the contract was awarded to the lowest responsible bidder;
  - (ii) such contract provides for technical, consultant or personal services;
  - (iii) the value of the contract exceeds or projects an annual expenditure exceeding one million dollars for the fiscal year or where the value of any contracts awarded to a single entity exceeds or is projected to exceed one million dollars for the fiscal year; and
  - (9) promulgate regulations, issue orders and make decisions regarding general policies affecting the administration of elections of the city of New York.
  - (d) The co-executive directors shall collectively serve as the chief executive for the board of elections of the city of New York and shall collectively exercise all their powers and duties in a manner not inconsistent with the policies of the board. The co-executive directors may collectively delegate powers and duties to the other, and may collectively delegate powers and duties to employees in furtherance of the purposes of this chapter, including but not limited to the ability to exercise the powers and duties of a co-executive director in the event of a vacancy. Such powers and duties shall include but not be limited to:
- (1) appointing, and at their pleasure removing, clerks, voting machine technicians, custodians and other employees, fixing their number, prescribing their duties, fixing their titles and rank and establishing their salaries within the amounts appropriated therefor in the expense budget of the city of New York, provided that this subparagraph shall not affect the fixing of a daily rate of compensation pursuant to subdi-vision one of section 3-420 of this article. They shall secure in the appointment of employees equal representation of the major political In exercising the powers conferred by this paragraph with respect to the appointment of employees, the co-executive directors

 shall, in consultation with the New York city department of citywide administrative services establish written policies and procedures on personnel, including executive staff, other than those paid at a daily rate pursuant to subdivision one of section 3-420 of this article, within ninety days of the effective date of this paragraph. Such policies and procedures shall include:

- (i) specifications setting forth the qualifications for and the nature and scope of the duties and responsibilities of each title, including executive staff, with appointments to be made consistent with such specifications, provided that appointments shall secure equal representation of the major political parties. Such specifications shall in addition to securing such representation, give due weight to seniority, previous trainings and experience, education and professional credentials, and performance ratings where available. This paragraph shall not be construed to require the preparation of administration of competitive examination of eligible list for any title, nor shall it be construed to require the termination of any individual employed by the board of elections of the city of New York prior to the establishment of such specifications;
- (ii) policies protecting employees from retaliation for disclosing information concerning acts of wrongdoing, misconduct, malfeasance of other inappropriate behavior by an employee or board member;
- (iii) policies providing for appropriate ongoing training of employees, including those individuals employed prior to the establishment of such policies, with the purpose of ensuring that all employees have the knowledge and experience to fulfill the duties of the position that they hold;
- (iv) policies to require the posting of all vacant positions fourteen days before interviews commence. All postings shall be on a publicly accessible website, in the city record, or on an open data portal. Nothing shall prevent the posting of vacancies on all three; and
- (v) policies establishing an employee performance evaluation program based upon evidence of work actually performed by employees as compared with pre-established performance standards;
- (2) exercising all the powers and duties delegated to boards of elections, or specifically to the board of elections of the city of New York, except where such powers and duties are expressly reserved for such board pursuant to this subdivision, in all matters relating to qualification of voters, voter registration and enrollment, cancellation of voter registration, change of voter status and registration records pursuant to article five of this chapter; in all matters relating to creation and alterations of election districts pursuant to article four of this chapter, and in all matters relating to designation of places for registration and polling places pursuant to articles five and eight of this chapter; and
- (3) exercising the powers and duties delegated to boards of elections, or specifically to the board of elections of the city of New York, in all other matters related to the administration of elections in the city of New York not otherwise specified in this subdivision.
- (e) Notwithstanding any inconsistent provision of law, in lieu of any otherwise applicable law concerning public conduct of business or rendering of determinations by the co-executive directors, they shall provide information to the board of elections of the city of New York and the public in accordance with this paragraph.
- (1) At regular meetings of such board conducted in accordance with article seven of the public officers law, the co-executive directors

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shall report to the commissioners of such board on the discharge of any powers and duties exercised by the co-executive directors under paragraph (d) of this subdivision as well as any additional relevant information as may be requested by majority vote of such board. At such meetings, a reasonable opportunity shall be provided to the public to provide oral comment on the actions and operations of the board and its

- (2) Not later than January thirty-first of each year, the co-executive directors shall provide to the appointing authorities a report regarding the actual performance of the board of elections of the city of New York as an agency for the previous calendar year, relative to programmatic goals and measures. Such report shall include such additional information, and be presented in such form, as may be specified in writing by the appointing authority and speaker and communicated to the co-executive directors no later than December first of the previous calendar year, provided that any specification requiring the collection or maintenance of additional data not already collected or maintained shall be specified at least three months prior to the anticipated commencement of <u>such collection or maintenance</u>.
- § 4. Subdivision 3 of section 4-136 of the election law, as amended by chapter 155 of the laws of 2010, is amended to read as follows:
- 3. In the city of New York all leased or purchased equipment, supplies, ballots, printing and publications, except newspaper notices and advertisements, to be used or furnished by such board, may be procured for it by the purchasing department or agency of such city as if such board were an agency of such city. Such board shall comply with the rules and regulations of the New York city procurement policy board and applicable state law for all purchase contracts, including but not <u>limited to purchase of goods, services or technology</u>.
- § 5. Notwithstanding any inconsistent provision of this act, until the first co-executive director of the applicable political party takes office in accordance with section 3-300 of the election law, as amended 33 by section three of this act, the executive director or deputy executive 34 director of the board of elections of the city of New York appointed by such board and representative of such party shall perform the functions assigned to the applicable co-executive director by such section of the election law, as amended by this act, or any other law. Further, while remaining in office, such executive director and deputy executive director shall be subject to removal and replacement by action of such board, consistent with the provisions of section 3-300 of the election law as such section was in existence prior to its amendment by this act. If a co-executive director has not been appointed by May 1, 2022, the status of the executive director or deputy executive director appointed by such 44 board and representative of the applicable political party shall terminate, and the new position of co-executive director shall be deemed in existence and vacant for all purposes after such date.
- 47 § 6. This act shall take effect on the sixtieth day after it have become a law. 48