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SENATE-ASSEMBLY

January 22, 2013

- IN SENATE -- A BUDGET BILL, submitted by the Governor pursuant to article seven of the Constitution -- read twice and ordered printed, and when printed to be committed to the Committee on Finance -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -- committee discharged, bill amended, ordered reprinted as amended, ordered reprinted as amended, ordered reprinted as amended and recommittee to said committee -- committee discharged, bill amended, ordered reprinted as amended and recommittee to said committee -- committee committee --- comm
- IN ASSEMBLY -- A BUDGET BILL, submitted by the Governor pursuant to article seven of the Constitution -- read once and referred to the Committee on Ways and Means -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee -again reported from said committee with amendments, ordered reprinted as amended and recommittee
- AN ACT in relation to school district eligibility for an increase in apportionment of school aid and implementation of standards for conducting annual professional performance reviews to determine teacher and principal effectiveness; to amend the education law, in relation to contracts for excellence, expenses for computer equipment, accountability of school districts, the financing of charter schools, annual professional performance review plans, apportionment of school aid, calculation of the gap elimination restoration amount, establishment of a community schools and extended learning time grant program, duties of school districts and the costs of certain tuition maintenance and transportation; to amend chapter 756 of the laws of 1992 relating to funding a program for work force education conducted by the consortium for worker education in New York city, in relation to apportionment and reimbursement; and in relation to extending the expiration of certain provisions; to amend chapter 169 of the laws of 1994 relating to certain provisions related to the 1994-95 state operations, aid to localities, capital projects and debt service budgets; to amend chapter 82 of the laws of 1995, amending the education law and certain other laws relating to state aid to school districts and the appropriation of funds for the support of government; to amend chapter 147 of the laws of 2001 amending the education law relating to conditional appointment of school district, charter school or BOCES employees; to amend chapter 425 of the laws of 2002 amending the education law relating to the provision of supplemental educational

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets
[] is old law to be omitted.

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services, attendance at a safe public school and the suspension of pupils who bring a firearm to or possess a firearm at a school, to laws of 2003 amending the education law amend chapter 101 of the relating to implementation of the No Child Left Behind Act of 2001, in relation to extending the expiration of certain provisions of such chapters; to amend chapter 472 of the laws of 1998 amending the education law relating to the lease of school buses by school districts, in relation to extending the provisions of such chapter; in relation to school bus driver training; in relation to the support of public libraries; to provide special apportionment for salary expenses; to provide special apportionment for public pension expenses; in relation to suballocation of certain education department accruals; in relation to purchases by the city school district of Rochester; and providing for the repeal of certain provisions relating to the suballocation of certain education department accruals (Part A); to amend the education law and the public authorities law, in relation to the acquisition, design, construction, reconstruction, rehabilitation, improvement and financing of dormitory facilities for the state university of New York (Part B); to amend chapter 57 of the laws of 2005 amending the labor implementing the state fiscal plan law and other laws for the 2005-2006 state fiscal year, relating to the New York state higher education capital matching grant program for independent colleges, in relation to the New York state higher education matching grant program for independent colleges and the effectiveness thereof (Part C); to amend the education law, in relation to establishing the Next Generation NY Job Linkage Program Act (Part D); to amend the social services law, in relation to increasing the standards of monthly need for aged, blind and disabled persons living in the community (Part E); amend the private housing finance law, in relation to the homeless to housing and assistance program; and to repeal certain provisions of the social services law relating thereto (Part F); to amend the executive law and the social services law, in relation to consolidating the youth development and delinquency prevention program and the special delinquency prevention program; and to repeal certain provisions of the executive law relating thereto (Part G); to amend the executive law, the family court act, and the social services law, in relation to juvenile justice reforms; and to repeal certain provisions of the executive law and the family court act relating thereto (Subpart A); to amend the executive law, in relation to allowing the department of service, in consultation with the commissioner of the office of civil children and family services, to prescribe qualifications of facility director positions (Subpart B) (Part H); to amend the executive law, the public health law and the social services law, in relation to the merger of the office of the welfare inspector general with the office of the inspector general; and to repeal certain provisions of the executive law relating thereto (Part I); to amend the real property tax law, in relation to providing for the registration of recipients of STAR exemptions, and eliminating waste, fraud and abuse in the STAR program (Part J); to amend the private housing finance law, in relation to the community preservation program; and to repeal articles 16 and 17 of such law relating thereto (Part K); to amend the public authorities law and the private housing finance law, in relation to modernizing the investment powers of the state of New York mortgage agency and the New York state housing finance agency; and to repeal certain provisions of the public authorities law and the private housing finance law relating thereto (Part L); to utilize reserves in the

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project pool insurance account of the mortgage insurance fund for various housing purposes (Part M); to amend the labor law, in relation to the powers of the commissioner of labor and to repeal subdivision 17 of section 100 of the economic development law relating to the operation of the state data center (Part N); to amend the labor law, in relation to increasing unemployment insurance benefits and contributions, to entitlement and eligibility criteria, to work search requirements, to relieving employers of charges for separations caused by misconduct and voluntarily leaving employment without good cause, reduction of benefits based on pensions and dismissal pay, to to enhanced penalties, in relation to fraudulently obtained benefits and new penalties for employers who cause overpayments by failing to timely and accurately respond to information about claims, to approving employer shared work benefit plans, and to the interest assessment surcharge; and to amend chapter 62 of the laws of 2003, amending the state finance law and other laws relating to authorizing and directing the state comptroller to loan money to certain funds and accounts, in relation to the effectiveness thereof; to repeal certain provisions of the labor law relating thereto; and providing for the repeal of certain provisions upon expiration thereof (Part O); to amend the labor law, in relation to the minimum wage and making technical corrections relating thereto (Part P); to amend the civil service law, in relation to the expiration of paragraph d of subdivision 4 of section 209 of such law and the authority of certain public arbitration panels thereunder (Part Q); and to amend the racing, pari-mutuel wagering and breeding law, in relation to the placement of casino gambling facilities and to amend the state finance law, in relation to establishing the casino revenue fund (Part R)

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

Section 1. This act enacts into law major components of legislation 1 2 which are necessary to implement the state fiscal plan for the 2013-2014 3 state fiscal year. Each component is wholly contained within a Part 4 identified as Parts A through R. The effective date for each particular 5 provision contained within such Part is set forth in the last section of 6 such Part. Any provision in any section contained within a Part, including the effective date of the Part, which makes a reference to a section 7 8 "of this act", when used in connection with that particular component, shall be deemed to mean and refer to the corresponding section of the 9 Part in which it is found. Section three of this act sets forth the 10 11 general effective date of this act.

12

PART A

13 Section 1. 1. As used in this section:

14 a. "APPR past non-compliance penalty" shall mean the sum of the annual 15 increases in apportionments withheld pursuant to section 1 of part A of 16 chapter 57 of the laws of 2012 and subdivision 2 of this section for the 17 base year and each prior school year;

18 b. "base year" shall mean the base year as defined in paragraph b of 19 subdivision 1 of section 3602 of the education law; and

20 c. "current year" shall mean the current year as defined in paragraph 21 a of subdivision 1 of section 3602 of the education law.

2. Notwithstanding any inconsistent provision of law, no school district shall be eligible for an apportionment of general support for 1 2 3 public schools from the funds appropriated for the 2013-14 school year and thereafter in excess of the amount apportioned to such school district in the base year unless such school district has submitted 4 5 documentation that has been approved by the commissioner of education by 6 7 September 1 of the current year, demonstrating that it has fully imple-8 mented the standards and procedures for conducting annual professional performance reviews of classroom teachers and building principals in 9 10 accordance with the requirements of section 3012-c of the education law 11 and the commissioner of education's regulations.

3. For the 2013-14 school year and thereafter the apportionment of general support for public schools from the funds appropriated for the 2013-14 school year and thereafter shall be reduced by the APPR past non-compliance penalty. Such reduction shall not occur prior to April 1 of the current year.

17 4. If any payments of ineligible amounts pursuant to subdivisions 2 18 and 3 of this section were made, and the school district has not submitted documentation that has been approved by the commissioner of educa-tion by September 1 of the current school year demonstrating that it has 19 20 21 fully implemented the standards and procedures for conducting annual 22 professional performance reviews of classroom teachers and building principals in accordance with the requirements of section 3012-c of the 23 education law and the regulations of the commissioner of education, the 24 25 total amount of such payments shall be deducted by the commissioner of education from future payments to the school district; provided further 26 that, if the amount of the deduction is greater than the sum of the 27 amounts available for such deductions in the applicable school year, the 28 29 remainder of the deduction shall be withheld from payments scheduled to 30 be made to the school district pursuant to section 3609-a of the education law for the subsequent school year. 31

32 S 2. Paragraph e of subdivision 1 of section 211-d of the education 33 law, as amended by section 2 of part A of chapter 57 of the laws of 34 2012, is amended to read as follows:

e. Notwithstanding paragraphs a and b of this subdivision, a school 35 district that submitted a contract for excellence for the two thousand 36 37 eight--two thousand nine school year shall submit a contract for excel-38 lence for the two thousand nine--two thousand ten school year in conformity with the requirements of subparagraph (vi) of paragraph a of 39 40 subdivision two of this section unless all schools in the district are identified as in good standing and provided further that, a school district that submitted a contract for excellence for the two thousand 41 42 43 nine--two thousand ten school year, unless all schools in the district 44 are identified as in good standing, shall submit a contract for excel-45 lence for the two thousand eleven--two thousand twelve school year which shall, notwithstanding the requirements of subparagraph (vi) of para-46 graph a of subdivision two of this section, provide for the expenditure 47 an amount which shall be not less than the product of the amount 48 of approved by the commissioner in the contract for excellence for the 49 two 50 nine--two thousand ten school year, multiplied by thousand the 51 district's gap elimination adjustment percentage and provided further that, a school district that submitted a contract for excellence for the 52 two thousand eleven--two thousand twelve school year, unless all schools 53 54 in the district are identified as in good standing, shall submit a 55 contract for excellence for the two thousand twelve--two thousand thir-56 teen school year which shall, notwithstanding the requirements of

subparagraph (vi) of paragraph a of subdivision two of this section, 1 2 the expenditure of an amount which shall be not less than provide for 3 the amount approved by the commissioner in the contract for excellence 4 for the two thousand eleven--two thousand twelve school year AND PROVIDED FURTHER THAT, A SCHOOL DISTRICT THAT SUBMITTED A CONTRACT 5 FOR 6 TWO THOUSAND TWELVE--TWO THOUSAND THIRTEEN SCHOOL FOR THE EXCELLENCE 7 YEAR, UNLESS ALL SCHOOLS IN THE DISTRICT ARE IDENTIFIED AS IN GOOD 8 STANDING, SHALL SUBMIT A CONTRACT FOR EXCELLENCE FOR THE TWO THOUSAND 9 THIRTEEN--TWO THOUSAND FOURTEEN SCHOOL YEAR WHICH SHALL, NOTWITHSTANDING 10 THE REQUIREMENTS OF SUBPARAGRAPH (VI) OF PARAGRAPH A OF SUBDIVISION TWO OF THIS SECTION, PROVIDE FOR THE EXPENDITURE OF AN AMOUNT WHICH SHALL BE 11 THAN THE AMOUNT APPROVED BY THE COMMISSIONER IN THE CONTRACT 12 NOT LESS 13 FOR EXCELLENCE FOR THE TWO THOUSAND TWELVE--TWO THOUSAND THIRTEEN SCHOOL 14 YEAR. For purposes of this paragraph, the "gap elimination adjustment 15 percentage" shall be calculated as the sum of one minus the quotient of 16 the sum of the school district's net gap elimination adjustment for two 17 thousand ten--two thousand eleven computed pursuant to chapter fifty-18 three of the laws of two thousand ten, making appropriations for the support of government, plus the school district's gap elimination 19 20 adjustment for two thousand eleven--two thousand twelve as computed 21 pursuant to chapter fifty-three of the laws of two thousand eleven, 22 making appropriations for the support of the local assistance budget, including support for general support for public schools, divided by the 23 24 total aid for adjustment computed pursuant to chapter fifty-three of the 25 laws of two thousand eleven, making appropriations for the local assist-26 ance budget, including support for general support for public schools. 27 Provided, further, that such amount shall be expended to support and 28 maintain allowable programs and activities approved in the two thousand 29 nine--two thousand ten school year or to support new or expanded allow-30 able programs and activities in the current year.

31 S 3. Subdivision 1 of section 753 of the education law, as amended by 32 section 4 of part A-1 of chapter 58 of the laws of 2011, is amended to 33 read as follows:

34 1. In addition to any other apportionment under this chapter, a school 35 district shall be eligible for an apportionment under the provisions of this section for approved expenses for (i) the purchase or lease of 36 37 micro and/or mini computer equipment or terminals for instructional purposes or (ii) technology equipment, as defined in paragraph c of 38 39 subdivision two of this section, used for instructional purposes, or 40 (iii) for the repair of such equipment and training and staff development for instructional purposes as provided hereinafter, or (iv) for 41 expenses incurred on or after July first, two thousand eleven, any items 42 43 of expenditure that are eligible for an apportionment pursuant to sections seven hundred one, seven hundred eleven and/or seven hundred 44 45 fifty-one of this title, where such items are designated by the school district as eligible for aid pursuant to this section, provided, howev-46 47 er, that if aided pursuant to this section, such expenses shall not be 48 aidable pursuant to any other section of law. Such aid shall be provided 49 pursuant to a plan developed by the district which demonstrates to the 50 satisfaction of the commissioner that the instructional computer hard-51 ware needs of the district's public school students have been adequately and that the school district has provided for the loan of instruc-52 met tional computer hardware to students legally attending nonpublic schools 53 54 pursuant to section seven hundred fifty-four of this article. The 55 apportionment shall equal the lesser of such approved expense in the base year or, the product of (i) the technology factor, (ii) the sum of 56

the public school district enrollment and the nonpublic school enroll-1 2 ment in the base year as defined in subparagraphs two and three of para-3 graph n of subdivision one of section thirty-six hundred two of this 4 chapter, and (iii) the building aid ratio, as defined in subdivision 5 four of section thirty-six hundred two of this chapter. AID PAYABLE 6 PURSUANT TO THIS SECTION SHALL BE DEEMED FINAL AND NOT SUBJECT TO CHANGE 7 AFTER APRIL THIRTIETH OF THE SCHOOL YEAR FOR WHICH PAYMENT WAS DUE. For 8 aid payable in the two thousand seven--two thousand eight school year 9 thereafter, the technology factor shall be twenty-four dollars and and 10 twenty cents. A school district may use up to twenty percent of the product of (i) the technology factor, (ii) the sum of the public school 11 12 district enrollment and the nonpublic school enrollment in the base year as defined in subparagraphs two and three of paragraph n of subdivision 13 of section thirty-six hundred two of this chapter, and (iii) the 14 one 15 building aid ratio for the repair of instructional computer hardware and technology equipment and training and staff development for instruc-16 17 tional purposes pursuant to a plan submitted to the commissioner.

18 S 4. Subdivision 2 of section 2116-b of the education law, as added by 19 chapter 263 of the laws of 2005, is amended to read as follows:

20 2. School districts of less than eight teachers, school districts with 21 actual general fund expenditures totaling less than five million dollars the previous school year, or school districts with actual enrollment 22 in of less than [three hundred] ONE THOUSAND students in the previous school year shall be exempt from this requirement. Any school district 23 24 25 claiming such exemption shall annually certify to the commissioner that 26 such school district meets the requirements set forth in this subdivi-27 sion.

28 S 5. Paragraph (a) of subdivision 1 of section 2856 of the education 29 law, as amended by section 21 of part A of chapter 58 of the laws of 30 2011, is amended to read as follows:

(a) The enrollment of students attending charter schools shall be 31 32 in the enrollment, attendance, membership and, if applicable, included 33 count of students with disabilities of the school district in which the pupil resides. The charter school shall report all such data to the school districts of residence in a timely manner. Each school district 34 pupil resides. 35 shall report such enrollment, attendance and count of students with 36 disabilities to the department. The school district of residence shall pay directly to the charter school for each student enrolled in the 37 38 charter school who resides in the school district the charter 39 school 40 basic tuition, which shall be:

41 (i) for school years prior to the two thousand nine--two thousand ten school year and for school years following the [two thousand twelve--two 42 43 thousand thirteen] TWO THOUSAND THIRTEEN--TWO THOUSAND FOURTEEN school 44 an amount equal to one hundred percent of the amount calculated year, 45 pursuant to paragraph f of subdivision one of section thirty-six hundred two of this chapter for the school district for the year prior to the 46 47 base year increased by the percentage change in the state total approved 48 operating expense calculated pursuant to paragraph t of subdivision one 49 of section thirty-six hundred two of this chapter from two years prior 50 to the base year to the base year;

51 (ii) for the two thousand nine--two thousand ten school year, the 52 charter school basic tuition shall be the amount payable by such 53 district as charter school basic tuition for the two thousand eight--two 54 thousand nine school year;

55 (iii) for the two thousand ten--two thousand eleven through [two thou-56 sand twelve--two thousand thirteen] TWO THOUSAND THIRTEEN--TWO THOUSAND 1 FOURTEEN school years, the charter school basic tuition shall be the 2 basic tuition computed for the two thousand ten--two thousand eleven 3 school year pursuant to the provisions of subparagraph (i) of this para-4 graph.

5 S 6. Paragraph (a) of subdivision 1 of section 2856 of the education 6 law, as amended by section 22 of part A of chapter 58 of the laws of 7 2011, is amended to read as follows:

8 (a) The enrollment of students attending charter schools shall be included in the enrollment, attendance and, if applicable, count of 9 10 students with disabilities of the school district in which the pupil resides. The charter school shall report all such data to the school 11 districts of residence in a timely manner. Each school district shall 12 report such enrollment, attendance and count of students with disabili-13 14 ties to the department. The school district of residence shall pay 15 directly to the charter school for each student enrolled in the charter school who resides in the school district the charter school basic 16 17 tuition which shall be:

(i) for school years prior to the two thousand nine--two thousand ten 18 19 school year and for school years following the [two thousand twelve--two thousand thirteen] TWO THOUSAND THIRTEEN--TWO THOUSAND FOURTEEN school 20 21 year, an amount equal to one hundred percent of the amount calculated 22 pursuant to paragraph f of subdivision one of section thirty-six hundred 23 two of this chapter for the school district for the year prior to the 24 base year increased by the percentage change in the state total approved 25 operating expense calculated pursuant to paragraph t of subdivision one 26 of section thirty-six hundred two of this chapter from two years prior 27 to the base year to the base year;

(ii) for the two thousand nine--two thousand ten school year, the charter school basic tuition shall be the amount payable by such district as charter school basic tuition for the two thousand eight--two thousand nine school year;

(iii) for the two thousand ten--two thousand eleven through [two thousand twelve--two thousand thirteen] TWO THOUSAND THIRTEEN--TWO THOUSAND FOURTEEN school years, the charter school basic tuition shall be the basic tuition computed for the two thousand ten--two thousand eleven school year pursuant to the provisions of subparagraph (i) of this paragraph.

38 S 7. Subdivision 2 of section 3012-c of the education law is amended 39 by adding a new paragraph 1 to read as follows:

40 L. (1) NOTWITHSTANDING ANY PROVISION OF LAW, RULE OR REGULATION TO THE CONTRARY, IF A SCHOOL DISTRICT IN A CITY WITH A POPULATION 41 OF ONE MILLION OR MORE DOES NOT HAVE AN ANNUAL PROFESSIONAL PERFORMANCE REVIEW 42 43 PLAN APPROVED BY THE COMMISSIONER OR DETERMINED PURSUANT TO THIS PARA-44 GRAPH IN PLACE ON OR BEFORE THE WEDNESDAY FOLLOWING THE FIRST FRIDAY IN 45 MAY, SUCH SCHOOL DISTRICT AND THE COLLECTIVE BARGAINING REPRESENTATIVES REPRESENTING CLASSROOM TEACHERS OR BUILDING PRINCIPALS SHALL SUBMIT 46 47 WRITTEN EXPLANATIONS OF THEIR RESPECTIVE POSITIONS REGARDING SUCH ISSUES 48 TO THE COMMISSIONER BY SUCH DATE.

(2) IF SUCH SCHOOL DISTRICT 49 DOES NOT HAVE AN ANNUAL PROFESSIONAL 50 PLAN APPROVED BY THE COMMISSIONER OR DETERMINED PERFORMANCE REVIEW 51 PURSUANT TO THIS PARAGRAPH IN PLACE ON OR BEFORE THE WEDNESDAY PRECEDING THE LAST FRIDAY IN MAY, THE COMMISSIONER SHALL ARBITRATE SUCH 52 DISPUTE HOLD NO MORE THAN TWO DAYS OF HEARINGS ON THE STANDARDS AND 53 AND SHALL 54 PROCEDURES NECESSARY TO IMPLEMENT AN ANNUAL PROFESSIONAL PERFORMANCE 55 REVIEW PLAN PURSUANT TO THIS SECTION. THE PARTIES MAY BE HEARD EITHER IN 56 PERSON, BY COUNSEL, OR BY SUCH REPRESENTATIVES AS THEY MAY DESIGNATE.

1 THE PARTIES MAY PRESENT, ORALLY OR IN WRITING, STATEMENTS OF FACT, 2 SUPPORTING WITNESSES AND OTHER EVIDENCE, AND ARGUMENTS. THE COMMISSIONER 3 MAY REQUIRE THE PRODUCTION OF SUCH ADDITIONAL EVIDENCE FROM THE PARTIES 4 AND SHALL PROVIDE, AT THE REQUEST OF EITHER PARTY, THAT A FULL AND 5 COMPLETE RECORD BE KEPT OF ANY SUCH HEARINGS, THE COST OF SUCH RECORD TO 6 BE SHARED EQUALLY BY THE PARTIES.

7 (3) NOTWITHSTANDING ANY OTHER PROVISION OF LAW, RULE OR REGULATION TO 8 CONTRARY, AFTER SUCH HEARING, THE COMMISSIONER SHALL RENDER A FINAL THE 9 AND BINDING WRITTEN DETERMINATION ON OR BEFORE JUNE FIRST, PRESCRIBING 10 SUCH STANDARDS AND PROCEDURES NECESSARY TO IMPLEMENT AN ANNUAL PROFES-SIONAL PERFORMANCE REVIEW PLAN PURSUANT TO THIS 11 SECTION EFFECTIVE FOR THE FOLLOWING SCHOOL YEAR FOR A TERM TO BE DETERMINED BY THE COMMISSION-12 SUCH DETERMINATION SHALL BE LIMITED TO THE REQUIREMENTS OF THIS 13 ER. 14 SECTION. THE COMMISSIONER SHALL SPECIFY IN HIS OR HER DETERMINATION THE 15 BASIS FOR HIS OR HER FINDINGS, TAKING INTO CONSIDERATION ALL RELEVANT FACTORS, INCLUDING THE BEST INTEREST OF STUDENTS. SUCH DETERMINATION 16 SHALL BE DEEMED TO CONSTITUTE THE SUBMISSION BY SUCH SCHOOL DISTRICT OF 17 DOCUMENTATION DEMONSTRATING THAT IT HAS FULLY IMPLEMENTED THE STANDARDS 18 19 AND PROCEDURES FOR CONDUCTING ANNUAL PROFESSIONAL PERFORMANCE REVIEWS OF 20 AND BUILDING PRINCIPALS IN ACCORDANCE CLASSROOM TEACHERS WITH THE 21 REQUIREMENTS OF THIS SECTION AND FINAL APPROVAL OF SUCH SCHOOL 22 DISTRICT'S ANNUAL PROFESSIONAL PERFORMANCE REVIEW PLAN IN ACCORDANCE 23 WITH PARAGRAPH K OF THIS SUBDIVISION.

24 (4) NO LATER THAN TEN DAYS AFTER RECEIPT OF THE COMMISSIONER'S DETER-25 MINATION, THE PARTIES MAY MAKE AN APPLICATION TO THE NEW YORK STATE SUPREME COURT TO VACATE OR MODIFY THE DETERMINATION OF THE COMMISSIONER 26 27 PURSUANT TO SECTION SEVENTY-FIVE HUNDRED ELEVEN OF THE CIVIL PRACTICE THE COURT'S REVIEW SHALL BE LIMITED TO THE GROUNDS SET 28 AND RULES. LAW FORTH IN SUCH SECTION. THE COMMISSIONER'S DETERMINATION SHALL BE DEEMED 29 BE FINAL FOR THE PURPOSE OF SUCH PROCEEDING. IN NO CASE SHALL THE 30 TO FILING OR THE PENDENCY OF AN APPEAL DELAY THE IMPLEMENTATION OF THE 31 32 COMMISSIONER'S DETERMINATION.

33 S 8. The closing paragraph of subdivision 5-a of section 3602 of the 34 education law, as amended by section 27 of part A of chapter 58 of the 35 laws of 2011, is amended to read as follows:

For the two thousand eight--two thousand nine school year, each school 36 37 district shall be entitled to an apportionment equal to the product of fifteen percent and the additional apportionment computed pursuant to 38 this subdivision for the two thousand seven--two thousand eight school 39 40 year. For the two thousand nine--two thousand ten through two thousand [twelve] FOURTEEN--two thousand [thirteen] FIFTEEN school years, each 41 school district shall be entitled to an apportionment equal to the 42 43 amount set forth for such school district as "SUPPLEMENTAL PUB EXCESS COST" under the heading "2008-09 BASE YEAR AIDS" in the school aid 44 computer listing produced by the commissioner in support of the budget 45 for the two thousand nine--two thousand ten school year and entitled 46 47 "SA0910".

48 S 9. Subdivision 9 of section 3602 of the education law, as amended by 49 section 16 of part B of chapter 57 of the laws of 2007, is amended to 50 read as follows:

9. Aid for conversion to full day kindergarten. School districts may make available full day kindergarten programs for all children wishing to attend such programs[,]. For aid payable in the two thousand seven--two thousand eight school year and thereafter, school districts which provided any half-day kindergarten programs or had no kindergarten for programs in the nineteen hundred ninety-six--ninety-seven school year 1 and in the base year, AND WHICH HAVE NOT RECEIVED AN APPORTIONMENT 2 PURSUANT TO THIS PARAGRAPH IN ANY PRIOR SCHOOL YEAR, shall be eligible 3 for aid equal to the product of the district's selected foundation aid 4 calculated pursuant to subdivision four of this section multiplied by 5 the positive difference resulting when the full day kindergarten enroll-6 ment of children attending programs in the district in the base year is 7 subtracted from such enrollment in the current year.

8 S 10. Subdivision 12 of section 3602 of the education law, as amended 9 by section 35 of part A of chapter 58 of the laws of 2011, is amended to 10 read as follows:

11 12. Academic enhancement aid. A school district that as of April first 12 of the base year has been continuously identified as a district in need improvement for at least five years shall, for the two thousand 13 of 14 eight--two thousand nine school year, be entitled to an additional 15 apportionment equal to the positive remainder, if any, of (a) the lesser 16 fifteen million dollars or the product of the total foundation aid of 17 base, as defined by paragraph j of subdivision one of this section, 18 multiplied by ten percent (0.10), less (b) the positive remainder of (i) 19 the sum of the total foundation aid apportioned pursuant to subdivision 20 four of this section and the supplemental educational improvement grants 21 apportioned pursuant to subdivision eight of section thirty-six hundred 22 forty-one of this article, less (ii) the total foundation aid base.

For the two thousand nine--two thousand ten through two thousand 23 24 [twelve] FOURTEEN--two thousand [thirteen] FIFTEEN school years, each 25 school district shall be entitled to an apportionment equal to the amount set forth for such school district as "EDUCATION GRANTS, ACADEMIC 26 EN" under the heading "2008-09 BASE YEAR AIDS" in the school aid comput-27 er listing produced by the commissioner in support of the budget for the 28 29 two thousand nine--two thousand ten school year and entitled "SA0910", 30 and such apportionment shall be deemed to satisfy the state obligation to provide an apportionment pursuant to subdivision eight of section 31 32 thirty-six hundred forty-one of this article.

33 S 11. Subdivision 16 of section 3602 of the education law, as amended 34 by section 18 of part B of chapter 57 of the laws of 2008, the opening 35 paragraph as amended by section 36 of part A of chapter 58 of the laws 36 of 2011, subparagraph 1 of paragraph a as further amended by section 1 37 of part W of chapter 56 of the laws of 2010, is amended to read as 38 follows:

39 16. High tax aid. Each school district shall be eligible to receive a 40 high tax aid apportionment in the two thousand [eight] THIRTEEN--two thousand [nine] FOURTEEN school year, which shall equal the greater of 41 (i) the sum of the tier 1 high tax aid apportionment[,] AND the tier 42 2 43 high tax aid apportionment [and the tier 3 high tax aid apportionment] 44 or (ii) the product of the [apportionment received by the school 45 district pursuant to this subdivision in the two thousand seven--two thousand eight school year,] AMOUNT SET FORTH FOR SUCH SCHOOL DISTRICT 46 47 "HIGH TAX AID" UNDER THE HEADING "2012-13 ESTIMATED AIDS" IN THE AS 48 SCHOOL AID COMPUTER LISTING PRODUCED BY THE COMMISSIONER IN SUPPORT OF 49 THE BUDGET FOR THE TWO THOUSAND TWELVE--TWO THOUSAND THIRTEEN SCHOOL 50 YEAR AND ENTITLED "SA121-3" multiplied by the due-minimum factor, which 51 shall equal, for districts with an alternate pupil wealth ratio computed pursuant to paragraph b of subdivision three of this section that is 52 less than [two] ONE, [seventy] FIFTY percent [(0.70)] (0.50), and for 53 54 all other districts, [fifty] THIRTY percent [(0.50)] (0.30). [Each 55 school district shall be eligible to receive a high tax aid apportion-56 ment in the two thousand nine--two thousand ten through two thousand 1 twelve--two thousand thirteen school years in the amount set forth for 2 such school district as "HIGH TAX AID" under the heading "2008-09 BASE 3 YEAR AIDS" in the school aid computer listing produced by the commis-4 sioner in support of the budget for the two thousand nine--two thousand 5 ten school year and entitled "SA0910".]

6 IN THE TWO THOUSAND FOURTEEN--TWO THOUSAND FIFTEEN SCHOOL YEAR AND 7 THEREAFTER, EACH SCHOOL DISTRICT SHALL BE ELIGIBLE TO RECEIVE A HIGH TAX 8 APPORTIONMENT IN THE AMOUNT SET FORTH FOR SUCH SCHOOL DISTRICT AS AID "HIGH TAX AID" UNDER THE HEADING "2013-14 ESTIMATED AIDS" IN THE 9 SCHOOL 10 COMPUTER LISTING PRODUCED BY THE COMMISSIONER IN SUPPORT OF THE AID 11 EXECUTIVE BUDGET REQUEST SUBMITTED FOR THE TWO THOUSAND THIRTEEN--TWO 12 THOUSAND FOURTEEN STATE FISCAL YEAR AND ENTITLED "BT131-4".

"Residential real property tax levy" shall mean 13 a. Definitions. (1) 14 the school tax levy imposed on residential property, including condomin-15 ium properties, in the year commencing in the calendar year two years prior to the calendar year in which the base year began. The final 16 update of such data shall be reported by the commissioner of 17 taxation and finance to the commissioner by February fifteenth of the base year. 18 19 The commissioner of taxation and finance shall adopt regulations as appropriate to assure the appropriate collection, classification and 20 21 reporting of such data for the purposes of paying state aid to the 22 schools.

23 "Adjusted gross income" shall mean the adjusted gross income of a (2)school district as used in computation of the district's alternate pupil 24 25 wealth ratio pursuant to paragraph b of subdivision three this of 26 section, provided, however, that for the computation of apportionments pursuant to this subdivision, the adjusted gross income of a central 27 28 school district shall not equal the sum of the adjusted gross high 29 income of each of its component school districts.

30 (3) "Tax effort ratio" shall mean the quotient of the district's resi-31 dential real property tax levy divided by the district's adjusted gross 32 income computed to five decimals without rounding.

"Tier 1 eligible school district" shall mean any school district 33 (4) in which (i) the income wealth index, as computed pursuant to paragraph d of subdivision three of this section, is less than [two and one-half] 34 35 d NINE HUNDRED AND FIFTY-FIVE THOUSANDTHS (.955), and (ii) the expense per 36 37 pupil, as computed pursuant to paragraph f of subdivision one of this is greater than NINETY-FIVE AND FIVE-TENTHS PERCENT (.955) OF 38 section, 39 the statewide average expense per pupil as computed pursuant to subdivi-40 sion five of this section, and (iii) the tax effort ratio is greater than [three and two-tenths percent (0.032)] FOUR AND FIVE-TENTHS PERCENT 41 42 For the [two thousand eight--two thousand nine] TWO THOUSAND (.045).43 THIRTEEN--TWO THOUSAND FOURTEEN school year, for the purpose of comput-44 ing aid pursuant to this subdivision, the statewide average expense per 45 pupil shall be [ten thousand six hundred fifty dollars] TWELVE THOUSAND 46 FIVE HUNDRED DOLLARS.

47 (5) "Tier 2 eligible school district" shall mean any school district 48 in which the tax effort ratio is greater than five AND FIVE-TENTHS 49 percent (.055).

50 "Tier 3 eligible school district" shall mean any school district [(6) in which (i) the quotient of (a) the actual valuation of the school 51 district divided by its total wealth pupil units computed pursuant to 52 subparagraph one of paragraph a of subdivision three of this 53 section, 54 divided by (b) the adjusted gross income of a school district divided by 55 total wealth pupil units computed pursuant to subparagraph one of its 56 paragraph b of subdivision three of this section, is greater than four and sixty-two hundredths (4.62), (ii) the combined wealth ratio computed pursuant to subparagraph one of paragraph c of subdivision three of this section is less than six, and (iii) the regional cost index determined pursuant to subparagraph two of paragraph a of subdivision four of this section is greater than one and three-tenths (1.3).]

6 b. Tier 1 high tax aid apportionment. For any tier 1 eligible school 7 district, the tier 1 high tax aid apportionment shall be [the greater of the product of the public school district enrollment of the 8 (1)]9 district in the base year, as computed pursuant to subparagraph two of 10 paragraph n of subdivision one of this section, multiplied by the prod-11 uct of four hundred [fifty] SEVENTY-FIVE dollars multiplied by the state sharing ratio[, or (2) one hundred thousand dollars] COMPUTED 12 PURSUANT 13 TO PARAGRAPH G OF SUBDIVISION THREE OF THIS SECTION.

14 Tier 2 high tax aid apportionment. For any tier 2 eligible school c. 15 district, the tier 2 high tax aid apportionment shall be the product of 16 the public school district enrollment of the district in the base (i) 17 year, as computed pursuant to subparagraph two of paragraph n of subdivision one of this section, multiplied by (ii) one hundred [eighty-one] 18 19 NINETY-FIVE thousandths [(0.181)] (0.195) multiplied by (iii) the positive difference, if any, of the expense per pupil, as computed pursuant 20 21 to paragraph f of subdivision one of this section, less [ten thousand 22 hundred sixty] THIRTEEN THOUSAND ONE HUNDRED TWENTY-FIVE dollars, six multiplied by (iv) an aid ratio computed by subtracting from one AND THIRTY-SEVEN HUNDREDTHS (1.37) the product obtained by multiplying the 23 24 25 alternate pupil wealth ratio computed pursuant to subparagraph one of 26 paragraph b of subdivision three of this section by [sixty percent] ONE AND TWENTY-THREE HUNDREDTHS (1.23), provided, however, that such 27 aid 28 shall not be less than zero nor greater than one, multiplied by ratio 29 (v) the regional cost index COMPUTED PURSUANT TO SUBPARAGRAPH TWO OF PARAGRAPH A OF SUBDIVISION FOUR OF THIS SECTION. 30

[d. Tier 3 high tax aid apportionment. For any tier 3 eligible school district, the tier 3 high tax aid apportionment shall be the product of (i) the public school district enrollment of the district in the base year, as computed pursuant to subparagraph two of paragraph n of subdivision one of this section, multiplied by (ii) fifty-two dollars, multiplied by (iii) the regional cost index.]

S 12. Paragraph (e) of subdivision 17 of section 3602 of the education law, as added by section 6 of part A of chapter 57 of the laws of 2012, is amended and a new paragraph f is added to read as follows:

40 [(e)] E. The gap elimination adjustment restoration amount for the two 41 thousand thirteen--two thousand fourteen school year and thereafter equal the product of the gap elimination percentage for such 42 [shall 43 district and the gap elimination adjustment restoration allocation 44 established pursuant to subdivision eighteen of this section] SHALL BE 45 COMPUTED BASED ON AN ELECTRONIC DATA FILE USED TO PRODUCE THE SCHOOL AID COMPUTER LISTING PRODUCED BY THE COMMISSIONER IN SUPPORT OF 46 THE EXECU-47 BUDGET REQUEST SUBMITTED FOR THE 2013-14 STATE FISCAL YEAR AND TIVE 48 ENTITLED "BT131-4" AND SHALL EQUAL THE SUM OF (I) THE GREATER OF:

49 (A) THE PRODUCT OF (1) THE PRODUCT OF THE EXTRAORDINARY NEEDS INDEX 50 TWO HUNDRED TEN DOLLARS AND TWENTY CENTS COMPUTED TO TWO MULTIPLIED BY 51 DECIMAL PLACES WITHOUT ROUNDING, MULTIPLIED BY SHARING (2) THE STATE 52 RATIO COMPUTED PURSUANT TO PARAGRAPH G OF SUBDIVISION THREE OF THIS SECTION MULTIPLIED BY (3) THE PUBLIC SCHOOL DISTRICT ENROLLMENT FOR 53 THE 54 BASE YEAR, CALCULATED PURSUANT TO SUBPARAGRAPH TWO OF PARAGRAPH N OF 55 SUBDIVISION ONE OF THIS SECTION, WHERE THE EXTRAORDINARY NEEDS INDEX THE QUOTIENT OF 56 THE EXTRAORDINARY NEEDS PERCENT FOR THE SHALL BE

DISTRICT COMPUTED PURSUANT TO PARAGRAPH W OF SUBDIVISION ONE OF 1 THIS 2 SECTION DIVIDED BY FIVE HUNDRED THIRTY-FOUR ONE-THOUSANDTHS (.534); OR PRODUCT OF FORTY PERCENT (0.40) MULTIPLIED BY THE GAP ELIMI-3 (B) THE 4 NATION ADJUSTMENT RESTORATION FOR THE TWO THOUSAND TWELVE--TWO THOUSAND SCHOOL YEAR COMPUTED PURSUANT TO PARAGRAPH D OF THIS SUBDIVI-5 THIRTEEN 6 SION AND (II) THE PRODUCT OF (1) THE POSITIVE DIFFERENCE, IF ANY, OF ONE 7 AND THIRTY-SEVEN ONE-HUNDREDTHS (1.37) MINUS THE PRODUCT OF THE COMBINED 8 WEALTH RATIO COMPUTED PURSUANT TO SUBPARAGRAPH ONE OF PARAGRAPH C OF SUBDIVISION THREE OF THIS SECTION MULTIPLIED BY ONE AND TWENTY-THREE 9 10 HUNDREDTHS (1.23), MULTIPLIED BY (2) THE PUBLIC SCHOOL DISTRICT ENROLL-11 MENT FOR THE BASE YEAR, CALCULATED PURSUANT TO SUBPARAGRAPH TWO OF PARA-SUBDIVISION ONE OF THIS SECTION, MULTIPLIED BY (3) FIFTY 12 GRAPH N OF DOLLARS; BUT SHALL BE NO GREATER THAN 13 THE PRODUCT OF FORTY-ONE AND 14 FIVE-TENTHS PERCENT (0.415) AND THE GAP ELIMINATION ADJUSTMENT FOR THE 15 TWO THOUSAND TWELVE--TWO THOUSAND THIRTEEN SCHOOL YEAR FOR THE DISTRICT. 16 F. THE GAP ELIMINATION ADJUSTMENT RESTORATION AMOUNT FOR THE TWO THOU-SAND FOURTEEN--TWO THOUSAND FIFTEEN SCHOOL YEAR AND 17 THEREAFTER SHALL PRODUCT OF THE GAP ELIMINATION PERCENTAGE FOR SUCH DISTRICT 18 THE EOUAL 19 AND THE GAP ELIMINATION ADJUSTMENT RESTORATION ALLOCATION ESTABLISHED PURSUANT TO SUBDIVISION EIGHTEEN OF THIS SECTION. 20

21 S 13. Paragraph a of subdivision 5 of section 3604 of the education 22 law, as amended by chapter 161 of the laws of 2005, is amended to read 23 as follows:

24 a. State aid adjustments. All errors or omissions in the apportionment 25 shall be corrected by the commissioner. Whenever a school district has 26 been apportioned less money than that to which it is entitled, the commissioner may allot to such district the balance to which it is enti-27 Whenever a school district has been apportioned more money than 28 tled. 29 that to which it is entitled, the commissioner may, by an order, direct such moneys to be paid back to the state to be credited to the general 30 fund local assistance account for state aid to the schools, or may 31 32 deduct such amount from the next apportionment to be made to said 33 district, provided, however, that, upon notification of excess payments aid for which a recovery must be made by the state through deduction 34 of 35 of future aid payments, a school district may request that such excess payments be recovered by deducting such excess payments 36 from the payments due to such school district and payable in the month of June in 37 38 (i) the school year in which such notification was received and (ii) the two succeeding school years, provided further that there shall be no 39 40 interest penalty assessed against such district or collected by the state. Such request shall be made to the commissioner in such form as 41 the commissioner shall prescribe, and shall be based on documentation that the total amount to be recovered is in excess of one percent of the 42 43 44 district's total general fund expenditures for the preceding school 45 year. The amount to be deducted in the first year shall be the greater of (i) the sum of the amount of such excess payments that is recognized 46 a liability due to other governments by the district for the preced-47 as 48 ing school year and the positive remainder of the district's unreserved 49 fund balance at the close of the preceding school year less the product 50 of the district's total general fund expenditures for the preceding school year multiplied by five percent, or (ii) one-third of such excess 51 payments. The amount to be recovered in the second year shall equal the 52 lesser of the remaining amount of such excess payments to be recovered 53 54 or one-third of such excess payments, and the remaining amount of such 55 excess payments shall be recovered in the third year. Provided further 56 that, notwithstanding any other provisions of this subdivision, any

pending payment of moneys due to such district as a prior year adjust-1 2 ment payable pursuant to paragraph c of this subdivision for aid claims 3 that had been previously paid as current year aid payments in excess of 4 the amount to which the district is entitled and for which recovery of 5 excess payments is to be made pursuant to this paragraph, shall be 6 reduced at the time of actual payment by any remaining unrecovered 7 balance of such excess payments, and the remaining scheduled deductions 8 of such excess payments pursuant to this paragraph shall be reduced by 9 the commissioner to reflect the amount so recovered. [The commissioner 10 shall certify no payment to a school district based on a claim submitted 11 later than three years after the close of the school year in which such 12 payment was first to be made. For claims for which payment is first to be made in the nineteen hundred ninety-six--ninety-seven school year, 13 14 the commissioner shall certify no payment to a school district based on 15 a claim submitted later than two years after the close of such school year.] For claims for which payment is first to be made [in the nineteen 16 hundred ninety-seven--ninety-eight] PRIOR TO THE TWO THOUSAND FOURTEEN-17 -TWO THOUSAND FIFTEEN school year [and thereafter], the commissioner 18 19 shall certify no payment to a school district based on a claim submitted 20 later than one year after the close of such school year. FOR CLAIMS FOR 21 WHICH PAYMENT IS FIRST TO BE MADE IN THE TWO THOUSAND FOURTEEN--TWO THOUSAND FIFTEEN SCHOOL YEAR 22 AND THEREAFTER, THE COMMISSIONER SHALL 23 CERTIFY NO PAYMENT TO A SCHOOL DISTRICT BASED ON A CLAIM SUBMITTED LATER THE FIRST OF NOVEMBER OF SUCH SCHOOL YEAR. Provided, however, no 24 THAN 25 payments shall be barred or reduced where such payment is required as a 26 result of a final audit of the state. [It is further provided that, until June thirtieth, nineteen hundred ninety-six, the commissioner may 27 28 grant a waiver from the provisions of this section for any school 29 district if it is in the best educational interests of the district pursuant to guidelines developed by the commissioner and approved by the 30 director of the budget.] FURTHER PROVIDED THAT FOR ANY APPORTIONMENTS 31 32 PROVIDED PURSUANT TO SECTIONS SEVEN HUNDRED ONE, SEVEN HUNDRED ELEVEN, 33 HUNDRED FIFTY-ONE, SEVEN HUNDRED FIFTY-THREE, THIRTY-SIX HUNDRED SEVEN TWO, THIRTY-SIX HUNDRED TWO-B, THIRTY-SIX HUNDRED TWO-C, 34 THIRTY-SIX HUNDRED TWO-E, THIRTY-SIX HUNDRED TWELVE, AND FORTY-FOUR HUNDRED FIVE OF 35 THIS CHAPTER FOR THE TWO THOUSAND THIRTEEN--TWO THOUSAND FOURTEEN AND 36 37 PRIOR SCHOOL YEARS, THE COMMISSIONER SHALL CERTIFY NO PAYMENT TO A 38 SCHOOL DISTRICT, OTHER THAN PAYMENTS PURSUANT TO SUBDIVISIONS SIX-A, ELEVEN, THIRTEEN AND FIFTEEN OF SECTION THIRTY-SIX HUNDRED TWO OF 39 THIS 40 PART, IN EXCESS OF THE PAYMENT COMPUTED BASED ON AN ELECTRONIC DATA FILE USED TO PRODUCE THE SCHOOL AID COMPUTER LISTING PRODUCED BY THE COMMIS-41 SIONER IN SUPPORT OF THE EXECUTIVE BUDGET REQUEST SUBMITTED FOR THE 42 TWO 43 THOUSAND THIRTEEN--TWO THOUSAND FOURTEEN STATE FISCAL YEAR AND ENTITLED 44 "BT131-4", AND FURTHER PROVIDED THAT FOR ANY APPORTIONMENTS PROVIDED 45 PURSUANT TO SECTIONS SEVEN HUNDRED ONE, SEVEN HUNDRED ELEVEN, SEVEN HUNDRED FIFTY-ONE, SEVEN HUNDRED FIFTY-THREE, THIRTY-SIX HUNDRED 46 TWO. 47 THIRTY-SIX HUNDRED TWO-B, THIRTY-SIX HUNDRED TWO-C, THIRTY-SIX HUNDRED 48 TWO-E, THIRTY-SIX HUNDRED TWELVE, AND FORTY-FOUR HUNDRED FIVE OF THIS 49 CHAPTER FOR THE TWO THOUSAND FOURTEEN--TWO THOUSAND FIFTEEN SCHOOL YEAR 50 AND THEREAFTER, THE COMMISSIONER SHALL CERTIFY NO PAYMENT TO A SCHOOL 51 OTHER THAN PAYMENTS PURSUANT TO SUBDIVISIONS SIX-A, ELEVEN, DISTRICT, THIRTEEN AND FIFTEEN OF SECTION THIRTY-SIX HUNDRED TWO OF THIS PART, 52 INEXCESS OF THE PAYMENT COMPUTED BASED ON AN ELECTRONIC DATA FILE USED TO 53 54 PRODUCE THE SCHOOL AID COMPUTER LISTING PRODUCED BY THE COMMISSIONER IN 55 THE EXECUTIVE BUDGET REQUEST SUBMITTED FOR THE STATE FISCAL SUPPORT OF 56 YEAR IN WHICH THE SCHOOL YEAR COMMENCES.

1 S 14. The opening paragraph of section 3609-a of the education law, as 2 amended by section 9 of part A of chapter 57 of the laws of 2012, is 3 amended to read as follows:

4 For aid payable in the two thousand seven--two thousand eight school 5 year [and thereafter] THROUGH THE TWO THOUSAND TWELVE--TWO THOUSAND 6 THIRTEEN SCHOOL YEAR, "moneys apportioned" shall mean the lesser of (i) 7 the sum of one hundred percent of the respective amount set forth for 8 each school district as payable pursuant to this section in the school 9 aid computer listing for the current year produced by the commissioner 10 in support of the budget which includes the appropriation for the gener-11 support for public schools for the prescribed payments and individal ualized payments due prior to April first for the current year plus 12 the 13 apportionment payable during the current school year pursuant to subdi-14 vision six-a and subdivision fifteen of section thirty-six hundred two 15 of this part minus any reductions to current year aids pursuant to 16 subdivision seven of section thirty-six hundred four of this part or any 17 deduction from apportionment payable pursuant to this chapter for 18 collection of a school district basic contribution as defined in subdi-19 vision eight of section forty-four hundred one of this chapter, less any 20 grants provided pursuant to subparagraph two-a of paragraph b of subdi-21 vision four of section ninety-two-c of the state finance law, less any 22 grants provided pursuant to subdivision twelve of section thirty-six hundred forty-one of this article, or (ii) the apportionment calculated 23 24 by the commissioner based on data on file at the time the payment is 25 processed; provided however, that for the purposes of any payments made pursuant to this section prior to the first business day of June of 26 the current year, moneys apportioned shall not include any aids payable 27 28 pursuant to subdivisions six and fourteen, if applicable, of section 29 thirty-six hundred two of this part as current year aid for debt service on bond anticipation notes and/or bonds first issued in the current year 30 any aids payable for full-day kindergarten for the current year 31 or 32 pursuant to subdivision nine of section thirty-six hundred two of this 33 The definitions of "base year" and "current year" as set forth in part. subdivision one of section thirty-six hundred two of this part 34 shall apply to this section. For aid payable in the two thousand twelve--two 35 thousand thirteen school year, reference to such "school aid 36 computer listing for the current year" shall mean the printouts "SA121-3". FOR AID PAYABLE IN THE TWO THOUSAND THIRTEEN--TWO 37 entitled 38 THOUSAND 39 FOURTEEN SCHOOL YEAR AND THEREAFTER, "MONEYS APPORTIONED" SHALL MEAN THE 40 (I) THE SUM OF ONE HUNDRED PERCENT OF THE RESPECTIVE AMOUNT LESSER OF: SET FORTH FOR EACH SCHOOL DISTRICT AS PAYABLE PURSUANT TO 41 THIS SECTION THE SCHOOL AID COMPUTER LISTING FOR THE CURRENT YEAR PRODUCED BY THE 42 IN 43 COMMISSIONER IN SUPPORT OF THE EXECUTIVE BUDGET REQUEST WHICH INCLUDES 44 THE APPROPRIATION FOR THE GENERAL SUPPORT FOR PUBLIC SCHOOLS FOR THE 45 PRESCRIBED PAYMENTS AND INDIVIDUALIZED PAYMENTS DUE PRIOR TO APRIL FIRST 46 FOR THE CURRENT YEAR PLUS THE APPORTIONMENT PAYABLE DURING THE CURRENT 47 SCHOOL YEAR PURSUANT TO SUBDIVISIONS SIX-A AND FIFTEEN OF SECTION THIR-48 TY-SIX HUNDRED TWO OF THIS PART MINUS ANY REDUCTIONS ΤO CURRENT YEAR 49 AIDS PURSUANT TO SUBDIVISION SEVEN OF SECTION THIRTY-SIX HUNDRED FOUR OF 50 ANY DEDUCTION FROM THE APPORTIONMENT PAYABLE PURSUANT TO THIS PART OR 51 THIS CHAPTER FOR COLLECTION OF A SCHOOL DISTRICT BASIC CONTRIBUTION AS SUBDIVISION EIGHT OF SECTION FORTY-FOUR HUNDRED ONE OF THIS 52 DEFINED IN CHAPTER, LESS ANY GRANTS PROVIDED PURSUANT TO SUBPARAGRAPH 53 TWO-A OF 54 PARAGRAPH B OF SUBDIVISION FOUR OF SECTION NINETY-TWO-C OF THE STATE 55 FINANCE LAW, LESS ANY GRANTS PROVIDED PURSUANT TO SUBDIVISION TWELVE OF 56 SECTION THIRTY-SIX HUNDRED FORTY-ONE OF THIS ARTICLE; OR (II) THE APPOR-

TIONMENT CALCULATED ΒY THE COMMISSIONER BASED ON DATA ON FILE AT THE 1 TIME THE PAYMENT IS PROCESSED; PROVIDED HOWEVER, THAT FOR 2 THE PURPOSES 3 ANY PAYMENTS MADE PURSUANT TO THIS SECTION PRIOR TO THE FIRST BUSI-OF 4 NESS DAY OF JUNE OF THECURRENT YEAR, MONEYS APPORTIONED SHALL NOT 5 INCLUDE ANY AIDS PAYABLE PURSUANT TO SUBDIVISIONS SIX AND FOURTEEN, IF 6 APPLICABLE, OF SECTION THIRTY-SIX HUNDRED TWO OF THIS PART AS CURRENT 7 YEAR AID FOR DEBT SERVICE ON BOND ANTICIPATION NOTES AND/OR BONDS FIRST 8 ISSUED IN THE CURRENT YEAR OR ANY AIDS PAYABLE FOR FULL-DAY KINDERGARTEN THE CURRENT YEAR PURSUANT TO SUBDIVISION NINE OF SECTION THIRTY-SIX 9 FOR 10 HUNDRED TWO OF THIS PART. THE DEFINITIONS OF "BASE YEAR" AND "CURRENT AS SET FORTH IN SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED TWO 11 YEAR " 12 OF THIS PART SHALL APPLY TO THIS SECTION.

13 S 15. Paragraph b of subdivision 2 of section 3612 of the education 14 law, as amended by section 10 of part A of chapter 57 of the laws of 15 2012, is amended to read as follows:

16 b. Such grants shall be awarded to school districts, within the limits 17 of funds appropriated therefor, through a competitive process that takes into consideration the magnitude of any shortage of teachers 18 in the 19 school district, the number of teachers employed in the school district who hold temporary licenses to teach in the public schools of the state, 20 21 the number of provisionally certified teachers, the fiscal capacity and 22 geographic sparsity of the district, the number of new teachers the 23 school district intends to hire in the coming school year and the number 24 of summer in the city student internships proposed by an eligible school 25 district, if applicable. Grants provided pursuant to this section shall 26 be used only for the purposes enumerated in this section. Notwithstanding any other provision of law to the contrary, a city school district in a city having a population of one million or more inhabitants receiv-27 28 29 ing a grant pursuant to this section may use no more than eighty percent of such grant funds for any recruitment, retention and certification 30 costs associated with transitional certification of teacher candidates 31 32 for the school years two thousand one--two thousand two through [two 33 thousand twelve--two thousand thirteen] TWO THOUSAND THIRTEEN--TWO THOU-34 SAND FOURTEEN.

35 S 16. Section 3641 of the education law is amended by adding a new 36 subdivision 6-a to read as follows:

37 6-A. COMMUNITY SCHOOL GRANTS. A. WITHIN THE AMOUNT APPROPRIATED FOR 38 SUCH PURPOSE, SUBJECT TO A PLAN DEVELOPED BY THE STATE COUNCIL ON CHIL-39 DREN AND FAMILIES AND APPROVED BY THE DIRECTOR OF THE BUDGET, THE STATE 40 COUNCIL ON CHILDREN AND FAMILIES SHALL AWARD COMPETITIVE GRANTS PURSUANT TO THIS SUBDIVISION TO ELIGIBLE SCHOOL DISTRICTS TO IMPLEMENT, BEGINNING 41 THE TWO THOUSAND THIRTEEN--TWO THOUSAND FOURTEEN SCHOOL YEAR, A PLAN 42 IN THAT TARGETS SCHOOL BUILDINGS AS COMMUNITY HUBS TO DELIVER CO-LOCATED OR 43 44 SCHOOL-LINKED ACADEMIC, HEALTH, NUTRITION, COUNSELING, LEGAL AND/OR 45 OTHER SERVICES TO STUDENTS AND THEIR FAMILIES IN A MANNER THAT WILL LEAD TO IMPROVED EDUCATIONAL AND OTHER OUTCOMES. 46

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(1) SUCH PLAN SHALL INCLUDE, BUT NOT BE LIMITED TO:

48 (I) THE PROCESS BY WHICH A REQUEST FOR PROPOSALS WILL BE DEVELOPED;

49 (II) THE SCORING RUBRIC BY WHICH SUCH PROPOSALS WILL BE EVALUATED, 50 PROVIDED THAT SUCH GRANTS SHALL BE AWARDED BASED ON FACTORS INCLUDING, LIMITED TO: MEASURES OF SCHOOL DISTRICT NEED; MEASURES OF THE 51 NOT BUT 52 NEED OF STUDENTS TO BE SERVED BY EACH OF THE SCHOOL DISTRICTS; THE SCHOOL DISTRICT'S PROPOSAL TO TARGET THE HIGHEST NEED SCHOOLS AND 53 54 STUDENTS; THE SUSTAINABILITY OF THE PROPOSED COMMUNITY SCHOOLS PROGRAM; 55 AND PROPOSAL QUALITY;

56 (III) THE FORM AND MANNER BY WHICH APPLICATIONS WILL BE SUBMITTED;

THE MANNER BY WHICH CALCULATION OF THE AMOUNT OF THE AWARD WILL 1 (IV) 2 BE DETERMINED; 3 (V) THE TIMELINE FOR THE ISSUANCE AND REVIEW OF APPLICATIONS; AND 4 (VI) THE PERFORMANCE BENCHMARKS THAT WILL TRIGGER PAYMENT OF SET 5 PERCENTAGES OF THE TOTAL AWARD. (2) IN ASSESSING PROPOSAL QUALITY, THE COUNCIL SHALL TAKE INTO ACCOUNT 6 7 FACTORS INCLUDING, BUT NOT LIMITED TO: 8 (I) THE EXTENT TO WHICH THE SCHOOL DISTRICT'S PROPOSAL WOULD PROVIDE 9 SUCH COMMUNITY SERVICES THROUGH PARTNERSHIPS WITH LOCAL GOVERNMENTS AND 10 NON-PROFIT ORGANIZATIONS; 11 (II) THE EXTENT TO WHICH THE PROPOSAL WOULD PROVIDE FOR DELIVERY OF 12 SUCH SERVICES DIRECTLY IN SCHOOL BUILDINGS; THE EXTENT TO WHICH THE PROPOSAL ARTICULATES HOW SUCH SERVICES 13 (III) 14 WOULD FACILITATE MEASURABLE IMPROVEMENT IN STUDENT AND FAMILY OUTCOMES; 15 AND (IV) THE EXTENT TO WHICH THE PROPOSAL ARTICULATES AND IDENTIFIES HOW 16 17 EXISTING FUNDING STREAMS AND PROGRAMS WOULD BE USED TO PROVIDE SUCH 18 COMMUNITY SERVICES. 19 B. A RESPONSE TO A REQUEST FOR PROPOSALS ISSUED PURSUANT TO THIS SUBDIVISION MAY BE SUBMITTED BY A SINGLE SCHOOL DISTRICT OR JOINTLY BY A 20 21 CONSORTIUM OF TWO OR MORE SCHOOL DISTRICTS. 22 C. THE AMOUNT OF THE GRANT AWARD SHALL BE DETERMINED BY THE STATE 23 COUNCIL ON CHILDREN AND FAMILIES, CONSISTENT WITH THE PLAN DEVELOPED PURSUANT TO PARAGRAPH A OF THIS SUBDIVISION, EXCEPT THAT NO SINGLE 24 25 DISTRICT MAY BE AWARDED MORE THAN FORTY PERCENT OF THE TOTAL AMOUNT OF 26 GRANT AWARDS MADE PURSUANT TO THIS SUBDIVISION; AND PROVIDED FURTHER THE MAXIMUM AWARD TO ANY INDIVIDUAL COMMUNITY SCHOOL SITE SHALL BE 27 THAT FIVE HUNDRED THOUSAND DOLLARS; AND PROVIDED FURTHER THAT THE 28 AMOUNT AWARDED WILL BE PAID OUT IN SET PERCENTAGES OVER TIME UPON ACHIEVEMENT 29 OF THE PERFORMANCE BENCHMARKS DESCRIBED IN THE PLAN SET FORTH 30 PURSUANT PARAGRAPH A OF THIS SUBDIVISION; AND PROVIDED FURTHER THAT NONE OF 31 TO 32 THE GRANTS AWARDED PURSUANT TO THIS SUBDIVISION MAY BE USED TO SUPPLANT 33 EXISTING FUNDING. 34 D. NOTWITHSTANDING ANY STATE LAW OR REGULATION TO THE CONTRARY, ANY 35 EXECUTIVE AGENCY HEAD THAT IS A MEMBER OF THE STATE COUNCIL ON CHILDREN AND FAMILY SERVICES IS DIRECTED, TO THE EXTENT ALLOWED UNDER FEDERAL LAW 36 AND REGULATION, TO PRIORITIZE APPLICATIONS THAT CO-LOCATE OR LINK 37 38 PROGRAMMING RELEVANT TO THE PROVISION OF SERVICES IDENTIFIED IN PARA-39 GRAPH A OF THIS SUBDIVISION. 40 S 17. Section 3641 of the education law is amended by adding a new subdivision 6-b to read as follows: 41 6-B. EXTENDED LEARNING GRANTS. A. WITHIN THE AMOUNT APPROPRIATED 42 FOR 43 SUCH PURPOSE, SUBJECT TO A PLAN THAT IS DEVELOPED BY A THREE-PERSON 44 PANEL COMPRISED OF THE COMMISSIONER, AN AGENCY HEAD APPOINTED BY THE 45 GOVERNOR, AND AN EXPERT IN EXTENDED LEARNING TIME APPOINTED BY THE GOVERNOR, AND THAT IS APPROVED BY THE DIRECTOR OF THE BUDGET, 46 THE 47 COMMISSIONER SHALL AWARD COMPETITIVE PLANNING AND IMPLEMENTATION GRANTS 48 PURSUANT TO THIS SUBDIVISION TO ELIGIBLE SCHOOL DISTRICTS THAT PUT 49 FORWARD A PROPOSAL TO IMPROVE STUDENT OUTCOMES BY ADDING AT LEAST TWEN-50 TY-FIVE PERCENT MORE TIME TO THE ACADEMIC CALENDAR BY EXTENDING THE 51 OR SOME COMBINATION THEREOF, EITHER SCHOOL DAY, SCHOOL YEAR, DISTRICT-WIDE OR IN SELECTED SCHOOL BUILDINGS. 52 (1) SUCH PLAN SHALL INCLUDE, BUT NOT BE LIMITED TO: 53 54 (I) THE PROCESS BY WHICH A REQUEST FOR PROPOSALS WILL BE DEVELOPED; 55 (II) THE SCORING RUBRIC BY WHICH SUCH PROPOSALS WILL BE EVALUATED, 56 PROVIDED THAT PRIORITY SHALL BE GIVEN TO APPLICANTS BASED UPON THE

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1 SCHOOL DISTRICT'S PROPOSAL TO TARGET THE SCHOOLS AND STUDENTS WITH THE 2 GREATEST NEED AND UPON PROPOSAL QUALITY;

(III) THE FORM AND MANNER BY WHICH APPLICATIONS WILL BE SUBMITTED;

4 (IV) THE TIMELINE FOR THE ISSUANCE AND REVIEW OF APPLICATIONS; AND 5 (V) A REQUIREMENT THAT SCHOOL DISTRICTS AWARDED GRANTS UNDER THIS 6 SUBDIVISION SUBMIT TO AN ANNUAL EVALUATION OF PERFORMANCE AND IMPACT AS 7 REQUIRED BY THE COMMISSIONER.

8 (2) IN ASSESSING PROPOSAL QUALITY IN ORDER TO AWARD IMPLEMENTATION 9 GRANT FUNDING, THE COMMISSIONER SHALL TAKE INTO ACCOUNT FACTORS INCLUD-10 ING, BUT NOT LIMITED TO:

11 (I) THE EXTENT TO WHICH THE SCHOOL DISTRICT'S PROPOSAL WOULD MAXIMIZE 12 THE USE OF THE ADDITIONAL LEARNING TIME THROUGH A COMPREHENSIVE RESTRUC-13 TURING OF THE SCHOOL DAY AND/OR YEAR; AND

14 (II) HOW THE ADDITIONAL LEARNING TIME WOULD BE UTILIZED, INCLUDING BUT 15 NOT LIMITED TO ADDITIONAL TIME SPENT ON CORE ACADEMICS.

16 B. A SCHOOL DISTRICT'S SCHOOL-WIDE EXTENDED LEARNING IMPLEMENTATION GRANT AWARD SHALL EQUAL ITS AVERAGE DAILY ATTENDANCE IN THE SCHOOL-WIDE 17 EXTENDED LEARNING PROGRAM MULTIPLIED BY THE EXPECTED COST PER PUPIL OF 18 19 THE ADDITIONAL LEARNING TIME. FOR PURPOSES OF THIS SUBDIVISION, THE 20 EXPECTED COST PER PUPIL OF THE ADDITIONAL LEARNING TIME SHALL EQUAL THE 21 GREATER OF FIFTEEN HUNDRED DOLLARS OR (1) THE QUOTIENT OF (1) THE SCHOOL 22 DISTRICT'S APPROVED OPERATING EXPENSE PURSUANT TO PARAGRAPH T OF SUBDI-VISION ONE OF SECTION THIRTY-SIX HUNDRED TWO OF THIS ARTICLE 23 FOR THE 24 YEAR PRIOR TO THE BASE YEAR DIVIDED BY (II) THE DISTRICT'S PUBLIC SCHOOL 25 DISTRICT ENROLLMENT PURSUANT TO SUBPARAGRAPH TWO OF PARAGRAPH N OF SUCH SUBDIVISION FOR THE YEAR PRIOR TO THE BASE YEAR MULTIPLIED BY (2) 26 TEN PERCENT (0.10), MULTIPLIED BY (3) THE QUOTIENT OF (I) THE AVERAGE OF THE 27 NATIONAL CONSUMER PRICE INDEXES DETERMINED BY THE UNITED STATES DEPART-28 MENT OF LABOR FOR THE TWELVE MONTH PERIOD PRECEDING JANUARY FIRST OF THE 29 BASE YEAR, DIVIDED BY (II) THE AVERAGE OF THE NATIONAL CONSUMER PRICE 30 INDEXES DETERMINED BY THE UNITED STATES DEPARTMENT OF LABOR FOR THE 31 32 TWELVE MONTH PERIOD PRECEDING JANUARY FIRST OF THE YEAR TWO YEARS PRIOR 33 TO THE BASE YEAR.

C. IN EXTRAORDINARY CASES, THE COMMISSIONER MAY AWARD A GRANT THAT SEXCEEDS THE PER PUPIL LIMIT CALCULATED PURSUANT TO PARAGRAPH B OF THIS SUBDIVISION.

D. NO DISTRICT SHALL RECEIVE A GRANT IN EXCESS OF THE TOTAL ACTUAL
 GRANT EXPENDITURES INCURRED BY THE DISTRICT IN THE CURRENT YEAR AS
 APPROVED BY THE COMMISSIONER.

40 E. NO SINGLE DISTRICT MAY BE AWARDED MORE THAN FORTY PERCENT OF THE 41 TOTAL AMOUNT OF GRANT AWARDS MADE PURSUANT TO THIS SUBDIVISION.

42 S 18. Paragraph b of subdivision 2 of section 4204 of the education 43 law, as amended by section 12-a of part A of chapter 57 of the laws of 44 2012, is amended to read as follows:

45 b. For the two thousand thirteen--two thousand fourteen school year thereafter, the costs of tuition as defined in section forty-two 46 and 47 hundred eleven of this article, INCLUDING TUITION, MAINTENANCE AND TRANSPORTATION FOR SUMMER SCHOOL SPECIAL EDUCATION PROGRAMS IN JULY AND 48 49 AUGUST, shall be a charge upon the current school district of residence of any such child subject to this article and the directors of the institution shall bill such school district for such tuition costs on a 50 51 quarterly basis. The first such quarterly payment may be based on projected enrollment, provided that subsequent payments shall be 52 53 54 adjusted to reflect actual enrollment. The amount of tuition paid by such school district shall be eligible for reimbursement by the state to 55 the extent provided in section forty-two hundred four-b of this article. 56

1 S 19. Subdivision 4 of section 4204-b of the education law, as amended 2 by section 12-b of part A of chapter 57 of the laws of 2012, is amended 3 to read as follows:

4 4. [The] FOR THE TWO THOUSAND TWELVE--TWO THOUSAND THIRTEEN SCHOOL YEAR AND PRIOR SCHOOL YEARS, THE state shall reimburse the school district of which any such child is resident at the time of admission or 5 6 readmission to any of the institutions subject to this article for 7 8 tuition paid to the institution FOR THE TEN-MONTH SCHOOL CALENDAR FROM 9 SEPTEMBER FIRST THROUGH JUNE THIRTIETH in an amount equal to the posi-10 tive difference between the amount of such tuition and the school 11 contribution. IN ACCORDANCE WITH THE PROVISIONS OF district basic 12 SECTION FORTY-FOUR HUNDRED EIGHT OF THIS TITLE, THE TWO FOR THOUSAND 13 THIRTEEN--TWO THOUSAND FOURTEEN SCHOOL YEAR AND THEREAFTER, THE STATE 14 SHALL ALSO REIMBURSE THE CURRENT SCHOOL DISTRICT OF RESIDENCE OF ANY IN ANY OF THE INSTITUTIONS SUBJECT TO THIS ARTICLE FOR APPROVED 15 CHILD 16 TUITION, MAINTENANCE AND TRANSPORTATION PAID TO THE INSTITUTION FOR 17 ENROLLMENT IN SUMMER SCHOOL SPECIAL EDUCATION PROGRAMS IN JULY AND AUGUST, IN AN AMOUNT EQUAL TO EIGHTY PERCENT OF 18 THE TUITION APPROVED 19 RATE PURSUANT TO SECTION FORTY-FOUR HUNDRED EIGHT OF THIS TITLE. Such 20 state reimbursement to the school district shall not be paid prior to 21 April first of the school year in which such tuition costs are paid by 22 the school district. The tuition incurred through December thirty-first such school year, INCLUDING TUITION, MAINTENANCE AND TRANSPORTATION 23 of 24 FOR SUMMER SCHOOL PROGRAMS IN JULY AND AUGUST PURSUANT TO SECTION 25 FORTY-FOUR HUNDRED EIGHT OF THIS TITLE, shall be payable prior to June thirtieth of such school year, provided that a claim is submitted on or 26 27 before June first.

28 S 20. Paragraph b of subdivision 2 of section 4207 of the education 29 law, as amended by section 12-c of part A of chapter 57 of the laws of 30 2012, is amended to read as follows:

b. For the two thousand thirteen--two thousand fourteen school year 31 32 and thereafter, the costs of tuition as defined in section forty-two 33 eleven of this article, INCLUDING TUITION, MAINTENANCE AND hundred TRANSPORTATION FOR SUMMER SCHOOL SPECIAL EDUCATION PROGRAMS IN JULY AND 34 35 AUGUST, shall be a charge upon the current school district of residence of any such child subject to this article and the directors 36 of the 37 institution shall bill such school district for such tuition costs on a quarterly basis. The first such quarterly payment may be based on projected enrollment, provided that subsequent payments shall be 38 39 40 adjusted to reflect actual enrollment. The amount of tuition paid by such school district, INCLUDING TUITION, MAINTENANCE AND TRANSPORTATION 41 FOR SUMMER SCHOOL SPECIAL EDUCATION PROGRAMS IN JULY AND AUGUST, 42 shall 43 eligible for reimbursement by the state to the extent provided in be 44 section forty-two hundred four-b of this article.

45 S 21. Subdivision 6 of section 4402 of the education law, as amended 46 by section 12 of part A of chapter 57 of the laws of 2012, is amended to 47 read as follows:

48 6. Notwithstanding any other law, rule or regulation to the contrary, the board of education of a city school district with a population of one hundred twenty-five thousand or more inhabitants shall be permitted 49 50 51 to establish maximum class sizes for special classes for certain students with disabilities in accordance with the provisions of this 52 53 subdivision. For the purpose of obtaining relief from any adverse fiscal 54 impact from under-utilization of special education resources due to low 55 student attendance in special education classes at the middle and secondary level as determined by the commissioner, such boards of educa-56

tion shall, during the school years nineteen hundred ninety-five--nine-1 2 ty-six through June thirtieth, two thousand [thirteen] FOURTEEN of the 3 [two thousand twelve--two thousand thirteen] TWO THOUSAND THIRTEEN--TWO 4 THOUSAND FOURTEEN school year, be authorized to increase class sizes in 5 special classes containing students with disabilities whose age ranges 6 equivalent to those of students in middle and secondary schools as are 7 defined by the commissioner for purposes of this section by up to but 8 not to exceed one and two tenths times the applicable maximum class size specified in regulations of the commissioner rounded up to the nearest 9 10 whole number, provided that in a city school district having a popu-11 lation of one million or more, classes that have a maximum class size of 12 fifteen may be increased by no more than one student and provided that the projected average class size shall not exceed the maximum specified 13 14 the applicable regulation, provided that such authorization shall in 15 terminate on June thirtieth, two thousand. Such authorization shall be 16 granted upon filing of a notice by such a board of education with the commissioner stating the board's intention to increase such class sizes 17 and a certification that the board will conduct a study of attendance 18 19 problems at the secondary level and will implement a corrective action 20 plan to increase the rate of attendance of students in such classes to 21 at least the rate for students attending regular education classes in 22 secondary schools of the district. Such corrective action plan shall be 23 submitted for approval by the commissioner by a date during the school 24 in which such board increases class sizes as provided pursuant to year 25 this subdivision to be prescribed by the commissioner. Upon at least 26 thirty days notice to the board of education, after conclusion of the school year in which such board increases class sizes as provided pursu-27 ant to this subdivision, the commissioner shall be authorized to termi-28 29 nate such authorization upon a finding that the board has failed to 30 develop or implement an approved corrective action plan.

31 S 22. The education law is amended by adding a new section 4403-a to 32 read as follows:

33 WAIVERS FROM CERTAIN DUTIES. 1. A LOCAL SCHOOL DISTRICT, S 4403-A. APPROVED PRIVATE SCHOOL OR BOARD OF COOPERATIVE EDUCATIONAL SERVICES MAY 34 35 SUBMIT AN APPLICATION FOR A WAIVER FROM ANY REQUIREMENT IMPOSED ON SUCH SCHOOL OR BOARD OF COOPERATIVE EDUCATIONAL SERVICES PURSUANT 36 DISTRICT, 37 TO SECTION FORTY-FOUR HUNDRED TWO OR SECTION FORTY-FOUR HUNDRED THREE OF 38 THIS ARTICLE, AND REGULATIONS PROMULGATED THEREUNDER, FOR A SPECIFIC 39 SCHOOL YEAR. SUCH APPLICATION MUST BE SUBMITTED AT LEAST SIXTY DAYS IN 40 ADVANCE OF THE PROPOSED DATE ON WHICH THE WAIVER WOULD BE EFFECTIVE AND SHALL BE IN A FORM PRESCRIBED BY THE COMMISSIONER. 41

42 BEFORE SUBMITTING AN APPLICATION FOR A WAIVER, THE LOCAL SCHOOL 2. 43 DISTRICT, APPROVED PRIVATE SCHOOL OR BOARD OF COOPERATIVE EDUCATIONAL 44 SERVICES SHALL PROVIDE NOTICE OF THE PROPOSED WAIVER TO THE PARENTS OR 45 PERSONS IN PARENTAL RELATIONSHIP TO THE STUDENTS THAT WOULD BE IMPACTED 46 WAIVER IF GRANTED. SUCH NOTICE SHALL BE IN A FORM AND MANNER ΒY THE 47 THAT WILL ENSURE THAT SUCH PARENTS AND PERSONS IN PARENTAL RELATIONSHIP 48 WILL BE AWARE OF ALL RELEVANT CHANGES THAT WOULD OCCUR UNDER THE WAIVER, 49 AND SHALL INCLUDE INFORMATION ON THE FORM, MANNER AND DATE BY WHICH 50 PARENTS MAY SUBMIT WRITTEN COMMENTS ON THE PROPOSED WAIVER. THE LOCAL 51 SCHOOL DISTRICT, APPROVED PRIVATE SCHOOL, OR BOARD OF COOPERATIVE EDUCA-SERVICES SHALL PROVIDE AT LEAST SIXTY DAYS FOR SUCH PARENTS AND 52 TIONAL PERSONS IN PARENTAL RELATIONSHIP TO SUBMIT WRITTEN COMMENTS, 53 AND SHALL 54 INCLUDE IN THE WAIVER APPLICATION SUBMITTED TO THE COMMISSIONER PURSUANT 55 ONE OF THIS SECTION ANY WRITTEN COMMENTS RECEIVED FROM ТΟ SUBDIVISION 56 SUCH PARENTS OR PERSONS IN PARENTAL RELATION TO SUCH STUDENTS.

3. THE COMMISSIONER MAY GRANT A WAIVER FROM ANY REOUIREMENT IMPOSED ON 1 2 A LOCAL SCHOOL DISTRICT, APPROVED PRIVATE SCHOOL OR BOARD OF COOPERATIVE 3 EDUCATIONAL SERVICES PURSUANT TO SECTION FORTY-FOUR HUNDRED TWO OR 4 SECTION FORTY-FOUR HUNDRED THREE OF THIS ARTICLE, UPON A FINDING THAT SUCH WAIVER WILL ENABLE A LOCAL SCHOOL DISTRICT, APPROVED PRIVATE SCHOOL 5 6 BOARD OF COOPERATIVE EDUCATIONAL SERVICES TO IMPLEMENT AN INNOVATIVE OR 7 SPECIAL EDUCATION PROGRAM THAT IS CONSISTENT WITH APPLICABLE FEDERAL 8 REQUIREMENTS, AND WILL ENHANCE STUDENT ACHIEVEMENT AND/OR OPPORTUNITIES 9 FOR PLACEMENT IN REGULAR CLASSES AND PROGRAMS. IN MAKING SUCH DETERMI-10 NATION, THE COMMISSIONER SHALL CONSIDER ANY COMMENTS RECEIVED BY THE LOCAL SCHOOL DISTRICT, APPROVED PRIVATE SCHOOL OR BOARD OF COOPERATIVE 11 EDUCATIONAL SERVICES FROM PARENTS OR PERSONS IN PARENTAL RELATION TO THE 12 STUDENTS THAT WOULD BE DIRECTLY AFFECTED BY THE WAIVER IF GRANTED. 13

4. ANY LOCAL SCHOOL DISTRICT, APPROVED PRIVATE SCHOOL OR BOARD OF
COOPERATIVE EDUCATIONAL SERVICES GRANTED A WAIVER SHALL SUBMIT AN ANNUAL
REPORT TO THE COMMISSIONER REGARDING THE OPERATION AND EVALUATION OF THE
PROGRAM NO LATER THAN THIRTY DAYS AFTER THE END OF EACH SCHOOL YEAR FOR
WHICH A WAIVER IS GRANTED.

19 S 23. Paragraph a of subdivision 10 of section 4410 of the education 20 law is amended by adding a new subparagraph (iv) to read as follows:

(IV) NOTWITHSTANDING ANY OTHER PROVISION OF LAW TO THE CONTRARY, 21 FOR 22 TWO THOUSAND THIRTEEN--TWO THOUSAND FOURTEEN SCHOOL YEAR AND THERE-THE AFTER, THE CITY OF NEW YORK SHALL BE AUTHORIZED TO ESTABLISH LOCAL 23 24 TUITION RATES FOR APPROVED SERVICES OR PROGRAMS LOCATED WITHIN THE CITY 25 OF NEW YORK THROUGH A COMPETITIVE REQUEST FOR PROPOSALS PROCESS OR 26 OTHERWISE, PROVIDED THAT SUCH LOCAL TUITION RATES SHALL NOT EXCEED THE 27 TUITION RATES DETERMINED BY THE COMMISSIONER AND APPROVED BY THE DIREC-28 THE BUDGET PURSUANT TO SUBPARAGRAPHS (I) THROUGH (III) OF THIS TOR OF PARAGRAPH AND SECTION FORTY-FOUR HUNDRED FIVE OF THIS ARTICLE. THE LOCAL 29 TUITION RATES SO ESTABLISHED SHALL BE USED IN THE CONTRACTS WITH PROVID-30 ERS PROVIDING SERVICES OR PROGRAMS WITHIN THE CITY OF NEW YORK PURSUANT 31 32 THIS SECTION FOR THE PROVISION OF PROGRAMS AND SERVICES FOR WHICH ТΟ 33 SUCH RATES WERE ESTABLISHED. NOTWITHSTANDING ANY OTHER PROVISION OF THIS SECTION TO THE CONTRARY, THE CITY OF NEW YORK SHALL BE RESPONSIBLE 34 FOR ARRANGING FOR AND SELECTING THE APPROVED PROGRAM AND/OR RELATED SERVICE 35 PROVIDER THROUGH THE COMPETITIVE REQUEST FOR PROPOSAL PROCESS OR OTHER-36 37 WISE TO DELIVER THE PROGRAMS OR SERVICES CONSISTENT WITH THE INDIVIDUAL-38 IZED EDUCATION PROGRAM OF THE PRESCHOOL CHILD. PROVIDED, HOWEVER, THAT THE COMPETITIVE REQUEST FOR PROPOSAL PROCESS AUTHORIZED BY THIS SUBPARA-39 40 GRAPH SHALL NOT APPLY TO PRESCHOOL CHILDREN WITH DISABILITIES WHO RECEIVED PROGRAMS OR SERVICES PURSUANT TO THIS SECTION IN THE TWO THOU-41 SAND TWELVE--TWO THOUSAND THIRTEEN SCHOOL YEAR. 42 THE CITY OF NEW YORK 43 SHALL BE REQUIRED TO PROVIDE DATA RELATING TO THE LOCALLY ESTABLISHED 44 TUITION RATES TO THE DEPARTMENT IN THE FORM AND MANNER PRESCRIBED BY THE 45 COMMISSIONER.

46 S 24. Subparagraph (ii) of paragraph c of subdivision 11 of section 47 4410 of the education law, as amended by chapter 205 of the laws of 48 2009, is amended to read as follows:

(ii) Payments made pursuant to this section by a municipality shall, 49 50 upon conclusion of the July first to June thirtieth school year for which such payment was made, be subject to audit against the actual 51 difference between such audited expenditures and revenues. The munici-52 53 pality shall submit the results of any such audit to the commissioner 54 and the commissioner of social services, if appropriate, for review and, warranted, adjustment of the tuition and/or maintenance rates. The 55 if 56 municipality is authorized to recover overpayments made to a provider of 8

special services or programs pursuant to this section as determined by 1 the commissioner or the commissioner of health based upon their adjust-2 3 ment of a tuition and/or maintenance rate, PROVIDED THAT FOR PURPOSES OF MAKING SUCH ADJUSTMENT AND RECOVERY, THE MUNICIPALITY SHALL BE DEEMED TO 4 5 PAID ONE HUNDRED PERCENT OF THE DISALLOWED COSTS. Such recovery HAVE 6 may be accomplished by withholding such amount from any moneys due the 7 provider in the current year, or by direct reimbursement.

S 25. Intentionally omitted.

9 S 26. Section 7 of chapter 472 of the laws of 1998 amending the educa-10 tion law relating to the lease of school buses by school districts, as 11 amended by section 71 of part A of chapter 58 of the laws of 2011, is 12 amended to read as follows:

13 S 7. This act shall take effect September 1, 1998, and shall expire 14 and be deemed repealed September 1, [2013] 2015.

15 S 27. Subdivision b of section 2 of chapter 756 of the laws of 1992, 16 relating to funding a program for work force education conducted by the 17 consortium for worker education in New York city, as amended by section 18 13 of part A of chapter 57 of the laws of 2012, is amended to read as 19 follows:

20 b. Reimbursement for programs approved in accordance with subdivision 21 this section [for the 2009-10 school year shall not exceed 64.1 of а 22 percent of the lesser of such approvable costs per contact hour or eleven dollars and fifty cents per contact hour, reimbursement] 23 for the school year shall not exceed 62.6 percent of the lesser of 24 2010--2011 25 such approvable costs per contact hour or twelve dollars and five cents per contact hour, reimbursement for the 2011--2012 school year shall not 26 27 exceed 62.9 percent of the lesser of such approvable costs per contact 28 hour or twelve dollars and fifteen cents per contact hour, [and] 29 reimbursement for the 2012--2013 school year shall not exceed 63.3 percent of the lesser of such approvable costs per contact hour or twelve dollars and thirty-five cents per contact hour, AND REIMBURSEMENT 30 31 32 2013--2014 SCHOOL YEAR SHALL NOT EXCEED 62.2 PERCENT OF THE FOR THE 33 LESSER OF SUCH APPROVABLE COSTS PER CONTACT HOUR OR TWELVE DOLLARS AND 34 FIFTY CENTS PER CONTACT HOUR, where a contact hour represents sixty 35 minutes of instruction services provided to an eligible adult. Notwithstanding any other provision of law to the contrary, [for the 36 2009-10 37 school year such contact hours shall not exceed one million seven hundred sixty--three thousand nine hundred seven (1,763,907) hours; whereas] for the 2010--2011 school year such contact hours shall not 38 39 40 exceed one million five hundred twenty-five thousand one hundred ninety-eight (1,525,198) hours; whereas for the 2011--2012 school year such 41 contact hours shall not exceed one million seven hundred one thousand 42 five hundred seventy (1,701,570) hours; whereas for the 2012--2013 43 44 school year such contact hours shall not exceed one million six hundred 45 sixty-four thousand five hundred thirty-two (1,664,532) hours; WHEREAS FOR THE 2013--2014 SCHOOL YEAR SUCH CONTACT HOURS SHALL NOT EXCEED 46 ONE 47 MILLION FOUR HUNDRED EIGHTY THOUSAND AND FIFTY-ONE (1,480,051) HOURS. 48 Notwithstanding any other provision of law to the contrary, the apportionment calculated for the city school district of the city of New York pursuant to subdivision 11 of section 3602 of the education law shall be 49 50 51 computed as if such contact hours provided by the consortium for worker education, not to exceed the contact hours set forth herein, were eligi-52 ble for aid in accordance with the provisions of such subdivision 11 of 53 54 section 3602 of the education law.

55 S 28. Section 4 of chapter 756 of the laws of 1992, relating to fund-56 ing a program for work force education conducted by the consortium for 1 worker education in New York city, is amended by adding a new subdivi-2 sion r to read as follows:

3 PROVISIONS OF THIS SUBDIVISION SHALL NOT APPLY AFTER THE R. THE COMPLETION OF PAYMENTS FOR THE 2013--2014 SCHOOL YEAR. 4 NOTWITHSTANDING INCONSISTENT PROVISIONS OF LAW, THE COMMISSIONER OF EDUCATION SHALL 5 ANY 6 WITHHOLD A PORTION OF EMPLOYMENT PREPARATION EDUCATION AID DUE ТΟ THE 7 CITY SCHOOL DISTRICT OF THE CITY OF NEW YORK TO SUPPORT A PORTION OF THE 8 COSTS OF THE WORK FORCE EDUCATION PROGRAM. SUCH MONEYS SHALL BE CREDITED 9 THE ELEMENTARY AND SECONDARY EDUCATION FUND-LOCAL ASSISTANCE ACCOUNT TO 10 AND SHALL NOT EXCEED ELEVEN MILLION FIVE HUNDRED THOUSAND DOLLARS 11 (\$11,500,000).

12 S 29. Section 6 of chapter 756 of the laws of 1992, relating to fund-13 ing a program for work force education conducted by the consortium for 14 worker education in New York city, as amended by section 15 of part A of 15 chapter 57 of the laws of 2012, is amended to read as follows:

16 S 6. This act shall take effect July 1, 1992, and shall be deemed 17 repealed on June 30, [2013] 2014.

18 S 30. Subdivision 1 of section 167 of chapter 169 of the laws of 1994, 19 relating to certain provisions related to the 1994-95 state operations, 20 aid to localities, capital projects and debt service budgets, as amended 21 by section 17 of part A of chapter 57 of the laws of 2012, is amended to 22 read as follows:

23 Sections one through seventy of this act shall be deemed to have 1. been in full force and effect as of April 1, 1994 provided, 24 however, 25 sections one, two, twenty-four, twenty-five and twenty-seven that through seventy of this act shall expire and be deemed repealed on March 26 31, 2000; provided, however, that section twenty of this act shall apply 27 28 only to hearings commenced prior to September 1, 1994, and provided 29 further that section twenty-six of this act shall expire and be deemed 30 repealed on March 31, 1997; and provided further that sections four through fourteen, sixteen, and eighteen, nineteen and twenty-one through 31 32 twenty-one-a of this act shall expire and be deemed repealed on March 33 31, 1997; and provided further that sections three, fifteen, seventeen, 34 twenty, twenty-two and twenty-three of this act shall expire and be deemed repealed on March 31, [2014] 2015. S 31. Subdivisions 22 and 24 of section 140 of chapter 82 of the 35

S 31. Subdivisions 22 and 24 of section 140 of chapter 82 of the laws of 1995, amending the education law and certain other laws relating to state aid to school districts and the appropriation of funds for the support of government, as amended by section 18 of part A of chapter 57 of the laws of 2012, are amended to read as follows:

41 (22) sections one hundred twelve, one hundred thirteen, one hundred 42 fourteen, one hundred fifteen and one hundred sixteen of this act shall 43 take effect on July 1, 1995; provided, however, that section one hundred 44 thirteen of this act shall remain in full force and effect until July 1, 45 [2013] 2014 at which time it shall be deemed repealed;

46 (24) sections one hundred eighteen through one hundred thirty of this 47 act shall be deemed to have been in full force and effect on and after 48 July 1, 1995; provided further, however, that the amendments made pursu-49 ant to section one hundred nineteen of this act shall be deemed to be 50 repealed on and after July 1, [2013] 2014;

51 S 32. Section 12 of chapter 147 of the laws of 2001, amending the 52 education law relating to conditional appointment of school district, 53 charter school or BOCES employees, as amended by section 20 of part A of 54 chapter 57 of the laws of 2012, is amended to read as follows:

12. This act shall take effect on the same date as chapter 180 of 1 S 2 the laws of 2000 takes effect, and shall expire July 1, [2013] 2014 when 3 upon such date the provisions of this act shall be deemed repealed. 4 S 33. Section 4 of chapter 425 of the laws of 2002, amending the 5 education law relating to the provision of supplemental educational 6 services, attendance at a safe public school and the suspension of 7 pupils who bring a firearm to or possess a firearm at a school, as 8 amended by section 21 of part A of chapter 57 of the laws of 2012, is amended to read as follows: 9 10 S 4. This act shall take effect July 1, 2002 and shall expire and be 11 deemed repealed June 30, [2013] 2014. S 34. Section 5 of chapter 101 of the laws of 2003, amending the education law relating to implementation of the No Child Left Behind Act 12 13 14 of 2001, as amended by section 22 of part A of chapter 57 of the laws of 15 2012, is amended to read as follows: 16 S 5. This act shall take effect immediately; provided that sections 17 one, two and three of this act shall expire and be deemed repealed on 18 June 30, [2013] 2014. 19 S 35. School bus driver training. In addition to apportionments other-20 wise provided by section 3602 of the education law, for aid payable in 21 the 2013--2014 school year, the commissioner of education shall allocate 22 school bus driver training grants to school districts and boards of cooperative education services pursuant to sections 3650-a, 3650-b and 23 3650-c of the education law, or for contracts directly with not-for-pro-24 25 fit educational organizations for the purposes of this section. Such payments shall not exceed four hundred thousand dollars 26 (\$400,000) per 27 school year. 28 36. Support of public libraries. The moneys appropriated for the S 29 support of public libraries by the chapter of the laws of 2013 enacting the aid to localities budget shall be apportioned for the 2013--2014 30 state fiscal year in accordance with the provisions of sections 271, 31 32 273, 282, 284, and 285 of the education law as amended by the 272, 33 provisions of this chapter and the provisions of this act, provided that library construction aid pursuant to section 273-a of the education 34 law 35 shall not be payable from the appropriations for the support of public libraries and provided further that no library, library system or 36 program, as defined by the commissioner of education, shall receive less 37 total system or program aid than it received for the year 2001--2002 38 39 except as a result of a reduction adjustment necessary to conform to the 40 appropriations for support of public libraries. Notwithstanding any other provision of law to the contrary the moneys 41 appropriated for the support of public libraries for the year 2013--2014 42 a chapter of the laws of 2013 enacting the aid to localities budget 43 by 44 shall fulfill the state's obligation to provide such aid and, pursuant to a plan developed by the commissioner of education and approved by the 45 director of the budget, the aid payable to libraries and library systems 46 pursuant to such appropriations shall be reduced proportionately to 47 48 assure that the total amount of aid payable does not exceed the total 49 appropriations for such purpose. 37. Special apportionment for salary expenses. a. Notwithstanding 50 S 51 any other provision of law, upon application to the commissioner of education, not sooner than the first day of the second full business

52 education, not sooner than the first day of the second full business 53 week of June, 2014 and not later than the last day of the third full 54 business week of June, 2014, a school district eligible for an appor-55 tionment pursuant to section 3602 of the education law shall be eligible 56 to receive an apportionment pursuant to this section, for the school

year ending June 30, 2014, for salary expenses incurred between April 1 1 2 and June 30, 2014 and such apportionment shall not exceed the sum of (i) 3 the deficit reduction assessment of 1990--1991 as determined by the 4 commissioner of education, pursuant to paragraph f of subdivision 1 of 5 section 3602 of the education law, as in effect through June 30, 1993, 6 186 percent of such amount for a city school district in a plus (ii) 7 city with a population in excess of 1,000,000 inhabitants, plus (iii) 209 percent of such amount for a city school district in a city with a population of more than 195,000 inhabitants and less than 219,000 inhab-8 9 10 itants according to the latest federal census, plus (iv) the net qap 11 elimination adjustment for 2010--2011, as determined by the commissioner education pursuant to chapter 53 of the laws of $\overline{2010}$, plus (v) the 12 of 13 gap elimination adjustment for 2011--2012 as determined by the commis-14 of education pursuant to subdivision 17 of section 3602 of the sioner 15 education law, and provided further that such apportionment shall not exceed such salary expenses. Such application shall be made by a school 16 district, after the board of education or trustees have adopted a resol-17 18 ution to do so and in the case of a city school district in a city with 19 population in excess of 125,000 inhabitants, with the approval of the а 20 mayor of such city.

21 b. The claim for an apportionment to be paid to a school district 22 pursuant to subdivision a of this section shall be submitted to the 23 commissioner of education on a form prescribed for such purpose, and 24 shall be payable upon determination by such commissioner that the form 25 has been submitted as prescribed. Such approved amounts shall be payable 26 on the same day in September of the school year following the year in which application was made as funds provided pursuant to subparagraph 27 (4) of paragraph b of subdivision 4 of section 92-c of the state finance 28 29 law, on the audit and warrant of the state comptroller on vouchers 30 certified or approved by the commissioner of education in the manner prescribed by law from moneys in the state lottery fund and from the 31 32 general fund to the extent that the amount paid to a school district pursuant to this section exceeds the amount, if any, due such school 33 district pursuant to subparagraph (2) of paragraph a of subdivision 1 of 34 section 3609-a of the education law in the school year following the 35 year in which application was made. 36

37 c. Notwithstanding the provisions of section 3609-a of the education 38 law, an amount equal to the amount paid to a school district pursuant to 39 subdivisions a and b of this section shall first be deducted from the 40 following payments due the school district during the school year following the year in which application was made pursuant to subparagraphs (1), (2), (3), (4) and (5) of paragraph a of subdivision 1 of 41 42 43 section 3609-a of the education law in the following order: the lottery 44 apportionment payable pursuant to subparagraph (2) of such paragraph 45 followed by the fixed fall payments payable pursuant to subparagraph (4) such paragraph and then followed by the district's payments to the 46 of 47 teachers' retirement system pursuant to subparagraph (1) of such para-48 graph, and any remainder to be deducted from the individualized payments 49 due the district pursuant to paragraph b of such subdivision shall be 50 deducted on a chronological basis starting with the earliest payment due 51 the district.

52 S 38. Special apportionment for public pension accruals. a. Notwith-53 standing any other provision of law, upon application to the commission-54 er of education, not later than June 30, 2014, a school district eligi-55 ble for an apportionment pursuant to section 3602 of the education law 56 shall be eligible to receive an apportionment pursuant to this section,

for the school year ending June 30, 2014 and such apportionment shall 1 not exceed the additional accruals required to be made by school 2 3 districts in the 2004--2005 and 2005--2006 school years associated with 4 changes for such public pension liabilities. The amount of such addi-5 tional accrual shall be certified to the commissioner of education by 6 the president of the board of education or the trustees or, in the case 7 of a city school district in a city with a population in excess of 125,000 inhabitants, the mayor of such city. Such application shall be 8 made by a school district, after the board of education or trustees have 9 10 adopted a resolution to do so and in the case of a city school district 11 in a city with a population in excess of 125,000 inhabitants, with the approval of the mayor of such city. 12

13 b. The claim for an apportionment to be paid to a school district 14 pursuant to subdivision a of this section shall be submitted to the 15 commissioner of education on a form prescribed for such purpose, and 16 shall be payable upon determination by such commissioner that the form has been submitted as prescribed. Such approved amounts shall be payable 17 18 on the same day in September of the school year following the year in 19 which application was made as funds provided pursuant to subparagraph (4) of paragraph b of subdivision 4 of section 92-c of the state finance 20 law, on the audit and warrant of the state comptroller on vouchers 21 22 certified or approved by the commissioner of education in the manner prescribed by law from moneys in the state lottery fund and from the 23 general fund to the extent that the amount paid to a school district 24 25 pursuant to this section exceeds the amount, if any, due such school 26 district pursuant to subparagraph (2) of paragraph a of subdivision 1 of section 3609-a of the education law in the school year following the year in which application was made. 27 28

29 c. Notwithstanding the provisions of section 3609-a of the education 30 law, an amount equal to the amount paid to a school district pursuant to subdivisions a and b of this section shall first be deducted from the 31 32 following payments due the school district during the school year 33 following the year in which application was made pursuant to subparagraphs (1), (2), (3), (4) and (5) of paragraph a of subdivision 1 of section 3609-a of the education law in the following order: the lottery 34 35 apportionment payable pursuant to subparagraph (2) of such paragraph 36 37 followed by the fixed fall payments payable pursuant to subparagraph (4) such paragraph and then followed by the district's payments to the 38 of 39 teachers' retirement system pursuant to subparagraph (1) of such para-40 graph, and any remainder to be deducted from the individualized payments due the district pursuant to paragraph b of such subdivision shall be 41 42 deducted on a chronological basis starting with the earliest payment due 43 the district.

44 S 39. a. Notwithstanding any other law, rule or regulation to the 45 contrary, any moneys appropriated to the state education department may 46 be suballocated to other state departments or agencies, as needed, to 47 accomplish the intent of the specific appropriations contained therein.

b. Notwithstanding any other law, rule or regulation to the contrary,
moneys appropriated to the state education department from the general
fund/aid to localities, local assistance account-001, shall be for
payment of financial assistance, as scheduled, net of disallowances,
refunds, reimbursement and credits.

53 c. Notwithstanding any other law, rule or regulation to the contrary, 54 all moneys appropriated to the state education department for aid to 55 localities shall be available for payment of aid heretofore or hereafter 1 to accrue and may be suballocated to other departments and agencies to 2 accomplish the intent of the specific appropriations contained therein.

d. Notwithstanding any other law, rule or regulation to the contrary, moneys appropriated to the state education department for general support for public schools may be interchanged with any other item of appropriation for general support for public schools within the general fund local assistance account office of prekindergarten through grade twelve education programs.

9 S 40. Notwithstanding the provision of any law, rule, or regulation to 10 the contrary, the city school district of the city of Rochester, upon 11 the consent of the board of cooperative educational services of the 12 supervisory district serving its geographic region may purchase from 13 such board for the 2013--2014 school year, as a non-component school 14 district, services required by article 19 of the education law.

15 S 41. The amounts specified in this section shall be a setaside from 16 the state funds which each such district is receiving from the total 17 foundation aid:

a. for the purpose of the development, maintenance or expansion of 18 19 magnet schools or magnet school programs for the 2013--2014 school year. the city school district of the city of New York there shall be paid 20 То 21 forty-eight million one hundred seventy-five thousand dollars 22 (\$48,175,000) including five hundred thousand dollars (\$500,000) for the 23 Andrew Jackson High School; to the Buffalo city school district, twenmillion twenty-five thousand dollars (\$21,025,000); to the 24 ty-one 25 city school district, fifteen million dollars (\$15,000,000); Rochester to the Syracuse city school district, thirteen million dollars (\$13,000,000); to the Yonkers city school district, forty-nine million 26 to 27 five hundred thousand dollars (\$49,500,000); to the Newburgh city school 28 29 district, four million six hundred forty-five thousand dollars 30 (\$4,645,000); to the Poughkeepsie city school district, two million four hundred seventy-five thousand dollars (\$2,475,000); to the Mount Vernon 31 32 city school district, two million dollars (\$2,000,000); to the New 33 Rochelle city school district, one million four hundred ten thousand dollars (\$1,410,000); to the Schenectady city school district, one million eight hundred thousand dollars (\$1,800,000); to the Port Chester 34 35 city school district, one million one hundred fifty thousand dollars 36 37 (\$1,150,000); to the White Plains city school district, nine hundred 38 thousand dollars (\$900,000); to the Niagara Falls city school district, six hundred thousand dollars (\$600,000); to the Albany city school 39 40 million hundred fifty thousand dollars district, three five (\$3,550,000); to the Utica city school district, two million dollars 41 (\$2,000,000); to the Beacon city school district, five hundred sixty-six 42 43 thousand dollars (\$566,000); to the Middletown city school district, four hundred thousand dollars (\$400,000); to the Freeport union free 44 45 school district, four hundred thousand dollars (\$400,000); to the Green-46 burah central school district, three hundred thousand dollars 47 (\$300,000); to the Amsterdam city school district, eight hundred thou-48 sand dollars (\$800,000); to the Peekskill city school district, two 49 hundred thousand dollars (\$200,000); and to the Hudson city school 50 district, four hundred thousand dollars (\$400,000).

51 b. notwithstanding the provisions of subdivision a of this section, a 52 school district receiving a grant pursuant to this section may use such 53 grant funds for: (i) any instructional or instructional support costs 54 associated with the operation of a magnet school; or (ii) any instruc-55 tional or instructional support costs associated with implementation of 56 an alternative approach to reduction of racial isolation and/or enhance1 ment of the instructional program and raising of standards in elementary 2 and secondary schools of school districts having substantial concen-3 trations of minority students. The commissioner of education shall not 4 be authorized to withhold magnet grant funds from a school district that 5 used such funds in accordance with this paragraph, notwithstanding any 6 inconsistency with a request for proposals issued by such commissioner.

7 c. for the purpose of attendance improvement and dropout prevention 8 the 2013--2014 school year, for any city school district in a city for 9 having a population of more than one million, the setaside for attend-10 ance improvement and dropout prevention shall equal the amount set aside 11 in the base year. For the 2013--2014 school year, it is further provided 12 that any city school district in a city having a population of more than 13 one million shall allocate at least one-third of any increase from base 14 year levels in funds set aside pursuant to the requirements this of 15 subdivision to community-based organizations. Any increase required 16 pursuant to this subdivision to community-based organizations must be in 17 addition to allocations provided to community-based organizations in the 18 base year.

19 d. for the purpose of teacher support for the 2013--2014 school year: 20 the city school district of the city of New York, sixty-two million to seven hundred seven thousand dollars (\$62,707,000); to the Buffalo 21 city school district, one million seven hundred forty-one thousand dollars 22 23 (\$1,741,000); to the Rochester city school district, one million seventy-six thousand dollars (\$1,076,000); to the Yonkers city 24 school 25 one million one district, hundred forty-seven thousand dollars and to the Syracuse city school district, eight hundred 26 (\$1,147,000); nine thousand dollars (\$809,000). All funds made available to a school 27 28 district pursuant to this subdivision shall be distributed among teach-29 ers including prekindergarten teachers and teachers of adult vocational 30 academic subjects in accordance with this subdivision and shall be and in addition to salaries heretofore or hereafter negotiated 31 or made 32 available; provided, however, that all funds distributed pursuant to 33 this section for the current year shall be deemed to incorporate all 34 funds distributed pursuant to former subdivision 27 of section 3602 of the education law for prior years. In school districts where the teach-35 are represented by certified or recognized employee organizations, 36 ers 37 all salary increases funded pursuant to this section shall be determined 38 by separate collective negotiations conducted pursuant to the provisions 39 and procedures of article 14 of the civil service law, notwithstanding 40 the existence of a negotiated agreement between a school district and a 41 certified or recognized employee organization.

S 42. Severability. The provisions of this act shall be severable, and 42 43 if the application of any clause, sentence, paragraph, subdivision, 44 section or part of this act to any person or circumstance shall be 45 adjudged by any court of competent jurisdiction to be invalid, such judgment shall not necessarily affect, impair or invalidate the applica-46 47 tion of any such clause, sentence, paragraph, subdivision, section, part 48 of this act or remainder thereof, as the case may be, to any other 49 person or circumstance, but shall be confined in its operation to the 50 clause, sentence, paragraph, subdivision, section or part thereof 51 directly involved in the controversy in which such judgment shall have 52 been rendered.

53 S 43. This act shall take effect immediately, and shall be deemed to 54 have been in full force and effect on and after April 1, 2013, provided, 55 however, that:

1. Sections five and six of this act shall take effect immediately and 1 2 shall be deemed to have been in full force and effect on and after July 3 provided, further, that the amendments to subdivision 1 of 1, 2010; 4 section 2856 of the education law made by section five of this act shall 5 be subject to the expiration and reversion of such subdivision pursuant 6 to section 27 of chapter 378 of the laws of 2007, as amended, when upon 7 such date the provisions of section six of this act shall take effect; 8

2. Section nine of this act shall take effect July 1, 2014;

Sections one, eleven, twelve, thirteen, fourteen, fifteen, eigh-9 3. 10 teen, nineteen, twenty, twenty-one, twenty-seven, twenty-eight, thirtyfive and forty-one of this act shall take effect July 1, 2013; 11

12 The amendments to subdivision 6 of section 4402 of the education 4. 13 law made by section twenty-one of this act shall not affect the repeal 14 of such subdivision and shall be deemed repealed therewith;

15 5. The amendments to subdivision 10 of section 4410 of the education law, made by section twenty-three of this act shall take effect April 1, 16 17 2013 and shall first apply to the provision of services and programs pursuant to section 4410 of the education law in the 2013--2014 school 18 19 year;

20 6. The amendments to chapter 756 of the laws of 1992, relating to 21 funding a program for work force education conducted by a consortium for 22 worker education in New York city, made by sections twenty-seven and 23 twenty-eight of this act shall not affect the repeal of such chapter and 24 shall be deemed repealed therewith; and

- 25 7. Section thirty-nine of this act shall expire and be deemed repealed 26 June 30, 2014.
- 27

PART B

Section 1. Section 350 of the education law is amended by adding four 28 new subdivisions 10, 11, 12 and 13 to read as follows: 29

30 "DORMITORY FACILITIES REVENUE FUND" MEANS THE FUND ESTABLISHED 10. 31 PURSUANT TO SECTION SIXTEEN HUNDRED EIGHTY-Q OF THE PUBLIC AUTHORITIES 32 LAW.

11. "DORMITORY FACILITIES REVENUES" MEANS ALL MONEYS, INCLUDING RENTS, 33 34 FEES AND CHARGES, DERIVED FROM THE USE OR OCCUPANCY OF DORMITORY FACILI-35 TIES.

36 12. "DORMITORY FACILITY" MEANS A DORMITORY, AS SUCH TERM IS DEFINED IN 37 PARAGRAPH (A) OF SUBDIVISION TWO OF SECTION SIXTEEN HUNDRED SEVENTY-SIX 38 OF THE PUBLIC AUTHORITIES LAW.

13. "DORMITORY FACILITY REVENUE BOND" MEANS ANY NOTE OR BOND 39 OF THE (I) ISSUED ON OR AFTER THE FIRST DAY OF APRIL, TWO 40 AUTHORITY DORMITORY THOUSAND THIRTEEN FOR THE PURPOSES OF FINANCING DORMITORY FACILITIES 41 OR 42 REFINANCING NOTES OR BONDS PREVIOUSLY ISSUED IN CONNECTION WITH DORMITO-43 FACILITIES, INCLUDING NOTES OR BONDS ISSUED TO PAY COSTS INCURRED IN RY CONNECTION WITH THE ISSUANCE OF SUCH NOTES OR BONDS, TO FUND ANY RESERVE 44 45 FOR THE PAYMENT OF DEBT SERVICE ON SUCH BONDS OR NOTES, FUND то ANY RESERVE 46 ESTABLISHED FOR THE IMPROVEMENT, REPAIR, MAINTENANCE OR OPER-ATIONS OF DORMITORY FACILITIES, OR TO PAY OR PROVIDE FOR THE PAYMENT 47 OF 48 PREVIOUSLY ISSUED FOR ANY SUCH PURPOSE, AND (II) IS ANY NOTE OR BOND 49 PAYABLE FROM MONEYS ON DEPOSIT IN THE DORMITORY FACILITIES REVENUE FUND AND IS NOT PAYABLE FROM ANY REVENUE OF THE STATE. 50

2. Subdivision 2 of section 355 of the education law is amended by 51 S 52 adding a new paragraph y to read as follows:

Y. TO BETTER SECURE DORMITORY AUTHORITY BONDS 53 ISSUED IN CONNECTION 54 WITH DORMITORY FACILITIES, INCLUDING DORMITORY FACILITY REVENUE BONDS,

THE STATE UNIVERSITY OF NEW YORK IS HEREBY AUTHORIZED, IN ITS OWN NAME, 1 2 TO ASSIGN OR OTHERWISE TRANSFER TO THE DORMITORY AUTHORITY ANY OR ALL OF 3 THE STATE UNIVERSITY'S RIGHTS, TITLE AND INTEREST IN AND TO THE DORMITO-4 RY FACILITY REVENUES, AND TO ENTER INTO AGREEMENTS WITH THE DORMITORY 5 AUTHORITY PURSUANT TO SUBDIVISION TWO OF SECTION SIXTEEN HUNDRED EIGHT-6 Y-Q OF THE PUBLIC AUTHORITIES LAW IN FURTHERANCE OF SUCH ASSIGNMENT OR 7 TRANSFER. ANY ASSIGNMENT OR TRANSFER MADE PURSUANT TO THIS PARAGRAPH 8 SHALL CONSTITUTE A TRUE SALE AND ABSOLUTE TRANSFER OF THE DORMITORY FACILITIES REVENUES. THE CHARACTERIZATION OF SUCH ASSIGNMENT OR TRANSFER 9 10 SHALL NOT BE NEGATED OR ADVERSELY AFFECTED BY THE RETENTION BY THE STATE 11 UNIVERSITY OF NEW YORK OF ANY OWNERSHIP INTEREST IN THE DORMITORY FACIL-12 ITIES REVENUES OR OF ANY RESIDUAL RIGHT TO PAYMENT OF ANY DORMITORY FACILITY REVENUES REMAINING IN THE DORMITORY FACILITIES REVENUE FUND 13 14 AFTER THE MONEYS THEREIN HAVE BEEN APPLIED IN ACCORDANCE WITH PARAGRAPH 15 (B) OF SUBDIVISION THREE OF SECTION SIXTEEN HUNDRED EIGHTY-Q OF THE 16 PUBLIC AUTHORITIES LAW. ALL RIGHTS, TITLE AND INTEREST IN AND TO ANY 17 TO OR UPON THE ORDER OF THE STATE UNIVERSITY OF NEW YORK MONEYS PAID PURSUANT TO ANY AGREEMENT BY AND BETWEEN THE DORMITORY AUTHORITY AND THE 18 19 STATE UNIVERSITY OF NEW YORK ENTERED INTO PURSUANT TO SUBDIVISION TWO OF SECTION SIXTEEN HUNDRED EIGHTY-Q OF THE PUBLIC AUTHORITIES LAW OR PURSU-20 21 ANT TO ANY AGREEMENT ENTERED INTO PURSUANT TO PARAGRAPH J OF SUBDIVISION TWO OF SECTION SIXTEEN HUNDRED EIGHTY OF THE PUBLIC AUTHORITIES 22 LAW SHALL VEST IN THE STATE UNIVERSITY OF NEW YORK AND BE THE ABSOLUTE PROP-23 24 ERTY OF THE STATE UNIVERSITY OF NEW YORK, AND THE DORMITORY AUTHORITY 25 SHALL NO LONGER HAVE ANY INTEREST IN SUCH MONEYS.

S 3. Subdivision 8 of section 355 of the education law, as amended by chapter 553 of the laws of 1985, is amended to read as follows: 8. [All] EXCEPT AS OTHERWISE PROVIDED HEREIN, ALL moneys received by

28 the state university of New York and by state-operated institutions 29 thereof from appropriations, tuition, fees, user charges, sales of 30 products and services and from all other sources, including sources and 31 32 activities of the state university which are intended by law to be self-33 supporting may be credited to an appropriate fund or funds to be desig-34 nated by the state comptroller. The amounts so paid into such fund or funds which were received by or for the state university shall be used 35 for expenses of the state university in carrying out any of its objects 36 37 and purposes and such amounts received by or for state-operated insti-38 tutions of the state university shall be used for expenses of the state 39 university under regulations prescribed by the state university trus-40 tees. NOTWITHSTANDING THE FOREGOING PROVISIONS OF THIS SUBDIVISION, ALL DORMITORY FACILITIES REVENUES TRANSFERRED TO THE DORMITORY AUTHORITY BY 41 ASSIGNMENT OR OTHERWISE PURSUANT TO PARAGRAPH Y OF SUBDIVISION TWO OF 42 43 THIS SECTION SHALL UPON RECEIPT BY THE STATE UNIVERSITY ACTING AS AGENT 44 FOR THE DORMITORY AUTHORITY BE TRANSFERRED AND IMMEDIATELY PAID WITHOUT 45 APPROPRIATION THEREOF TO THE COMMISSIONER OF TAXATION AND FINANCE PURSU-ANT TO SUBDIVISION FOUR OF SECTION FOUR OF THE STATE 46 FINANCE LAW FOR 47 DEPOSIT TO THE DORMITORY FACILITIES REVENUE FUND.

48 S 4. The public authorities law is amended by adding a new section 49 1680-q to read as follows:

50 S 1680-Q. STATE UNIVERSITY OF NEW YORK DORMITORY FACILITIES. 1. AS 51 USED IN OR REFERRED TO IN THIS SECTION, UNLESS A DIFFERENT MEANING 52 APPEARS FROM THE CONTEXT, THE FOLLOWING TERMS SHALL HAVE THE FOLLOWING 53 RESPECTIVE MEANINGS:

54 (A) "AGREEMENT" MEANS AN AGREEMENT BY AND BETWEEN THE AUTHORITY AND 55 THE STATE UNIVERSITY ENTERED INTO PURSUANT TO THIS SECTION.

(B) "DORMITORY FACILITIES REVENUE FUND" MEANS THE 1 FUND ESTABLISHED 2 PURSUANT TO SUBDIVISION THREE OF THIS SECTION. 3 (C) "DORMITORY FACILITIES REVENUES" MEANS ALL MONEYS, INCLUDING RENTS, 4 FEES AND CHARGES, DERIVED FROM THE USE OR OCCUPANCY OF DORMITORY FACILI-5 TIES. 6 (D) "DORMITORY FACILITY" MEANS A DORMITORY, AS SUCH TERM IS DEFINED IN 7 PARAGRAPH (A) OF SUBDIVISION TWO OF SECTION SIXTEEN HUNDRED SEVENTY-SIX 8 OF THIS TITLE. (E) "DORMITORY FACILITY REVENUE BOND" MEANS ANY NOTE OR BOND OF 9 THE 10 AUTHORITY (I) ISSUED ON OR AFTER THE FIRST DAY OF APRIL, TWO THOUSAND THIRTEEN FOR THE PURPOSES OF FINANCING DORMITORY FACILITIES OR REFINANC-11 ING NOTES OR BONDS ISSUED PREVIOUSLY IN CONNECTION WITH DORMITORY FACIL-12 ITIES, INCLUDING NOTES OR BONDS ISSUED TO PAY COSTS INCURRED 13 IN 14 CONNECTION WITH THE ISSUANCE OF SUCH NOTES OR BONDS, TO FUND ANY RESERVE 15 FOR THE PAYMENT OF DEBT SERVICE ON SUCH BONDS, TO FUND ANY RESERVE ESTABLISHED FOR THE IMPROVEMENT, REPAIR, MAINTENANCE OR OPERATIONS OF 16 17 DORMITORY FACILITIES, OR TO PAY OR PROVIDE FOR THE PAYMENT OF ANY NOTE OR BOND PREVIOUSLY ISSUED FOR ANY SUCH PURPOSE, AND (II) IS PAYABLE FROM 18 19 MONEYS ON DEPOSIT IN THE DORMITORY FACILITIES REVENUE FUND. 20 (F) "PRIOR DORMITORY FACILITY BOND" MEANS ANY NOTE OR BOND OF THE 21 ISSUED PRIOR TO APRIL FIRST, TWO THOUSAND THIRTEEN ΙN AUTHORITY 22 CONNECTION WITH DORMITORY FACILITIES. 23 (G) "STATE UNIVERSITY" MEANS THE STATE UNIVERSITY OF NEW YORK, A CORPORATION WITHIN THE STATE EDUCATION DEPARTMENT AND WITHIN THE UNIVER-24 25 SITY OF THE STATE OF NEW YORK CREATED BY SECTION THREE HUNDRED FIFTY-TWO 26 OF THE EDUCATION LAW. 27 THE AUTHORITY MAY, FROM AND AFTER APRIL FIRST, TWO THOUSAND THIR-2. 28 TEEN, ISSUE DORMITORY FACILITY REVENUE BONDS IN AN AMOUNT NOT TO EXCEED NINE HUNDRED FORTY-FOUR MILLION DOLLARS. SUCH AMOUNT SHALL BE EXCLUSIVE 29 OF BONDS AND NOTES ISSUED TO FUND ANY RESERVE FUND OR FUNDS, COST OF 30 ISSUANCE, ORIGINAL ISSUE PREMIUM, AND TO REFUND ANY PRIOR DORMITORY 31 32 FACILITY BONDS OR ANY DORMITORY FACILITY REVENUE BONDS. THE AUTHORITY THE STATE UNIVERSITY ARE HEREBY AUTHORIZED TO ENTER INTO AGREEMENTS 33 AND RELATING TO, AMONG OTHER THINGS, THE ACQUISITION OF PROPERTY OR INTER-34 ESTS THEREIN, THE CONSTRUCTION, RECONSTRUCTION, REHABILITATION, IMPROVE-35 EQUIPPING AND FURNISHING OF DORMITORY FACILITIES, THE OPERATION 36 MENT, 37 AND MAINTENANCE OF DORMITORY FACILITIES, AND THE BILLING, COLLECTION AND 38 DISBURSEMENT OF DORMITORY FACILITIES REVENUES, THE TITLE TO WHICH HAS 39 BEEN CONVEYED, ASSIGNED OR OTHERWISE TRANSFERRED TO THE AUTHORITY PURSU-40 TO PARAGRAPH Y OF SUBDIVISION TWO OF SECTION THREE HUNDRED ANT FIFTY-FIVE OF THE EDUCATION LAW. NO DEBT SHALL BE CONTRACTED EXCEPT 41 TO FINANCE CAPITAL WORKS OR PURPOSES. NOTWITHSTANDING ANY OTHER PROVISION 42 43 OF LAW, DORMITORY FACILITY REVENUES SHALL NOT BE DEEMED TO BE REVENUES 44 THE STATE. THE STATE SHALL NOT BE LIABLE FOR ANY PAYMENTS ON ANY OF 45 DORMITORY FACILITY REVENUE BONDS, AND SUCH BONDS SHALL NOT BE A DEBT OF THE STATE. 46 (A) THERE IS HEREBY ESTABLISHED IN THE CUSTODY OF THE COMMISSIONER 47 3. 48 OF TAXATION AND FINANCE A SPECIAL FUND TO BE KNOWN AS THE DORMITORY 49 FACILITIES REVENUE FUND. SUCH FUND SHALL CONSIST OF ALL DORMITORY FACIL-50 ITIES REVENUES CONVEYED, ASSIGNED OR OTHERWISE TRANSFERRED TO THE 51 AUTHORITY PURSUANT TO PARAGRAPH Y OF SUBDIVISION TWO OF SECTION THREE HUNDRED FIFTY-FIVE OF THE EDUCATION LAW, WHICH UPON RECEIPT BY THE 52 COMMISSIONER OF TAXATION AND FINANCE SHALL BE DEPOSITED IN SUCH FUND AND 53 54 HELD BY THE COMMISSIONER OF TAXATION AND FINANCE PURSUANT TO SUBDIVISION 55 FOUR OF SECTION FOUR OF THE STATE FINANCE LAW. THE MONEYS IN THE FUND SHALL BE THE SOLE AND EXCLUSIVE PROPERTY OF THE AUTHORITY. THE MONEYS 56

HELD IN THE FUND SHALL BE HELD SEPARATE AND APART FROM AND NOT COMMIN-1 GLED WITH ANY MONEYS OF THE STATE OR ANY OTHER MONEYS IN THE CUSTODY OF 2 3 THE COMMISSIONER OF TAXATION AND FINANCE. ALL DEPOSITS OF MONEYS SHALL, 4 IF REQUIRED BY THE COMMISSIONER OF TAXATION AND FINANCE, BE SECURED BY 5 OBLIGATIONS OF THE UNITED STATES OF AMERICA OR OF THE STATE HAVING A 6 MARKET VALUE EQUAL AT ALL TIMES TO THE AMOUNT OF SUCH DEPOSITS AND ALL 7 BANKS AND TRUST COMPANIES ARE AUTHORIZED TO GIVE SECURITY FOR SUCH 8 DEPOSITS. ANY MONEYS IN SUCH FUND MAY, IN THE DISCRETION OF THE COMMIS-SIONER OF TAXATION AND FINANCE, BE INVESTED IN OBLIGATIONS DESCRIBED IN 9 10 SECTION NINETY-EIGHT OF THE STATE FINANCE LAW. THE COMMISSIONER OF TAXA-TION AND FINANCE SHALL CERTIFY TO THE AUTHORITY AND THE STATE UNIVERSITY 11 THAN THE FIFTEENTH DAY OF EACH MONTH THE AMOUNT OF DORMITORY 12 NOT LATER FACILITIES REVENUES DEPOSITED IN THE FUND DURING THE PRECEDING CALENDAR 13 14 MONTH AND THE AMOUNT HELD IN THE FUND AS OF THE LAST DAY OF SUCH PRECED-15 ING CALENDAR MONTH.

16 (B) DURING EACH TWELVE MONTH PERIOD COMMENCING JULY FIRST OF A CALEN-17 DAR YEAR AND ENDING ON JUNE THIRTIETH OF THE SUCCEEDING CALENDAR YEAR, 18 THE COMMISSIONER OF TAXATION AND FINANCE SHALL PAY, WITHOUT APPROPRI-19 ATION, TO OR UPON THE ORDER OF THE AUTHORITY FROM THE MONEYS IN THE FUND 20 THE AMOUNT CERTIFIED TO THE COMMISSIONER OF TAXATION AND FINANCE BY THE AUTHORITY PURSUANT TO PARAGRAPH (C) OF THIS SUBDIVISION. ANY MONEYS 21 REMAINING IN THE FUND AFTER PAYMENT TO THE AUTHORITY OF THE AMOUNT 22 SO CERTIFIED SHALL BE PAID BY THE COMMISSIONER OF TAXATION AND FINANCE IN 23 ACCORDANCE WITH THE AGREEMENT. ALL RIGHTS, TITLE AND INTEREST IN AND 24 TO 25 ANY MONEYS PAID TO OR UPON THE ORDER OF THE STATE UNIVERSITY PURSUANT TO AGREEMENT SHALL VEST IN THE STATE UNIVERSITY AND BE THE ABSOLUTE 26 THE PROPERTY OF THE STATE UNIVERSITY, AND THE AUTHORITY SHALL NO LONGER HAVE 27 28 ANY INTEREST IN SUCH MONEYS.

29 (C) THE AUTHORITY SHALL, NOT LATER THAN BY THE FIRST DAY OF JUNE OF 30 EACH CALENDAR YEAR, CERTIFY TO THE COMMISSIONER OF TAXATION AND FINANCE AND TO THE STATE UNIVERSITY: (I) THE AMOUNT OF THE RENTALS, INCLUDING 31 32 AMOUNTS REQUIRED FOR PAYMENT OF THE PRINCIPAL OF, AND INTEREST ON THE 33 PRIOR DORMITORY FACILITY BONDS REQUIRED TO BE MADE BY THE STATE UNIVER-SITY TO THE AUTHORITY DURING THE TWELVE MONTH PERIOD COMMENCING ON THE 34 35 SUCCEEDING JULY FIRST AND ENDING ON THE SUCCEEDING JUNE THIRTIETH PURSU-ANT TO THE AGREEMENT BETWEEN THE AUTHORITY AND THE STATE UNIVERSITY, 36 37 DATED AS OF THE TWENTIETH DAY OF SEPTEMBER, NINETEEN HUNDRED NINETY-FIVE, AS AMENDED AND RESTATED; (II) THE AMOUNT REQUIRED TO MAIN-38 39 TAIN ANY RESERVES FOR THE REPAIR AND REPLACEMENT OF DORMITORY FACILITIES 40 THE OPERATIONS AND MAINTENANCE OF DORMITORY FACILITIES IN CONNECTION OR WITH THE PRIOR DORMITORY FACILITY BONDS; (III) THE AMOUNT REQUIRED FOR 41 42 PAYMENT OF THE PRINCIPAL OF, WHETHER AT MATURITY OR DUE THROUGH MANDATO-43 RY REDEMPTION, AND INTEREST ON DORMITORY FACILITY REVENUE BONDS PAYABLE 44 ON JANUARY FIRST OF SUCH TWELVE MONTH PERIOD AND ON JULY FIRST NEXT 45 SUCCEEDING SUCH TWELVE MONTH PERIOD; (IV) THE AMOUNT REQUIRED TO MAIN-TAIN ANY RESERVES FOR THE REPAIR AND REPLACEMENT OF DORMITORY FACILITIES 46 47 OR THE OPERATIONS AND MAINTENANCE OF DORMITORY FACILITIES IN CONNECTION 48 WITH THEDORMITORY FACILITY REVENUE BONDS; (V) THE AMOUNT REQUIRED TO 49 RESTORE ANY RESERVE FOR THE PAYMENT OF DEBT SERVICE ON DORMITORY FACILI-50 TY REVENUE BONDS TO ITS REQUIREMENT; AND (VI) THE COSTS, EXPENSES AND OVERHEAD OF THE DORMITORY AUTHORITY TO BE INCURRED DURING SUCH TWELVE 51 MONTH PERIOD IN CONNECTION WITH AND REASONABLY RELATED TO DORMITORY 52 FACILITIES FINANCED THROUGH THE ISSUANCE OF DORMITORY FACILITY REVENUE 53 54 BONDS. EACH SUCH AMOUNT SHALL BE SEPARATELY STATED AND IDENTIFIED IN 55 SUCH CERTIFICATE. ANY SUCH CERTIFICATE SUBMITTED BY THE DORMITORY 56 AUTHORITY MAY BE AMENDED BY THE DORMITORY AUTHORITY FROM TIME TO TIME AS 1 NECESSARY TO ADJUST THE AMOUNTS SET FORTH THEREIN. THE MONEYS PAID TO 2 THE AUTHORITY PURSUANT TO PARAGRAPH (B) OF THIS SUBDIVISION SHALL BE 3 APPLIED BY THE AUTHORITY IN THE ORDER OF PRIORITY IN WHICH THE AMOUNTS 4 SET FORTH IN SUCH CERTIFICATION ARE STATED IN THIS PARAGRAPH.

S 5. For the purposes of paragraphs (b) and (c) of subdivision 3 of section 1680-q of the public authorities law, as added by section four 5 6 7 of this act, the dormitory authority shall, within thirty days after the 8 date on which this act shall become effective, make and deliver to the commissioner of taxation and finance and the state university of New 9 10 York a certification in the form and substance required by such para-11 graph (c) with respect to amounts required for the items specified therein during the period from the effective date of this act to and includ-12 ing the thirtieth day of June, 2013, and, if this act 13 shall become 14 effective after the first day of June, 2013, for the twelve month period commencing the first day of July, 2013, to and including the thirtieth 15 day of June, 2014. No money shall be paid by the commissioner of taxa-16 tion and finance out of the dormitory facility revenue fund except 17 18 unless and until such commissioner has received the certification or 19 certifications required by this section.

20 S 6. This act shall take effect immediately.

21

PART C

22 Section 1. Paragraph (a) of subdivision 1 of section 1 of part U of 23 chapter 57 of the laws of 2005 amending the labor law and other laws 24 implementing the state fiscal plan for the 2005-2006 state fiscal year, 25 relating to the New York state higher education capital matching grant 26 program for independent colleges, as amended by section 1 of part H of 27 chapter 57 of the laws of 2012, is amended to read as follows:

(a) The New York state higher education capital matching grant board 28 hereby created to have and exercise the powers, duties and preroga-29 is 30 tives provided by the provisions of this section and any other provision 31 of law. The board shall remain in existence during the period of the New 32 York state higher education capital matching grant program from the 33 effective date of this section through March 31, [2013] 2014, or the 34 date on which the last of the funds available for grants under this section shall have been disbursed, whichever is earlier; provided, 35 however, that the termination of the existence of the board shall not 36 37 affect the power and authority of the dormitory authority to perform its 38 obligations with respect to any bonds, notes, or other indebtedness issued or incurred pursuant to authority granted in this section. S 2. Paragraph (h) of subdivision 4 of section 1 of part U of chapter 39

S 2. Paragraph (h) of subdivision 4 of section 1 of part U of chapter for of the laws of 2005 amending the labor law and other laws implementing the state fiscal plan for the 2005-2006 state fiscal year, relating to the New York state higher education capital matching grant program for independent colleges, as amended by section 2 of part H of chapter 57 of the laws of 2012, is amended to read as follows:

46 (h) [If a college did not apply for a potential grant] IN THE EVENT 47 THAT ANY COLLEGES DO NOT APPLY FOR HIGHER EDUCATION CAPITAL MATCHING 48 31, 2009, OR IN EVENT THEY APPLY FOR AND ARE GRANTS by March THEAWARDED, BUT DO NOT USE THE FULL AMOUNT OF SUCH GRANTS, THE UNUSED funds 49 50 associated with such [potential grant] GRANTS shall THEREAFTER be awarded[,] TO COLLEGES on a competitive basis, [to other colleges,] 51 52 according to the priorities set forth below. [Colleges] NOTWITHSTANDING 53 SUBDIVISION FIVE OF THIS SECTION, ANY COLLEGE shall be eligible to apply for [unutilized grants] SUCH UNUSED FUNDS IN RESPONSE TO A REQUEST FOR 54

PROPOSALS FOR A HIGHER EDUCATION CAPITAL MATCHING GRANT PURSUANT TO THIS 1 2 PARAGRAPH. In such cases, the following priorities shall apply: first, priority shall be given to otherwise eligible colleges that either were, 3 4 or would have been, deemed ineligible for the program prior to March 31, 2009, due to missed deadlines, insufficient matching funds, lack of 5 6 accreditation or other disqualifying reasons; and second, after the 7 board has acted upon all such first-priority applications for unused 8 funds, if any such funds remain, those funds shall be available for distribution to eligible colleges [that are located within the same 9 10 Regents of the State of New York region for which such funds were 11 originally allocated]. THE UNUSED FUNDS ASSOCIATED WITH HIGHER EDUCA-TION CAPITAL MATCHING GRANTS THAT WERE AVAILABLE IN THE 12 FIRST INSTANCE COLLEGES AND UNIVERSITIES LOCATED IN THE COUNTIES OF NASSAU, SUFFOLK 13 TO 14 AND IN THE CITY OF NEW YORK, SHALL BE AWARDED PURSUANT TO THIS PARAGRAPH TO COLLEGES IN THE COUNTIES OF NASSAU AND SUFFOLK AND THE CITY 15 OF NEW 16 YORK, AND THE UNUSED FUNDS ASSOCIATED WITH SUCH GRANTS THAT WERE AVAIL-ABLE IN THE FIRST INSTANCE TO COLLEGES OUTSIDE THE COUNTIES 17 OF NASSAU, SUFFOLK AND THE CITY OF NEW YORK SHALL BE AWARDED PURSUANT TO THIS PARA-18 19 GRAPH TO COLLEGES LOCATED OUTSIDE THE COUNTIES OF NASSAU, SUFFOLK AND THE CITY OF NEW YORK. The dormitory authority shall develop a request 20 21 for proposals and application process, in consultation with the board, 22 for [such] HIGHER EDUCATION CAPITAL MATCHING grants AWARDED PURSUANT TO 23 THIS PARAGRAPH, and shall develop criteria, subject to review by the board, for the awarding of such grants. Such criteria shall [incorpo-24 25 rate] INCLUDE, BUT NOT BE LIMITED TO the matching criteria contained in paragraph (c) of this subdivision, and the application criteria set forth in paragraph (e) of this subdivision. The dormitory authority shall require all applications in response to the request for proposals 26 27 28 29 be submitted by September 1, [2012] 2013, and the board shall act on to 30 each application for such matching grants by November 1, [2012] 2013.

S 3. Subclause (A) of clause (ii) of paragraph (j) of subdivision 4 of section 1 of part U of chapter 57 of the laws of 2005 amending the labor law and other laws implementing the state fiscal plan for the 2005-2006 state fiscal year, relating to the New York state higher education capital matching grant program for independent colleges, as amended by section 3 of part H of chapter 57 of the laws of 2012, is amended to read as follows:

(A) Notwithstanding the provision of any general or special law to the 38 39 contrary, and subject to the provisions of chapter 59 of the laws of 40 2000 and to the making of annual appropriations therefor by the legislature, in order to assist the dormitory authority in providing such high-41 42 er education capital matching grants, the director of the budget is 43 authorized in any state fiscal year commencing April 1, 2005 or any 44 state fiscal year thereafter for a period ending on March 31, [2014] 45 2015, to enter into one or more service contracts, none of which shall exceed 30 years in duration, with the dormitory authority, 46 upon such 47 terms as the director of the budget and the dormitory authority agree.

48 S 4. Paragraph (b) of subdivision 7 of section 1 of part U of chapter 49 57 of the laws of 2005 amending the labor law and other laws implement-50 ing the state fiscal plan for the 2005-2006 state fiscal year, relating 51 to the New York state higher education matching capital grant program 52 for independent colleges, as amended by section 4 of part H of chapter 53 57 of the laws of 2012, is amended to read as follows:

(b) Any eligible institution receiving a grant pursuant to this arti-55 cle shall report to the dormitory authority no later than June 1, [2013] 56 2014, on the use of funding received and its programmatic and economic 1 impact. The dormitory authority shall submit a report no later than 2 November 1, [2013] 2014 to the board, the governor, the director of the 3 budget, the temporary president of the senate, and the speaker of the 4 assembly on the aggregate impact of the higher education matching capi-5 tal grant program. Such report shall provide information on the progress 6 and economic impact of such project.

7 S 5. This act shall take effect immediately and shall be deemed to 8 have been in full force and effect on and after April 1, 2013.

9

PART D

10 Section 1. Subdivision 1 of section 6304 of the education law is 11 amended by adding two new paragraphs b-1 and b-2 to read as follows:

12 B-1. (I) NOTWITHSTANDING ANY PROVISION OF LAW TO THE CONTRARY, FOR THE 13 COMMUNITY COLLEGE FISCAL YEAR TWO THOUSAND THIRTEEN--TWO THOUSAND FOUR-14 TEEN AND THEREAFTER, ENROLLMENT IN A PROGRAM THAT CONFERS A CREDIT-BEAR-15 ING CERTIFICATE, AN ASSOCIATE OF OCCUPATIONAL STUDIES DEGREE, OR AN 16 ASSOCIATE OF APPLIED SCIENCE DEGREE, SHALL ONLY COUNT AS AIDABLE COLLEGE 17 ENROLLMENT IF:

(A) THE PROGRAM IS A PARTNERSHIP BETWEEN THE COMMUNITY COLLEGE AND ONE
 OR MORE EMPLOYERS TO TRAIN AND EMPLOY STUDENTS IN A SPECIFIC OCCUPATION;
 OR

21 (B) THE PROGRAM (1) PREPARES STUDENTS FOR AN OCCUPATION THAT MEETS 22 CURRENT OR EMERGING REGIONAL WORKFORCE NEEDS BASED ON A LIST PROVIDED BY 23 DEPARTMENT OF LABOR BASED ON AVAILABLE LABOR MARKET DATA OR IDENTI-THE 24 FIED AS SUCH BY THE APPLICABLE REGIONAL ECONOMIC DEVELOPMENT COUNCIL, AND (2) HAS AN ADVISORY COMMITTEE MADE UP OF MEMBERS OF WHOM THE MAJORI-25 ARE EMPLOYERS IN THE OCCUPATION OR SECTOR, OR A RELATED SECTOR, THAT 26 ΤY EMPLOY OR COMMIT TO EMPLOY WORKERS IN THE REGION WHERE THE 27 COMMUNITY LOCATED, AND SUCH COMMITTEE SERVES TO ADVISE THE COMMUNITY 28 COLLEGE IS COLLEGE ON THE PROGRAM'S CURRICULUM, RECRUITMENT, PLACEMENT AND EVALU-29 ATION SO THAT IT REMAINS UP-TO-DATE WITH EMPLOYER NEEDS. 30

31 (II) NOTWITHSTANDING SUBPARAGRAPH (I) OF THIS PARAGRAPH, ENROLLMENT IN 32 PROGRAMS THAT FAIL TO MEET THE REQUIREMENTS OF SUBPARAGRAPH (I) OF THIS PARAGRAPH SHALL COUNT IN THE DETERMINATION OF AIDABLE COLLEGE ENROLLMENT 33 IN THE TWO THOUSAND THIRTEEN--TWO THOUSAND FOURTEEN COMMUNITY 34 COLLEGE 35 FISCAL YEAR ONLY TO THE EXTENT A STUDENT WAS ENROLLED IN THE SAME 36 PROGRAM AND WAS COUNTED IN THE DETERMINATION OF AIDABLE COLLEGE ENROLL-37 MENT DURING, OR PRIOR TO, THE TWO THOUSAND TWELVE--TWO THOUSAND THIRTEEN 38 COMMUNITY COLLEGE FISCAL YEAR.

39 OR BEFORE NOVEMBER FIRST OF EACH YEAR, THE STATE UNIVERSITY (III) ON TRUSTEES AND THE CITY UNIVERSITY TRUSTEES SHALL EACH SUBMIT A REPORT 40 TΟ 41 DIRECTOR OF THE BUDGET FOR PURPOSES OF DETERMINING AMOUNTS PAYABLE THE 42 TO COMMUNITY COLLEGES. SUCH REPORT SHALL INCLUDE AN ACCOUNTING OF AIDA-43 COLLEGE ENROLLMENT AS DETERMINED IN ACCORDANCE WITH THIS PARAGRAPH BLE 44 FOR PROGRAMS THAT CONFER CREDIT-BEARING CERTIFICATES, ASSOCIATE OF OCCU-45 PATIONAL STUDIES DEGREES, OR ASSOCIATE OF APPLIED SCIENCE DEGREES, IN46 SUCH A FORM AND MANNER AS THE DIRECTOR OF THE BUDGET MAY REQUIRE TO 47 VERIFY COMPLIANCE WITH SUBPARAGRAPHS (I) AND (II) OF THIS PARAGRAPH AND APPROVE OR DENY PAYMENT FOR SUCH PROGRAMS THEREOF; AND PROVIDED FURTHER 48 49 THAT, PRIOR TO SUBMITTING SUCH REPORTS, THE CHANCELLOR OF THE STATE UNIVERSITY OF NEW YORK AND THE CHANCELLOR OF THE CITY UNIVERSITY OF NEW 50 YORK SHALL ASSIST THE DIRECTOR OF THE BUDGET IN AN EVALUATION OF WHETHER 51 52 THERE ARE ADDITIONAL WORKFORCE AND VOCATIONAL PROGRAMS THAT SHALL ΒE IN FUTURE YEARS FOR THE PURPOSE OF MAKING NECESSARY CALCU-53 CONSIDERED 54 LATIONS PURSUANT TO THIS PARAGRAPH AND PARAGRAPH B-2 OF THIS SECTION.

B-2. (I) NOTWITHSTANDING ANY PROVISION OF LAW TO THE CONTRARY, WITHIN 1 AMOUNTS APPROPRIATED THEREFOR, THE STATE UNIVERSITY OF NEW YORK AND CITY 2 3 UNIVERSITY OF NEW YORK SHALL MAKE AWARDS TO COMMUNITY COLLEGES FROM A 4 NEXT GENERATION NY JOB LINKAGE PROGRAM INCENTIVE FUND ON A PRO-RATA 5 BASIS IN ACCORDANCE WITH A METHODOLOGY AND IN A FORM AND MANNER DEVEL-6 OPED BY THE DIRECTOR OF THE BUDGET, IN CONSULTATION WITH THE STATE 7 UNIVERSITY AND CITY UNIVERSITY, BASED ON MEASURES OF STUDENT SUCCESS FOR 8 ALL STUDENTS ENROLLED IN PROGRAMS THAT MEET THE REQUIREMENTS OF SUBPARA-GRAPH (I) OF PARAGRAPH B-1 OF THIS SUBDIVISION INCLUDING, BUT NOT LIMIT-9 10 ED TO: 11 STUDENTS WHO ARE EMPLOYED FOLLOWING DEGREE OR (A) THE NUMBER OF 12 CERTIFICATE COMPLETION AND THEIR WAGE GAINS, IF ANY, AS DETERMINED ΒY THE DEPARTMENT OF LABOR, WHICH SHALL BE GIVEN THE GREATEST WEIGHTING 13 14 AMONG ALL MEASURES OF STUDENT SUCCESS; 15 (B) THE NUMBER OF ON-TIME DEGREE COMPLETIONS, ON-TIME CERTIFICATE 16 COMPLETIONS AND STUDENT TRANSFERS TO OTHER INSTITUTIONS OF HIGHER EDUCA-17 TION; 18 (C) THE NUMBER OF DEGREES AND CERTIFICATE COMPLETIONS THAT DO NOT MEET 19 THE ON-TIME REQUIREMENT OF CLAUSE (B) OF THIS SUBPARAGRAPH WHICH SHALL 20 BE GIVEN LESSER WEIGHT THAN CLAUSE (B); 21 (D) THE NUMBER OF DEGREE AND CERTIFICATE COMPLETIONS UNDER CLAUSES (B) 22 THIS SUBPARAGRAPH BY A STUDENT CONSIDERED ACADEMICALLY AND (C) OF 23 AT-RISK DUE TO ECONOMIC DISADVANTAGE OR OTHER FACTOR OF UNDER-REPRESEN-TATION WITHIN THE FIELD OF STUDY; AND 24 25 STUDENTS WHO MAKE ADEQUATE PROGRESS TOWARDS THE NUMBER OF (E) 26 COMPLETION OF A DEGREE OR CERTIFICATE, WHICH MAY INCLUDE ACCELERATED COMPLETION OF A DEVELOPMENTAL EDUCATION PROGRAM. 27 (II) ON OR BEFORE DECEMBER FIRST OF EACH YEAR, OR AN ALTERNATIVE 28 DATE DETERMINED BY THE DIRECTOR OF THE BUDGET IN CONSULTATION WITH THE 29 AS STATE UNIVERSITY AND CITY UNIVERSITY, THE STATE UNIVERSITY TRUSTEES AND 30 THE CITY UNIVERSITY TRUSTEES SHALL EACH SUBMIT A PLAN FOR APPROVAL BY 31 32 THE DIRECTOR OF THE BUDGET TO ALLOCATE AMOUNTS AVAILABLE FOR THE NEXT GENERATION NY JOB LINKAGE PROGRAM INCENTIVE FUND IN ACCORDANCE WITH THIS 33 34 PARAGRAPH. S 2. This act shall take effect immediately. 35 36 PART E

Section 1. Paragraphs (a), (b), (c) and (d) of subdivision 1 of 37 38 section 131-o of the social services law, as amended by section 1 of 39 part C of chapter 57 of the laws of 2012, are amended to read as 40 follows:

41 (a) in the case of each individual receiving family care, an amount 42 equal to at least [\$135.00] \$137.00 for each month beginning on or after 43 January first, two thousand [twelve] THIRTEEN.

(b) in the case of each individual receiving residential care, an 44 45 amount equal to at least [\$155.00] \$158.00 for each month beginning on 46 or after January first, two thousand [twelve] THIRTEEN.

(c) in the case of each individual receiving enhanced residential care, an amount equal to at least [\$184.00] \$187.00 for each month 47 48 49 beginning on or after January first, two thousand [twelve] THIRTEEN.

(d) for the period commencing January first, two thousand [thirteen] 50 FOURTEEN, the monthly personal needs allowance shall be an amount equal 51 52 to the sum of the amounts set forth in subparagraphs one and two of this 53 paragraph:

the amounts specified in paragraphs (a), (b) and (c) of this 1 (1)2 subdivision; and 3 amount in subparagraph one of this paragraph, multiplied by (2) the 4 the percentage of any federal supplemental security income cost of living adjustment which becomes effective on or after January first, two 5 6 thousand [thirteen] FOURTEEN, but prior to June thirtieth, two thousand 7 [thirteen] FOURTEEN, rounded to the nearest whole dollar. 8 S 2. Paragraphs (a), (b), (c), (d), (e) and (f) of subdivision 2 of section 209 of the social services law, as amended by section 2 of part 9 10 C of chapter 57 of the laws of 2012, are amended to read as follows: 11 (a) On and after January first, two thousand [twelve] THIRTEEN, for an eligible individual living alone, [\$785.00] \$797.00; and for an eligible 12 couple living alone, [\$1152.00] \$1170.00. 13 14 (b) On and after January first, two thousand [twelve] THIRTEEN, for an 15 eligible individual living with others with or without in-kind income, 16 [\$721.00] \$733.00; and for an eligible couple living with others with or without in-kind income, [\$1094.00] \$1112.00. 17 18 (c) On and after January first, two thousand [twelve] THIRTEEN, (i) for an eligible individual receiving family care, [\$964.48] \$976.48 if he or she is receiving such care in the city of New York or the county 19 20 21 of Nassau, Suffolk, Westchester or Rockland; and (ii) for an eliqible 22 couple receiving family care in the city of New York or the county of Nassau, Suffolk, Westchester or Rockland, two times the amount set forth 23 in subparagraph (i) of this paragraph; or (iii) for an eligible individ-24 25 ual receiving such care in any other county in the state, [\$926.48] 26 \$938.48; and (iv) for an eligible couple receiving such care in any other county in the state, two times the amount set forth in subpara-27 28 graph (iii) of this paragraph. 29 (d) On and after January first, two thousand [twelve] THIRTEEN, (i) 30 an eligible individual receiving residential care, [\$1133.00] for \$1145.00 if he or she is receiving such care in the city of New York or 31 32 the county of Nassau, Suffolk, Westchester or Rockland; and (ii) for an 33 eligible couple receiving residential care in the city of New York or the county of Nassau, Suffolk, Westchester or Rockland, two times the 34 amount set forth in subparagraph (i) of this paragraph; or (iii) for an 35 eligible individual receiving such care in any other county in 36 the state, [\$1103.00] \$1115.00; and (iv) for an eligible couple receiving 37 such care in any other county in the state, two times the amount 38 set forth in subparagraph (iii) of this paragraph. 39 40 (e) On and after January first, two thousand [twelve] THIRTEEN, (i) eligible individual receiving enhanced 41 for an residential care, [\$1392.00] \$1404.00; and (ii) for an eligible couple receiving enhanced 42 43 residential care, two times the amount set forth in subparagraph (i) of 44 this paragraph. 45 (f) The amounts set forth in paragraphs (a) through (e) of this subdivision shall be increased to reflect any increases in federal supple-46 47 mental security income benefits for individuals or couples which become 48 effective on or after January first, two thousand [thirteen] FOURTEEN but prior to June thirtieth, two thousand [thirteen] FOURTEEN. 49 50 S 3. This act shall take effect December 31, 2013. 51 PART F 52 Section 1. Title 1 of article 2-A of the social services law is 53 REPEALED.

2. The private housing finance law is amended by adding a new arti-1 S 2 cle 28 to read as follows: 3 ARTICLE 28 4 HOMELESS HOUSING AND ASSISTANCE PROGRAM 5 SECTION 1223. LEGISLATIVE FINDINGS AND PURPOSE. 6 1224. DEFINITIONS. 7 1225. HOMELESS HOUSING AND ASSISTANCE CONTRACTS. 8 1226. GENERAL AND ADMINISTRATIVE PROVISIONS. S 1223. LEGISLATIVE FINDINGS AND PURPOSE. THE LEGISLATURE HEREBY FINDS 9 10 THE NEED CONTINUES TO EXIST FOR A PROGRAM TO PROVIDE MONIES TO THAT NOT-FOR-PROFIT CORPORATIONS, CHARITABLE ORGANIZATIONS, WHOLLY 11 OWNED SUBSIDIARIES OF NOT-FOR-PROFIT CORPORATIONS OR OF CHARITABLE ORGANIZA-12 TIONS, PUBLIC CORPORATIONS AND MUNICIPALITIES TO DEVELOP, EXPAND, 13 14 PRESERVE AND IMPROVE THE SUPPLY OF SHELTER AND OTHER SUPPORTIVE HOUSING 15 ARRANGEMENTS FOR HOMELESS PERSONS. THIS PROGRAM SHALL NOW BE OVERSEEN BY 16 THE STATE DIVISION OF HOUSING AND COMMUNITY RENEWAL, THE STATE AGENCY 17 RESPONSIBILITY FOR AND EXPERTISE IN CAPITAL THAT HAS PRIMARY 18 CONSTRUCTION AND ASSET MANAGEMENT. THE STATE DIVISION OF HOUSING AND 19 COMMUNITY RENEWAL, IN CONJUNCTION WITH THE HOMELESS HOUSING AND ASSIST-ANCE CORPORATION, SHALL CONSULT WITH THE OFFICE OF TEMPORARY AND DISA-20 21 BILITY ASSISTANCE, THE OFFICE OF MENTAL HEALTH, THE OFFICE OF ALCOHOLISM 22 SUBSTANCE ABUSE SERVICES AND SUCH OTHER APPROPRIATE AGENCIES AS IT AND MAY DEEM NECESSARY IN ORDER TO EFFECTUATE THE PURPOSES OF THIS ARTICLE. 23 24 ADDITION, THE STATE DIVISION OF HOUSING AND COMMUNITY RENEWAL SHALL IN 25 CONSULT WITH THE OFFICE OF TEMPORARY AND DISABILITY ASSISTANCE IN REGARD 26 TO THE REVIEW OF THE COMPONENTS OF PROPOSED PROJECT OPERATING PLANS AS 27 REFERENCED IN PARAGRAPHS (B), (C) AND (D) OF SUBDIVISION FOUR OF SECTION TWELVE HUNDRED TWENTY-FIVE OF THIS ARTICLE. 28 29 1224. DEFINITIONS. AS USED IN THIS ARTICLE, THE FOLLOWING TERMS S 30 SHALL HAVE THE FOLLOWING MEANINGS UNLESS THE CONTEXT CLEARLY REQUIRES 31 **OTHERWISE:** 32 1. "CORPORATION" SHALL MEAN THE HOMELESS HOUSING AND ASSISTANCE CORPO-33 RATION ESTABLISHED IN SECTION FORTY-FIVE-C OF THIS CHAPTER. 2. "HOMELESS PROJECT" SHALL MEAN A SPECIFIC FACILITY, INCLUDING LANDS, 34 35 BUILDINGS AND IMPROVEMENTS ACQUIRED, CONSTRUCTED, RENOVATED OR REHABILI-TATED AND OPERATED BY A NOT-FOR-PROFIT CORPORATION, CHARITABLE ORGANIZA-36 37 TION, WHOLLY OWNED SUBSIDIARY OF A NOT-FOR-PROFIT CORPORATION OR OF A 38 CHARITABLE ORGANIZATION, PUBLIC CORPORATION OR A MUNICIPALITY TO 39 INCREASE THE AVAILABILITY OF HOUSING FOR HOMELESS PERSONS, WHICH (A) MAY 40 INCLUDE FACILITIES FOR ASSOCIATED SERVICES SUCH AS BUT NOT LIMITED TO DINING, RECREATIONAL, SANITARY, SOCIAL, MEDICAL AND MENTAL HEALTH 41 SERVICES AS MAY BE DEEMED BY THE CORPORATION TO BE ESSENTIAL TO SUCH A 42 43 PROJECT; AND (B) MUST PROVIDE DIRECTLY OR ARRANGE INDIRECTLY SUPPORTIVE 44 SERVICES, AS DEEMED BY THE CORPORATION TO BE APPROPRIATE TO THE POPU-45 LATION TO BE HOUSED AND ESSENTIAL TO SUCH A PROJECT. 3. "HOMELESS PERSON" SHALL MEAN A PERSON OR FAMILY WHO IS UNABLE 46 TO 47 SECURE PERMANENT AND STABLE HOUSING WITHOUT SPECIAL ASSISTANCE, AS 48 DETERMINED BY THE CORPORATION. 49 4. "PROJECT COST" SHALL MEAN THE COST OF ANY OR ALL UNDERTAKINGS 50 FOR PLANNING, FINANCING, LAND ACQUISITION, DEMOLITION, NECESSARY CONSTRUCTION, REHABILITATION, EOUIPMENT, FURNITURE AND SITE DEVELOPMENT. 51 5. "OTHER THAN PROJECT COST" SHALL MEAN COSTS ASSOCIATED WITH SUSTAIN-52 ING THE LONG-TERM VIABILITY OF THE PROJECT, INCLUDING, BUT NOT 53 LIMITED 54 TO STARTUP COSTS, RESERVES, EMERGENT REPAIR NEEDS AND RELATED COSTS TO 55 THE CORPORATION OF STABILIZING OPERATING PROJECTS, AS MAY BE FURTHER

1 DEFINED IN THE REGULATIONS AND SUBJECT TO THE LIMITATIONS STATED IN 2 SUBDIVISION NINE OF SECTION TWELVE HUNDRED TWENTY-FIVE OF THIS ARTICLE.

6. "NOT-FOR-PROFIT CORPORATION" AND "CHARITABLE ORGANIZATION" SHALL
4 MEAN ENTITIES ESTABLISHED PURSUANT TO THE NOT-FOR-PROFIT CORPORATION LAW
5 OR OTHERWISE ESTABLISHED PURSUANT TO LAW.

6 7. "PUBLIC CORPORATION" SHALL MEAN A MUNICIPAL CORPORATION, A DISTRICT 7 CORPORATION, OR A PUBLIC BENEFIT CORPORATION.

8 S 1225. HOMELESS HOUSING AND ASSISTANCE CONTRACTS. 1. WITHIN THE LIMITS OF FUNDS APPROPRIATED FOR THE HOMELESS HOUSING AND ASSISTANCE 9 10 PROGRAM, THE CORPORATION IS AUTHORIZED TO ENTER INTO CONTRACTS WITH MUNICIPALITIES TO PROVIDE STATE FINANCIAL ASSISTANCE FOR THE PROJECT 11 COSTS ATTRIBUTABLE TO THE ESTABLISHMENT OF HOMELESS HOUSING PROJECTS. 12 THE MUNICIPALITIES THAT ENTER INTO CONTRACTS WITH THE CORPORATION SHALL 13 14 UNDERTAKE THE ESTABLISHMENT OF THE HOMELESS HOUSING PROJECT OR SHALL 15 CONTRACT WITH A NOT-FOR-PROFIT CORPORATION OR CHARITABLE ORGANIZATION TO 16 UNDERTAKE THE PROJECT, PURSUANT TO THIS ARTICLE.

17 2. SUBJECT TO THE APPROVAL OF THE DIRECTOR OF THE BUDGET, THE CORPO-18 RATION IS AUTHORIZED TO ENTER INTO CONTRACTS WITH NOT-FOR-PROFIT CORPO-19 RATIONS OR SUBSIDIARIES THEREOF, PUBLIC CORPORATIONS OR CHARITABLE 20 ORGANIZATIONS OR SUBSIDIARIES THEREOF TO PROVIDE STATE FINANCIAL ASSIST-21 ANCE FOR THE PROJECT COSTS ATTRIBUTABLE TO THE ESTABLISHMENT OF HOMELESS 22 PROJECTS.

23 3. THE STATE FINANCIAL ASSISTANCE SHALL BE IN THE FORM OF GRANTS, 24 LOANS OR LOAN GUARANTEES, AS THE CORPORATION MAY DETERMINE; PROVIDED, 25 HOWEVER, THAT FINANCIAL ASSISTANCE TO A FOR-PROFIT SUBSIDIARY OF A NOT-FOR-PROFIT CORPORATION OR OF A CHARITABLE ORGANIZATION MUST BE IN THE 26 27 FORM OF A LOAN OR LOAN GUARANTEE. ANY LOAN TO A FOR-PROFIT SUBSIDIARY SHALL BE REPAID UNDER SUCH TERMS AS WILL PROTECT THE FINANCIAL VIABILITY 28 THE PROJECT. SUBJECT TO THE APPROVAL OF THE DIRECTOR OF THE BUDGET, 29 OF THE CORPORATION MAY CONTRACT WITH OTHER STATE AGENCIES, PUBLIC BENEFIT 30 CORPORATIONS OR PRIVATE INSTITUTIONS TO ADMINISTER A LOAN OR LOAN GUAR-31 32 ANTEE PROGRAM PURSUANT TO REGULATIONS TO BE PROMULGATED BY THE CORPO-33 RATION.

4. THE CORPORATION SHALL REQUIRE THAT, IN ORDER TO RECEIVE FUNDS
pursuant to this article, the municipality, not-for-profit corporation
OR SUBSIDIARY THEREOF, PUBLIC CORPORATION OR CHARITABLE ORGANIZATION OR
SUBSIDIARY THEREOF MUST SUBMIT AN OPERATING PLAN. SUCH PLAN SHALL
INCLUDE:

39 (A) THE MANNER IN WHICH THE OPERATING EXPENSES OF THE PROJECT SHALL BE 40 MET;

41 (B) THE SERVICES THAT WILL BE PROVIDED TO HOMELESS PERSONS, INCLUDING 42 PROCEDURES FOR INTAKE, REFERRAL AND OUTREACH;

43 (C) THE RESPONSIBILITIES OF THE MUNICIPALITY AND SOCIAL SERVICES 44 DISTRICT FOR THE OPERATION OF THE PROJECT;

(D) THE SPECIFIC POPULATION THAT WILL BE SERVED BY THE PROJECT AND HOWTHE PROJECT WILL ADDRESS THE POPULATION'S SPECIAL NEEDS;

47 (E) THE CATEGORY OF FACILITY PROPOSED TO BE ESTABLISHED;

48 (F) EVIDENCE DEMONSTRATING THAT SUCH PROJECT COMPLIES OR WILL COMPLY 49 WITH EXISTING LOCAL, STATE AND FEDERAL LAWS AND REGULATIONS; AND

50 (G) A RENT OR OTHER REVENUE STRUCTURE THAT IS AFFORDABLE TO THE POPU-51 LATION TO BE HOUSED.

52 5. THE CORPORATION MAY USE UP TO TWO PERCENT OF THE APPROPRIATION FOR 53 ANY FISCAL YEAR TO PAY FOR TECHNICAL ASSISTANCE IN SUPPORT OF PROJECT 54 DEVELOPMENT AND OPERATION. TECHNICAL ASSISTANCE MAY INCLUDE ASSISTANCE 55 WITH GENERAL PROJECT DEVELOPMENT AND OPERATION, SUPPORT SERVICES DEVEL-56 OPMENT, ARCHITECTURE AND ENGINEERING, LEGAL SERVICES AND FINANCIAL SERVICES AND MAY BE PROVIDED BY INDIVIDUALS AND NOT-FOR-PROFIT OR BUSI NESS CORPORATIONS. THE PROVIDERS OF TECHNICAL ASSISTANCE SHALL BE CHOSEN
 BY THE CORPORATION BASED ON SUCH INFORMATION AS THE CORPORATION SHALL
 REQUIRE IN A REQUEST FOR PROPOSALS OR IN ANY OTHER COMPETITIVE PROCESS
 WHICH SATISFIES THE PROVISIONS OF THE STATE FINANCE LAW.

6 6. PRIOR TO ENTERING INTO A CONTRACT FOR THE ESTABLISHMENT AND OPERA-7 TION OF A HOMELESS PROJECT PURSUANT TO THIS SECTION, THE CORPORATION 8 SHALL DETERMINE THAT THE NOT-FOR-PROFIT CORPORATION OR SUBSIDIARY THERE-9 OF, PUBLIC CORPORATION OR CHARITABLE ORGANIZATION OR SUBSIDIARY THEREOF 10 THAT PROPOSES TO UNDERTAKE THE HOMELESS PROJECT IS A BONA FIDE ORGANIZA-TION WHICH SHALL HAVE DEMONSTRATED BY ITS PAST AND CURRENT ACTIVITIES 11 12 THAT IT HAS THE ABILITY TO MAINTAIN, MANAGE OR OPERATE HOMELESS PROJECTS, THAT THE ORGANIZATION IS FINANCIALLY RESPONSIBLE, THAT THE 13 14 PROPOSED PROJECT IS FINANCIALLY VIABLE AND THAT THE PROJECT PLAN HAS BEEN DETERMINED TO BE APPROPRIATE FOR THE NEEDS OF THE HOMELESS IN THE 15 16 RELEVANT COMMUNITY.

17 7. EVERY CONTRACT ENTERED INTO FOR THE ESTABLISHMENT AND OPERATION OF A HOMELESS PROJECT PURSUANT TO THIS ARTICLE SHALL CONTAIN A PROVISION 18 19 THAT IN THE EVENT THE PROPERTY WHICH IS THE SUBJECT OF SUCH CONTRACT 20 CEASES TO BE USED AS A HOMELESS PROJECT DURING A FIFTEEN-YEAR PERIOD 21 COMMENCING WITH THE DATE OF THE CORPORATION'S WRITTEN APPROVAL OF OCCU-PANCY OF THE HOMELESS PROJECT, OR SUCH LONGER PERIOD OF TIME AS MAY BE 22 ESTABLISHED IN THE CONTRACT, OR IN CASE OF ANY OTHER SUBSTANTIAL 23 VIOLATION, THE CORPORATION MAY TERMINATE THE CONTRACT AND MAY REQUIRE 24 25 THE REPAYMENT OF ANY MONEYS PREVIOUSLY ADVANCED TO THE MUNICIPALITY, NOT-FOR-PROFIT CORPORATION OR SUBSIDIARY THEREOF, PUBLIC CORPORATION OR 26 27 CHARITABLE ORGANIZATION OR SUBSIDIARY THEREOF PURSUANT TO THE TERMS OF SUCH CONTRACT. WHERE THE MUNICIPALITY HAS ENTERED INTO A CONTRACT WITH A 28 NOT-FOR-PROFIT CORPORATION OR SUBSIDIARY THEREOF, PUBLIC CORPORATION OR 29 CHARITABLE ORGANIZATION OR SUBSIDIARY THEREOF, THE CORPORATION MAY, 30 PURSUANT TO THIS SUBDIVISION, REQUIRE THAT THE MUNICIPALITY TERMINATE 31 32 THE CONTRACT WITH SUCH CORPORATION. ANY MONEY REPAID PURSUANT TO THIS SUBDIVISION SHALL BE RETURNED TO THE HOMELESS HOUSING AND ASSISTANCE 33 34 ACCOUNT.

35 8. EACH CONTRACT ENTERED INTO FOR THE ESTABLISHMENT AND OPERATION OF A HOMELESS PROJECT PURSUANT TO THIS ARTICLE SHALL BE SUBJECT TO THE 36 APPROVAL OF THE DIRECTOR OF THE BUDGET AND SHALL PROVIDE FOR PAYMENT TO 37 38 THE MUNICIPALITY, NOT-FOR-PROFIT CORPORATION OR SUBSIDIARY THEREOF, 39 PUBLIC CORPORATION OR CHARITABLE ORGANIZATION OR SUBSIDIARY THEREOF FOR 40 THE PROJECT COSTS RELATED TO THE HOMELESS PROJECT TO BE ESTABLISHED BY IT, PURSUANT TO A PAYMENT SCHEDULE. THE FULL AMOUNT OF THE CONTRACT, OR 41 ANY APPROPRIATE PORTION THEREOF, AS DETERMINED BY THE CORPORATION AND 42 43 SUBJECT TO THE APPROVAL OF THE DIRECTOR OF THE BUDGET, SHALL BE AVAIL-ABLE FOR PAYMENT AT ANY TIME ON OR AFTER THE EFFECTIVE DATE OF THE 44 45 CONTRACT.

9. NOTWITHSTANDING ANY OTHER PROVISION OF THIS ARTICLE, THE CORPO-46 47 RATION MAY, SUBJECT TO THE APPROVAL OF THE DIRECTOR OF THE BUDGET, ENTER 48 INTO CONTRACTS TO PROVIDE FINANCIAL ASSISTANCE FOR OTHER THAN PROJECT 49 COSTS WHERE SUCH FINANCIAL ASSISTANCE CAN BE DEMONSTRATED TO BE NECES-50 SARY; PROVIDED, HOWEVER, THAT NO MORE THAN TWENTY-FIVE PER CENTUM OF THE TOTAL AMOUNT APPROPRIATED FOR THE PURPOSES OF THIS ARTICLE IN ANY FISCAL 51 YEAR SHALL BE ALLOCATED IN CONTRACTS FOR OTHER THAN PROJECT COSTS. IN 52 DETERMINING WHETHER FINANCIAL ASSISTANCE FOR OTHER THAN PROJECT COSTS IS 53 54 NECESSARY, THE CORPORATION SHALL CONSIDER THE PROPOSED PROJECT'S PLAN 55 FOR MEETING OPERATING EXPENSES, THE EFFORTS MADE BY THE CONTRACTING ORGANIZATIONS TO SECURE ALTERNATIVE SOURCES OF FUNDING FOR OTHER 56 THAN 1 PROJECT COSTS, AND SUCH OTHER FACTORS AS THE CORPORATION SHALL DEEM 2 APPROPRIATE.

3 10. THE MUNICIPALITY, NOT-FOR-PROFIT CORPORATION OR SUBSIDIARY THERE-4 OF, PUBLIC CORPORATION OR CHARITABLE ORGANIZATION OR SUBSIDIARY THEREOF SEEKING FINANCIAL ASSISTANCE PURSUANT TO THIS ARTICLE SHALL, WITHIN THIRTY DAYS OF ITS APPLICATION FOR SUCH ASSISTANCE, NOTIFY THE LOCAL 5 6 7 PLANNING BOARD, AS DEFINED BY SECTION TWENTY-SEVEN OF THE GENERAL CITY 8 LAW, SECTION TWO HUNDRED SEVENTY-ONE OF THE TOWN LAW, OR SECTION 7-718 OF THE VILLAGE LAW, APPROPRIATE FOR THE GEOGRAPHIC AREA IN WHICH THE 9 10 PROPOSED HOMELESS PROJECT WOULD BE LOCATED, AND SHALL PROVIDE SUCH BOARD WITH INFORMATION REGARDING THE PROPOSED HOMELESS PROJECT. 11

12 S 1226. GENERAL AND ADMINISTRATIVE PROVISIONS. 1. THE CORPORATION 13 SHALL ISSUE AND PROMULGATE RULES AND REGULATIONS FOR THE ADMINISTRATION 14 OF THIS ARTICLE. THE RULES AND REGULATIONS SHALL PROVIDE THAT STATE FINANCIAL ASSISTANCE PURSUANT TO THIS ARTICLE WILL NOT BE AVAILABLE 15 16 UNLESS AN APPLICATION HAS BEEN FILED BY THE MUNICIPALITY, NOT-FOR-PROFIT 17 CORPORATION OR SUBSIDIARY THEREOF, PUBLIC CORPORATION OR CHARITABLE ORGANIZATION OR SUBSIDIARY THEREOF PURSUANT TO A REQUEST FOR PROPOSALS 18 19 ISSUED BY THE CORPORATION. THE RULES AND REGULATIONS SHALL INCLUDE 20 PROVISIONS CONCERNING ELIGIBILITY OF MUNICIPALITIES AND CONTRACTING 21 NOT-FOR-PROFIT CORPORATIONS OR SUBSIDIARIES THEREOF, PUBLIC CORPORATIONS AND CHARITABLE ORGANIZATIONS OR SUBSIDIARIES THEREOF FOR STATE FINANCIAL 22 ASSISTANCE; THE FORM OF THE APPLICATIONS FOR CONTRACTS; FUNDING CRITERIA 23 24 AND THE FUNDING DETERMINATION PROCESS; THE FORM OF THE CONTRACTS; SUPER-25 VISION AND EVALUATION OF THE CONTRACTING MUNICIPALITIES OR CORPORATIONS; 26 REPORTING, BUDGETING AND RECORD-KEEPING REQUIREMENTS; PROVISIONS FOR 27 MODIFICATION, TERMINATION, EXTENSION AND RENEWAL OF CONTRACTS; AND SUCH 28 OTHER MATTERS NOT INCONSISTENT WITH THE PURPOSES AND PROVISIONS OF THIS 29 ARTICLE AS THE CORPORATION SHALL DEEM NECESSARY, PROPER OR APPROPRIATE. THE CORPORATION MAY PROVIDE THAT PREFERENCE BE GIVEN TO CONTRACT 30 2.

APPLICATIONS THAT (A) INVOLVE OTHER SOURCES OF FUNDS (MUNICIPAL, FEDERAL 31 32 OR ANY SOURCE OTHER THAN THE STATE), IN-KIND CONTRIBUTIONS MADE BY SUCH SOURCES, OR INVOLVE PROJECTS RECEIVING STATE FINANCIAL ASSISTANCE PURSU-33 TO CHAPTERS THREE HUNDRED THIRTY-EIGHT, THREE HUNDRED THIRTY-NINE 34 ANT 35 AND FIVE HUNDRED FORTY-NINE OF THE LAWS OF NINETEEN HUNDRED EIGHTY-TWO, ORDER TO MAXIMIZE THE EFFECT OF STATE FINANCIAL ASSISTANCE OR (B) 36 IN 37 INVOLVE INNOVATIVE AND COST-EFFECTIVE HOMELESS PROJECTS THAT MAY HELP 38 RESOLVE THE LONG-TERM PROBLEMS OF THE HOMELESS OR (C) INVOLVE THE REHA-39 BILITATION OF EXISTING STRUCTURES.

40 3. THE CORPORATION SHALL EVALUATE THE NEED FOR HOMELESS PROJECTS IN VARIOUS AREAS OF THE STATE AND AMONG VARIOUS POPULATIONS, INCLUDING, BUT 41 LIMITED TO, HOMELESS MEN, WOMEN, FAMILIES, PERSONS WITH AIDS, 42 NOT 43 PERSONS WITH SUBSTANCE ABUSE ISSUES AND/OR MENTAL ILLNESS, VICTIMS OF DOMESTIC VIOLENCE, VETERANS, RUNAWAY YOUTH, AS IDENTIFIED IN LOCAL ASSESSMENTS OF NEEDS, AND SHALL ALLOCATE FUNDS, TO THE EXTENT PRACTICA-44 45 BLE, TO MEET THESE NEEDS; PROVIDED, HOWEVER, THAT NO MORE THAN SIXTY PER 46 47 CENTUM OF THE TOTAL AMOUNT APPROPRIATED PURSUANT TO THIS ARTICLE IN ANY 48 FISCAL YEAR SHALL BE ALLOCATED TO CONTRACTS FOR HOMELESS PROJECTS WITHIN 49 ANY SINGLE MUNICIPALITY, UNLESS THE CORPORATION DETERMINES THAT IT IS IN 50 THE BEST INTEREST OF THE STATE IN FURTHERANCE OF THE PURPOSES OF THIS 51 ARTICLE.

52 4. THE CORPORATION SHALL PROVIDE FOR THE REVIEW, AT PERIODIC INTER-53 VALS, OF THE PERFORMANCE OF THE MUNICIPALITIES, NOT-FOR-PROFIT CORPO-54 RATIONS OR SUBSIDIARIES THEREOF, PUBLIC CORPORATIONS AND CHARITABLE 55 ORGANIZATIONS OR SUBSIDIARIES THEREOF RECEIVING FINANCIAL ASSISTANCE 56 PURSUANT TO THIS ARTICLE. SUCH REVIEW SHALL, AMONG OTHER THINGS, BE FOR

PURPOSES OF ASCERTAINING CONFORMITY TO CONTRACTUAL PROVISIONS, THE 1 THE FINANCIAL INTEGRITY AND EFFICIENCY OF THE ORGANIZATIONS AND THE EVALU-2 3 ATION OF THE PROJECT. CONTRACTS ENTERED INTO PURSUANT TO THIS ARTICLE 4 MAY ΒE TERMINATED BY THE CORPORATION UPON A FINDING OF SUBSTANTIAL 5 NONPERFORMANCE OR OTHER BREACH BY THE ORGANIZATION OF ITS OBLIGATIONS 6 UNDER ITS CONTRACT WITH THE MUNICIPALITY.

7 THE CORPORATION SHALL REQUIRE THAT ALL HOMELESS PROJECTS THAT 5. 8 RECEIVED FINANCIAL ASSISTANCE PURSUANT TO THIS ARTICLE SHALL COMPLY WITH ALL REGULATIONS APPLICABLE TO PROJECTS OF THIS TYPE PROMULGATED BY 9 THE 10 CORPORATION AND OTHER MUNICIPAL, STATE AND FEDERAL REGULATIONS AND LAWS. 11 CORPORATION MAY TERMINATE ANY CONTRACT UPON A FINDING THAT A THE 12 SUBSTANTIAL VIOLATION OF SUCH REGULATIONS OR LAWS HAS REMAINED UNCOR-RECTED FOR A SUBSTANTIAL PERIOD OF TIME. 13

14 6. ON OR BEFORE FEBRUARY FIRST, TWO THOUSAND FOURTEEN AND ON OR BEFORE 15 FEBRUARY FIRST OF EACH YEAR THEREAFTER IN WHICH CONTRACTS UNDER THIS SECTION ARE IN FORCE, THE CORPORATION SHALL SUBMIT TO THE GOVERNOR, 16 THE 17 PRESIDENT OF THE SENATE AND THE SPEAKER OF THE ASSEMBLY A TEMPORARY 18 REPORT DETAILING PROGRESS AND EVALUATING RESULTS, TO DATE, OF THE 19 PROGRAM.

20 NOTWITHSTANDING THE PROVISIONS OF ANY GENERAL OR SPECIAL LAW, THE 7. 21 DIRECTOR OF THE BUDGET IS AUTHORIZED TO TRANSFER TO THE HOMELESS HOUSING AND ASSISTANCE ACCOUNT FUNDS OTHERWISE APPROPRIATED OR REAPPROPRIATED TO 22 HOUSING AND COMMUNITY RENEWAL FOR THE FISCAL YEARS BEGINNING 23 ON AND TWO THOUSAND THIRTEEN, IN AN AMOUNT OR AMOUNTS THE 24 AFTER APRIL FIRST, 25 DIRECTOR OF THE BUDGET DETERMINES TO BE NECESSARY TO CARRY OUT THE 26 PROVISIONS OF THE HOMELESS HOUSING AND ASSISTANCE PROGRAM.

27 S 3. Subdivisions 2, 3, 3-a, 8 and 10 of section 45-c of the private 28 housing finance law, as added by chapter 215 of the laws of 1990, are 29 amended to read as follows:

2. The agency may transfer to such subsidiary corporation any real, personal or mixed property in order to carry out the purposes of [title one of article two-A of the social services law] ARTICLE TWENTY-EIGHT OF THIS CHAPTER. Such subsidiary corporation shall have all the privileges, immunities, tax exemption and other exemptions of the agency to the extent the same are not inconsistent with this section.

3. The membership of such subsidiary corporation shall consist of 36 the 37 commissioner of [social services] THE STATE DIVISION OF HOUSING AND COMMUNITY RENEWAL, who shall also serve as its chairperson, the chair-38 person of the agency, THE COMMISSIONER OF THE OFFICE OF TEMPORARY AND 39 40 DISABILITY ASSISTANCE, THE COMMISSIONER OF THE OFFICE OF MENTAL HEALTH and [one additional member to be appointed by the chairperson of the 41 homeless housing and assistance corporation, who shall 42 serve at the pleasure of such chairperson] THE COMMISSIONER OF THE OFFICE OF ALCOHOL-43 ISM AND SUBSTANCE ABUSE SERVICES. The powers of the corporation shall be 44 45 vested in and exercised by no less than [two] THREE of the members thereof then in office. The corporation may delegate to one or more of its 46 47 members, or its officers, agents and employees, such duties and powers 48 as it may deem proper.

49 3-a. [The commissioner of social services, and the chairman of the 50 agency] MEMBERS OF THE CORPORATION may each appoint an individual to 51 represent them at all meetings of the corporation from which they may be absent. Any such representative so designated shall have the power to 52 53 attend and to vote at any meeting of the corporation [as if the commis-54 sioner of social services or chairperson of the agency were present and voting]. Such designation shall be by written notice filed with the 55 56 chairperson of the corporation. The designation of such person shall

41

1 continue until revoked at any time by written notice to such chair-2 person. Such designation shall not be deemed to limit the power of the 3 [commissioner of social services or the chairperson of the agency] 4 MEMBERS OF THE CORPORATION to attend and vote at any meeting of the 5 corporation.

6 8. The corporation may do any and all things necessary or convenient 7 carry out and exercise the powers given and granted by this section and [title one of article two-A of the social services law] ARTICLE 8 TWENTY-EIGHT OF THIS CHAPTER including, but not limited to contracting 9 10 with the commissioner of [social services] THE STATE DIVISION OF HOUSING 11 AND COMMUNITY RENEWAL to administer any of the provisions of [title one article two-A of the social services law] ARTICLE TWENTY-EIGHT OF 12 of 13 THIS CHAPTER.

14 10. Notwithstanding the provisions of article one-A of the public 15 authorities law, contracts entered into by the corporation pursuant to 16 [title one of article two-A of the social services law] ARTICLE TWENTY-17 EIGHT OF THIS CHAPTER shall not be subject to the provisions of article 18 one-A of the public authorities law.

19 S 4. Section 59-i of the private housing finance law, as added by 20 chapter 215 of the laws of 1990, is amended to read as follows:

21 S 59-i. Homeless housing and assistance account. The homeless housing 22 and assistance corporation created by section forty-five-c of this 23 [chapter] ARTICLE shall create and establish a special account to be 24 known as the homeless housing and assistance account and shall pay into 25 such account any moneys which may be made available to such corporation for the purposes of such account from any source including but not limited to moneys appropriated by and made available pursuant to appro-26 27 28 priation by the state and any income or interest earned by, or increment 29 to, the account due to the investment thereof. The moneys held in or credited to the homeless housing and assistance account established 30 under this section shall be expended solely to carry out the provisions 31 32 of [title one of article two-A of the social services law] ARTICLE TWEN-33 TY-EIGHT OF THIS CHAPTER.

34 S 5. This act shall take effect immediately, provided, however, that 35 the rules and regulations currently in effect, as established by the office of temporary and disability assistance, shall continue to be in 36 37 effect as rules and regulations of the corporation until superseded by rules and regulations issued by the homeless housing and assistance 38 corporation. Enactment of this act shall be deemed a transfer 39 of function pursuant to subdivision 2 of section 70 of the civil service law. 40

PART G

42 Section 1. Subdivisions 4 and 5 of section 412 of the executive law, 43 as amended by chapter 182 of the laws of 2002, are amended to read as 44 follows:

45 4. "Municipality" shall mean a county, [city, village, town, that part of 46 a town not included within the boundaries of a village, or a school district (if approved for such purpose by the commissioner, in instances 47 where no other municipality, overlapping such school district in whole 48 49 part, is receiving state aid pursuant to this article or upon such or other basis as the commissioner shall by regulation determine). Munici-50 pality may mean an Indian reservation, subject to rules and regulations 51 52 of the office] OR A CITY HAVING A POPULATION OF ONE MILLION OR MORE.

53 5. "Youth DEVELOPMENT program" shall mean a ["youth bureau," "recre-54 ation project" or "youth service" project established under prior

authorizing legislation establishing a temporary state youth commission 1 2 as well as similar] local [programs] PROGRAM designed to accomplish the 3 broad purposes of this article[. The definition, determination and clas-4 sification of youth programs shall be] subject to [approval by the 5 office in accordance with] THE rules and regulations [adopted by it] OF 6 THE OFFICE; PROVIDED HOWEVER, THE TERM "YOUTH DEVELOPMENT PROGRAM" SHALL 7 NOT INCLUDE APPROVED RUNAWAY PROGRAMS OR TRANSITIONAL INDEPENDENT LIVING 8 SUPPORT PROGRAMS AS SUCH TERMS ARE DEFINED IN SECTION FIVE HUNDRED THIR-9 TY-TWO-A OF THIS CHAPTER.

10 S 2. Subdivision 1 of section 420 of the executive law is REPEALED and 11 a new subdivision 1 is added to read as follows:

12 EACH MUNICIPALITY OPERATING A YOUTH DEVELOPMENT PROGRAM 1. Α. (1) 13 APPROVED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES SHALL BE ELIGIBLE 14 FOR ONE HUNDRED PERCENT STATE REIMBURSEMENT OF ITS QUALIFIED EXPENDI-15 TURES, SUBJECT TO AVAILABLE APPROPRIATIONS AND EXCLUSIVE OF ANY FEDERAL 16 FUNDS MADE AVAILABLE THEREFOR, NOT TO EXCEED THE MUNICIPALITY'S DISTRIB-17 UTION OF STATE AID UNDER THIS ARTICLE.

18 (2) THE STATE AID APPROPRIATED FOR YOUTH DEVELOPMENT PROGRAMS SHALL BE 19 DISTRIBUTED BY THE OFFICE OF CHILDREN AND FAMILY SERVICES ΤO ELIGIBLE 20 MUNICIPALITIES THAT HAVE AN APPROVED COMPREHENSIVE PLAN PURSUANT TO 21 SUBPARAGRAPH TWO OF PARAGRAPH C OF THIS SUBDIVISION. SUCH STATE AID 22 LIMITED TO THE FUNDS SPECIFICALLY APPROPRIATED THEREFOR AND SHALL BE 23 SHALL BE BASED ON FACTORS THAT SHALL INCLUDE, BUT NOT BE LIMITED TO, THE 24 NUMBER OF YOUTH UNDER THE AGE OF TWENTY-ONE RESIDING IN THE MUNICIPALITY 25 AS SHOWN BY THE LAST PUBLISHED FEDERAL CENSUS CERTIFIED THE SAME ΙN MANNER AS PROVIDED BY SECTION FIFTY-FOUR OF THE STATE FINANCE LAW. 26

(3) ELIGIBLE MUNICIPALITIES MAY CLAIM UP TO FIFTEEN PERCENT OF THEIR
DISTRIBUTION FOR THE OPERATION OF A YOUTH BUREAU. THE OFFICE SHALL NOT
REIMBURSE ANY CLAIMS UNDER THIS SECTION UNLESS THEY ARE SUBMITTED WITHIN
TWELVE MONTHS OF THE CALENDAR QUARTER IN WHICH THE EXPENDITURE WAS MADE.
THE OFFICE MAY REQUIRE THAT SUCH CLAIMS BE SUBMITTED TO THE OFFICE ELECTRONICALLY IN THE MANNER AND FORMAT REQUIRED BY THE OFFICE.

33 DEVELOPMENT PROGRAMS SHALL PROVIDE COMMUNITY-LEVEL SERVICES в. YOUTH 34 DESIGNED TO PROMOTE POSITIVE YOUTH DEVELOPMENT. SUCH PROGRAMS MAY BUT NOT BE LIMITED TO: PROGRAMS THAT PROMOTE PHYSICAL AND 35 INCLUDE, EMOTIONAL WELLNESS, EDUCATIONAL ACHIEVEMENT OR CIVIC, FAMILY AND COMMU-36 37 NITY ENGAGEMENT; FAMILY SUPPORT SERVICES; SERVICES TO PREVENT CHILD 38 ABUSE AND NEGLECT; SERVICES TO AVERT FAMILY CRISES; AND SERVICES ΤO 39 ASSIST YOUTH IN NEED OF CRISIS INTERVENTION OR RESPITE SERVICES. SUBJECT 40 ТΟ THE REGULATIONS OF THE OFFICE, A MUNICIPALITY MAY ENTER INTO 41 CONTRACTS TO EFFECTUATE ITS YOUTH DEVELOPMENT PROGRAM ESTABLISHED AND APPROVED AS PROVIDED IN THIS ARTICLE. 42

43 EACH MUNICIPALITY SHALL DEVELOP, IN CONSULTATION WITH THE YOUTH C. 44 BUREAU, A COMPREHENSIVE PLAN TO OFFER YOUTH DEVELOPMENT PROGRAMS. SUCH 45 COMPREHENSIVE PLAN SHALL BE SUBJECT TO THE APPROVAL OF THE OFFICE OF CHILDREN AND FAMILY SERVICES IN ACCORDANCE WITH SUBPARAGRAPH TWO OF THIS 46 47 PARAGRAPH AND SHALL BE SUBMITTED BY EACH MUNICIPALITY IN A MANNER AND AT 48 SUCH TIMES AND FOR SUCH PERIODS AS THE OFFICE OF CHILDREN AND FAMILY 49 SERVICES SHALL DETERMINE.

50 (1) SUCH COMPREHENSIVE PLAN SHALL:

51 (I) ADDRESS THE NEED IN THE MUNICIPALITY FOR YOUTH DEVELOPMENT 52 PROGRAMS IN TOWNS AND CITIES WHICH HAVE A YOUTH POPULATION OF TWENTY 53 THOUSAND OR MORE PERSONS;

54 (II) (A) ASSESS THE NEED IN THE MUNICIPALITY FOR YOUTH DEVELOPMENT 55 PROGRAMS THAT ASSIST RUNAWAY AND HOMELESS YOUTH AND YOUTH IN NEED OF 56 CRISIS INTERVENTION OR RESPITE SERVICES;

THE MUNICIPALITY IS SEEKING STATE AID TO PROVIDE SERVICES FOR (B) IF 1 RUNAWAY AND HOMELESS YOUTH, AS DEFINED IN ARTICLE NINETEEN-H OF 2 THIS 3 CHAPTER, THE RUNAWAY AND HOMELESS YOUTH PLAN, AS REQUIRED BY SUBDIVISION 4 TWO OF THIS SECTION, SHALL BE SUBMITTED AS PART OF THE COMPREHENSIVE 5 PLAN THAT IS REQUIRED PURSUANT TO THIS PARAGRAPH; PROVIDED HOWEVER, THAT 6 TO PROVIDE SERVICES FOR RUNAWAY AND HOMELESS YOUTH SERVICES STATE AID 7 SHALL BE FROM AND LIMITED TO FUNDS APPROPRIATED SEPARATELY FOR SUCH 8 RUNAWAY AND HOMELESS YOUTH PROGRAM PURPOSES BY THE STATE, AND SHALL NOT BE INCLUDED UNDER THE LIMITS SET FORTH IN THIS SUBDIVISION; 9

10 (III) SPECIFY HOW THE MUNICIPALITY WILL MEASURE PERFORMANCE OUTCOMES 11 FOR SUCH SERVICES AND PROGRAMS COVERED UNDER THE PLAN;

12 SPECIFY THE PROJECTED PERFORMANCE OUTCOMES FOR SERVICES AND (IV) PROGRAMS COVERED UNDER THE PLAN, INCLUDING PROJECTED POSITIVE 13 OUTCOMES 14 FOR YOUTH WHO PARTICIPATE IN THE SERVICES AND PROGRAMS; AND

OF SERVICES 15 (V) PROVIDE INFORMATION ON THE PERFORMANCE OUTCOMES PROVIDED UNDER THE MUNICIPALITY'S MOST RECENT PLAN APPROVED PURSUANT 16 ΤO 17 SUBDIVISION, INCLUDING OUTCOME BASED MEASURES THAT DEMONSTRATE THE THIS 18 OUALITY OF SERVICES PROVIDED AND PROGRAM EFFECTIVENESS OF PROGRAMS FUND-19 ED UNDER SUCH PLAN.

20 (2) THE OFFICE OF CHILDREN AND FAMILY SERVICES MAY APPROVE ALL OR PART 21 OF A MUNICIPALITY'S COMPREHENSIVE PLAN. IF THE OFFICE DOES NOT APPROVE A 22 MUNICIPALITY'S COMPREHENSIVE PLAN, SUCH MUNICIPALITY SHALL HAVE SIXTY DAYS FROM RECEIPT OF THE NOTIFICATION OF DISAPPROVAL TO SUBMIT A REVISED 23 24 PLAN.

25 3. Subdivision 2 of section 420 of the executive law, as amended by S 26 chapter 182 of the laws of 2002, is amended to read as follows: 27

2. Runaway and homeless youth plan; state aid.

28 a. A [county] MUNICIPALITY may submit to the [commissioner] OFFICE OF 29 CHILDREN AND FAMILY SERVICES a plan for the providing of services for runaway and homeless youth, as defined in article nineteen-H of this 30 chapter. Where such [county] MUNICIPALITY is receiving state aid pursu-31 32 ant to paragraph a of subdivision one of this section, such runaway and 33 homeless youth plan shall be submitted as part of the comprehensive [county] plan and shall be consistent with the goals and objectives therein. A runaway and homeless youth plan shall be developed in consul-34 35 tation with the county youth bureau and the county or city department of 36 37 social services, shall be in accordance with the regulations of the [commissioner] OFFICE OF CHILDREN AND FAMILY SERVICES, shall provide for 38 39 a coordinated range of services for runaway and homeless youth and their 40 families including preventive, temporary shelter, transportation, counseling, and other necessary assistance, and shall provide for the coor-41 dination of all available county resources for runaway and homeless 42 43 youth and their families including services available through the county 44 youth bureau, the county or city department of social services, local 45 boards of education, local drug and alcohol programs and organizations or programs which have past experience dealing with runaway and homeless 46 47 youth. Such plan may include provisions for transitional independent living support programs for homeless youth between the ages of sixteen 48 and twenty-one as provided in article nineteen-H of this chapter. Such 49 50 plan shall also provide for the designation and duties of the runaway 51 and homeless youth service coordinator defined in section five hundred thirty-two-a of this chapter who is available on a twenty-four hour 52 basis and maintains information concerning available shelter space, 53 54 transportation and services. Such plan may include provision for the per 55 diem reimbursement for residential care of runaway and homeless youth in 56 approved runaway programs which are authorized agencies, provided that

such per diem reimbursement shall not exceed a total of thirty days for 1 2 any one youth. 3 Each [county] MUNICIPALITY shall submit to the [commissioner] b. 4 OFFICE OF CHILDREN AND FAMILY SERVICES such additional information as 5 the [commissioner] OFFICE shall require, including but not limited to: 6 (1) A description of the current runaway and homeless population 7 including their age, place of origin, family status, service needs and 8 eventual disposition; 9 (2) A description of the public and private resources available to 10 serve runaway and homeless youth within the county; 11 (3) A description of new services to be provided and current services 12 to be expanded. The [commissioner] OFFICE OF CHILDREN AND FAMILY SERVICES shall 13 с. 14 review such plan IN ACCORDANCE WITH SUBPARAGRAPH TWO OF PARAGRAPH C OF 15 SUBDIVISION ONE OF THIS SECTION and may approve or disapprove such plan or any part, program, or project within such plan, and may propose such 16 17 modifications and conditions as deemed appropriate and necessary. 18 d. (1) [Counties] MUNICIPALITIES having an approved runaway and home-19 less youth plan pursuant to this subdivision shall be entitled to reimbursement by the state for sixty percent of the entire amount of the 20 21 expenditures for programs contained in such plan as approved by the 22 [commissioner] OFFICE OF CHILDREN AND FAMILY SERVICES, after first 23 deducting therefrom any federal or other state funds received or to be received on account thereof. All reimbursement pursuant to this subdivi-24 25 sion shall be from and limited to funds appropriated separately for such 26 runaway and homeless youth program purposes by the state, and shall not 27 included under the limits set in subdivision one of this section. be 28 [The county's] A MUNICIPALITY'S share of the cost of such programs may 29 be met in part by donated private funds or in-kind services, as defined by the office, provided that such private funding or receipt of services 30 shall not in the aggregate be more than fifty percent of such [county's] 31 32 MUNICIPALITY'S share. 33 (2) Notwithstanding any inconsistent provision of law and subject to 34 funds appropriated separately therefor, a [county] MUNICIPALITY having 35 an approved runaway and homeless youth plan which includes provisions transitional independent living support programs shall be entitled 36 for 37 to reimbursement by the state for sixty percent of the entire amount of approved expenditures for transitional independent living support 38 the 39 programs contained in the plan as approved by the [commissioner] OFFICE 40 OF CHILDREN AND FAMILY SERVICES. The [county's] MUNICIPALITY'S share of the cost of such programs may be met by donated private funds or in-kind 41 42 as defined by the office, provided that such receipt of services, 43 in-kind services shall not in the aggregate be more than fifty percent 44 of such [county's] MUNICIPALITY'S share. 45 4. Paragraphs a and c of subdivision 5 of section 420 of the execu-S tive law, as added by chapter 160 of the laws of 2004, are amended to 46 47 read as follows: 48 a. Notwithstanding any other provision of law, the office of children and family services shall plan for the statewide implementation by the 49 50 thirty-first day of December, two thousand eight, of a county child and 51 family services plan that combines the [county] comprehensive plan required by this section and the multi-year consolidated services plan 52 53 required by section thirty-four-a of the social services law into а 54 single plan.

55 c. The office of children and family services may waive any regulatory 56 requirements relating to the content and timing of [county] comprehen-

sive plans that may impede the ability of a county to implement a county 1 2 child and family services plan. 3

S 5. Section 422 of the executive law is REPEALED.

4 S 6. Subdivisions 4, 5 and 6 of section 532-a of the executive law, as amended by section 14 of part E of chapter 57 of the laws of 2005, are 5 6 amended and a new subdivision 8 is added to read as follows:

7 4. "Approved runaway program" shall mean any non-residential program 8 approved by the office of children and family services after submission 9 by the [county youth bureau] MUNICIPALITY, as part of its comprehensive 10 plan, or any residential facility which is operated by an authorized 11 agency as defined in subdivision ten of section three hundred seventyone of the social services law, and approved by the office of children 12 13 and family services after submission by the [county youth bureau] MUNI-14 CIPALITY as part of its comprehensive plan, established and operated to 15 provide services to runaway and homeless youth in accordance with the 16 regulations of the office of temporary and disability assistance and the 17 children and family services. Such programs may also provide office of 18 non-residential crisis intervention and residential respite services to 19 youth in need of crisis intervention or respite services, as defined in 20 Residential respite services in an approved runaway this section. 21 program may be provided for no more than twenty-one days in accordance 22 with the regulations of the office of children and family services.

23 5. "Runaway and homeless youth service coordinator" shall mean any person SO designated by [a county] A MUNICIPALITY whose duties shall 24 25 include but not be limited to answering inquiries at any time concerning 26 transportation, shelter and other services available to a runaway or 27 homeless youth or a youth in need of crisis intervention or respite 28 services.

29 6. "Transitional independent living support program" shall mean any 30 non-residential program approved by the office of children and family services after submission by the [county youth bureau] MUNICIPALITY as 31 32 part of its comprehensive plan, or any residential facility approved by 33 the office of children and family services after submission by the [county youth bureau] MUNICIPALITY as part of its comprehensive plan TO 34 OFFER YOUTH DEVELOPMENT PROGRAMS, established and operated to provide 35 supportive services, for a period of up to eighteen months in accordance 36 37 with the regulations of the office of children and family services, to enable homeless youth between the ages of sixteen and twenty-one to 38 39 progress from crisis care and transitional care to independent living. 40 Such transitional independent living support program may also provide services to youth in need of crisis intervention or respite services. 41 Notwithstanding the time limitation in paragraph (i) of subdivision (d) 42 43 section seven hundred thirty-five of the family court act, residenof 44 tial respite services may be provided in a transitional independent 45 living support program for a period of more than twenty-one days.

"MUNICIPALITY" SHALL MEAN A COUNTY, OR A CITY HAVING A POPULATION 46 8. 47 OF ONE MILLION OR MORE.

48 S 7. Subdivision 2 of section 532-b of the executive law, as added by 49 chapter 722 of the laws of 1978, is amended to read as follows:

50 2. The runaway youth may remain in the program on a voluntary basis 51 for a period not to exceed thirty days from the date of admission where the filing of a petition pursuant to article ten of the family court act 52 not contemplated, in order that arrangements can be made for the 53 is 54 runaway youth's return home, alternative residential placement pursuant 55 to section three hundred ninety-eight of the social services law, or any 56 other suitable plan. If the runaway youth and the parent, guardian or

custodian agree, in writing, the runaway youth may remain in the runaway 1 2 program up to sixty days without the filing of a petition pursuant to 3 article ten of the family court act, provided that in any such case the 4 facility shall first have obtained the approval of the [county] MUNICI-PALITY'S runaway coordinator, who shall notify the [county] THE MUNICI-PALITY'S youth bureau of his OR HER approval together with a statement 5 6 7 as to the reason why such additional residential stay is necessary and a 8 description of the efforts being made to find suitable alternative 9 living arrangements for such youth.

10 S 8. Paragraph (a) of subdivision 6 of section 34-a of the social 11 services law, as added by chapter 160 of the laws of 2004, is amended to 12 read as follows:

(a) Notwithstanding any other provision of law, the office of children and family services shall plan for the statewide implementation, by the thirty-first day of December, two thousand eight, of the use by counties of a child and family services plan that combines the multi-year consolidated services plan required by this section and the [county] comprehensive plan required by section four hundred twenty of the executive law into a single plan.

PART H

20 S 9. This act shall take effect January 1, 2014.

21

22 Section 1. This part enacts into law major components of legislation 23 which are necessary to continue transforming New York's juvenile justice 24 system. Each component is wholly contained within a subpart identified as subparts A through B. The effective date for each particular provision contained within such subpart is set forth in the last section 25 26 27 of such subpart. Any provision in any section contained within a subpart, including the effective date of the subpart, which makes refer-28 ence to a section "of this act", when used in connection with that 29 particular component, shall be deemed to mean and refer to the corre-30 sponding section of the subpart in which it is found. Section three of 31 this part sets forth the general effective date of this act. 32

33

SUBPART A

34 Section 1. Subdivision 3 of section 501 of the executive law, as 35 amended by chapter 465 of the laws of 1992, is amended to read as 36 follows:

37 3. To establish, operate and maintain [division] facilities [and to 38 contract with authorized agencies as defined in section three hundred 39 seventy-one of the social services law for the operation and maintenance 40 of non-secure facilities].

41 S 2. Paragraph (a) of subdivision 11 of section 501 of the executive 42 law, as amended by chapter 465 of the laws of 1992, is amended to read 43 as follows:

(a) a projection of the numbers of youths to be placed into or committed to the care of the [division] OFFICE OF CHILDREN AND FAMILY SERVICES
at secure[,] AND limited secure [and non-secure] levels of care for the
five years encompassed by the plan;

48 S 3. Section 501 of the executive law is amended by adding a new 49 subdivision 15-a to read as follows:

50 15-A. (A) NOTWITHSTANDING THE PROVISIONS OF PARAGRAPH (C) OF SUBDIVI-51 SION FIFTEEN OF THIS SECTION, OR ANY OTHER LAW TO THE CONTRARY, THE 52 COMMISSIONER OF THE OFFICE OF CHILDREN AND FAMILY SERVICES IS AUTHORIZED

TO CLOSE ANY NON-SECURE FACILITIES OPERATED BY THE OFFICE OF CHILDREN 1 2 MAKE SIGNIFICANT ASSOCIATED AND FAMILY SERVICES, AND ΤO SERVICE 3 REDUCTIONS AND PUBLIC EMPLOYEE STAFFING REDUCTIONS AND TRANSFER OPER-4 ATIONS FOR NON-SECURE FACILITIES TO A PRIVATE OR NOT-FOR-PROFIT ENTITY, 5 AS SHALL BE DETERMINED BY SUCH COMMISSIONER SOLELY TO REFLECT THE 6 THE NUMBER OF JUVENILE DELINQUENTS PLACED WITH SUCH OFFICE DECREASE IN 7 CARED FOR IN NON-SECURE SETTINGS OR CONDITIONALLY RELEASED FROM SUCH 8 SETTINGS.

LEAST SIXTY DAYS PRIOR TO TAKING ANY SUCH ACTION, THE COMMIS-9 (B) AT 10 SIONER OF THE OFFICE OF CHILDREN AND FAMILY SERVICES SHALL PROVIDE SUCH ACTION TO THE SPEAKER OF THE ASSEMBLY AND THE TEMPORARY 11 NOTICE OF 12 PRESIDENT OF THE SENATE AND SHALL POST SUCH NOTICE ITS UPON PUBLIC SUCH COMMISSIONER SHALL BE AUTHORIZED TO CONDUCT ANY AND ALL 13 WEBSITE. 14 PREPARATORY ACTIONS WHICH MAY BE REQUIRED TO EFFECTUATE SUCH CLOSURES OR 15 SIGNIFICANT SERVICE OR STAFFING REDUCTIONS OR TRANSFERS OF **OPERATIONS** DURING SUCH SIXTY DAY PERIOD. 16

17 TRANSFERS OF CAPACITY OR ANY RESULTING TRANSFER OF FUNCTIONS (C) ANY 18 SHALL BE AUTHORIZED TO BE MADE BY THE COMMISSIONER OF THE OFFICE OF 19 CHILDREN AND FAMILY SERVICES AND ANY TRANSFER OF PERSONNEL UPON SUCH 20 TRANSFER OF CAPACITY OR TRANSFER OF FUNCTIONS SHALL BE ACCOMPLISHED IN 21 ACCORDANCE WITH THE PROVISIONS OF SECTION SEVENTY OF THE CIVIL SERVICE 22 LAW.

23 S 4. Subdivision 1 of section 504 of the executive law, as added by 24 chapter 465 of the laws of 1992, is amended to read as follows:

1. The [division] OFFICE OF CHILDREN AND FAMILY SERVICES shall operate and maintain secure[,] AND limited secure [and non-secure] facilities for the care, custody, treatment, housing, education, rehabilitation and guidance of youth placed with or committed to the [division] OFFICE OF CHILDREN AND FAMILY SERVICES.

30 S 5. Subdivision 4 of section 504 of the executive law, as amended by 31 chapter 687 of the laws of 1993, is amended to read as follows:

32 4. The [division] OFFICE OF CHILDREN AND FAMILY SERVICES shall determine the particular [division] OFFICE facility or program in which a 33 child placed with the [division] OFFICE shall be cared for, based upon 34 an evaluation of such child. The [division] OFFICE OF CHILDREN AND FAMI-35 SERVICES shall also have authority to discharge or conditionally 36 LΥ 37 release children placed with it and to transfer such children from a limited secure [or non-secure] facility to any other limited secure [or 38 non-secure] facility, when the interest of such children requires such 39 40 action[; provided that a child transferred to a non-secure facility from limited secure facility may be returned to a limited secure facility 41 а upon a determination by the division that, for any reason, treatment at the non-secure facility is no longer suitable]. 42 care and 43

44 S 6. Subdivision 5 of section 507-a of the executive law is REPEALED. 45 S 7. Paragraph (f) of subdivision 3 of section 353.2 of the family 46 court act, as amended by chapter 465 of the laws of 1992, is amended to

47 read as follows: 48 (f) with the consent of the [division for youth] COMMISSIONER OF THE 49 LOCAL SOCIAL SERVICES DISTRICT, spend a specified portion of the 50 probation period, not exceeding one year, in a non-secure [facility] 51 PLACEMENT provided by THE LOCAL SOCIAL SERVICES DISTRICT [the division 52 for youth pursuant to article nineteen-G of the executive law].

53 S 8. The opening paragraph and paragraphs (a) and (b) of subdivision 3 54 of section 353.3 of the family court act, as amended by section 6 of 55 part G of chapter 58 of the laws of 2010, are amended to read as 56 follows:

Where the respondent is placed with the office of children and family 1 services, the court shall[, unless it directs the office to place him or 2 3 her with an authorized agency or class of authorized agencies, including 4 if the court finds that the respondent is a sexually exploited child as 5 defined in subdivision one of section four hundred forty-seven-a of the 6 social services law, an available long-term safe house pursuant to 7 subdivision four of this section, authorize the office to] do one of the 8 following:

9 (a) place the respondent in a secure facility without a further hear-10 ing at any time or from time to time during the first sixty days of in office of children and family services facilities. 11 residency 12 Notwithstanding the discretion of the office to place the respondent in 13 secure facility at any time during the first sixty days of residency а 14 in [a] AN office of children and family services facility, the respond-15 ent may be placed in a [non-secure] LIMITED SECURE facility. In the 16 event that the office desires to transfer a respondent to a secure 17 facility at any time after the first sixty days of residency in office 18 facilities, a hearing shall be held pursuant to subdivision three of 19 section five hundred four-a of the executive law; or

(b) place the respondent in a limited secure facility. The respondent may be transferred by the office to a secure facility after a hearing is held pursuant to section five hundred four-a of the executive law; provided, however, that during the first twenty days of residency in office facilities, the respondent shall not be transferred to a secure facility unless the respondent has committed an act or acts which are exceptionally dangerous to the respondent or to others[; or].

27 S 9. Paragraph (c) of subdivision 3 of section 353.3 of the family 28 court act is REPEALED.

29 S 10. Subdivision 4 of section 353.3 of the family court act is 30 REPEALED.

S 11. Subparagraphs (iii) and (iv) of paragraph (a) of subdivision 4 of section 353.5 of the family court act, as amended by section 6 of subpart A of part G of chapter 57 of the laws of 2012, are amended to read as follows:

(iii) after the period set under subparagraph (ii) of this paragraph, the respondent shall be placed in a residential facility for a period of twelve months; provided, however, that: (A) if the respondent has been placed from a family court in a social services district operating an approved juvenile justice services close to home initiative pursuant to section four hundred four of the social services law, once the time frames in subparagraph (ii) of this paragraph are met:

42 [(A)] (1) beginning on the effective date of such a social services 43 district's plan that only covers juvenile delinquents placed in non-se-44 cure settings, if the office of children and family services concludes, 45 based on the needs and best interests of the respondent and the need for protection for the community, that a non-secure level of care is appro-46 47 priate for the respondent, such office shall file a petition pursuant to paragraph (b) or (c) of subdivision two of section 355.1 of this part to 48 49 have the respondent placed with the applicable local commissioner of 50 social services; and

51 [(B)] (2) beginning on the effective date of such a social services 52 district's plan that covers juvenile delinquents placed in limited 53 secure settings, if the office of children and family services 54 concludes, based on the needs and best interests of the respondent and 55 the need for protection for the community, that a non-secure or limited 56 secure level of care is appropriate for the respondent, such office 1 shall file a petition pursuant to paragraph (b) or (c) of subdivision 2 two of section 355.1 of this part to have the respondent placed with the 3 applicable local commissioner of social services[.]; AND

4 (B) IF THE RESPONDENT HAS BEEN PLACED FROM A FAMILY COURT IN A SOCIAL 5 SERVICES DISTRICT NOT OPERATING AN APPROVED JUVENILE JUSTICE SERVICES 6 INITIATIVE PURSUANT TO SECTION FOUR HUNDRED FOUR OF THE CLOSE TO HOME 7 SOCIAL SERVICES LAW, IF THE OFFICE OF CHILDREN AND FAMILY SERVICES 8 CONCLUDES, BASED ON THE NEEDS AND BEST INTERESTS OF THE RESPONDENT AND 9 THE NEED FOR PROTECTION FOR THE COMMUNITY, THAT A NON-SECURE LEVEL OF 10 APPROPRIATE FOR THE RESPONDENT, SUCH OFFICE SHALL FILE A PETI-CARE IS 11 TION PURSUANT TO PARAGRAPH (F) OF SUBDIVISION TWO OF 355.1 SECTION OF 12 TO HAVE THE RESPONDENT PLACED WITH THE APPLICABLE LOCAL THIS PART 13 COMMISSIONER OF SOCIAL SERVICES.

14 (C) If the respondent is placed with the local commissioner of social 15 services in accordance with clause (A) or (B) of this subparagraph, the 16 remainder of the provisions of this section shall continue to apply to 17 the respondent's placement.

18 (iv) the respondent may not be released from a secure facility or 19 transferred to a facility other than a secure facility during the period 20 provided in subparagraph (ii) of this paragraph, nor may the respondent 21 released from a residential facility during the period provided in be 22 subparagraph (iii) of this paragraph. No home visits shall be permitted 23 during the period of secure confinement set by the court order or one 24 year, whichever is less, except for emergency visits for medical treat-25 ment or severe illness or death in the family. All home visits must be accompanied home visits: (A) while a youth is confined in a secure facility, whether such confinement is pursuant to a court order or 26 27 otherwise; (B) while a youth is confined in a residential facility other 28 29 than a secure facility within six months after confinement in a secure facility; and (C) while a youth is confined in a residential facility 30 other than a secure facility in excess of six months after confinement 31 32 a secure facility unless two accompanied home visits have already in 33 occurred. An "accompanied home visit" shall mean a home visit during 34 which the youth shall be accompanied at all times while outside the secure or residential facility by appropriate personnel of the office of 35 children and family services or, if applicable, a local social 36 services 37 district [which operates an approved juvenile justice services close to home initiative pursuant to section four hundred four of the social 38 39 services law].

S 12. Subparagraphs (i), (iii) and (iv) of paragraph (c) of subdivision 4 of section 353.5 of the family court act, as amended by section 6 of subpart A of part G of chapter 57 of the laws of 2012, are amended to read as follows:

44 (i) after the expiration of the period provided in subparagraph (iii) 45 paragraph (a) of this subdivision, the respondent shall not be of 46 released from a residential facility without the written approval of the 47 office of children and family services or, if applicable, a social services district [operating an approved juvenile justice services close 48 49 home initiative pursuant to section four hundred four of the social to services law]. 50

51 (iii) the respondent shall not be discharged from the custody of the 52 office of children and family services or, if applicable, a social 53 services district [operating an approved juvenile justice services close 54 to home initiative pursuant to section four hundred four of the social 55 services law], unless a motion therefor under section 355.1 is granted 1 by the court, which motion shall not be made prior to the expiration of 2 three years of the placement.

3 (iv) unless otherwise specified in the order, the office of children 4 and family services or, if applicable, a social services district [oper-5 ating an approved juvenile justice services close to home initiative 6 pursuant to section four hundred four of the social services law] shall 7 report in writing to the court not less than once every six months 8 during the placement on the status, adjustment and progress of the 9 respondent.

10 S 13. Paragraph (d) of subdivision 4 of section 353.5 of the family 11 court act, as amended by section 6 of subpart A of part G of chapter 57 12 of the laws of 2012, is amended to read as follows:

13 (d) Upon the expiration of the initial period of placement, or any 14 extension thereof, the placement may be extended in accordance with section 355.3 on a petition of any party or the office of children and 15 family services, or, if applicable, a social services district [operat-16 17 ing an approved juvenile justice services close to home initiative pursuant to section four hundred four of the social services law], after 18 19 dispositional hearing, for an additional period not to exceed twelve а 20 months, but no initial placement or extension of placement under this 21 section may continue beyond the respondent's twenty-first birthday.

22 S 14. Subparagraphs (iii) and (iv) of paragraph (a) of subdivision 5 23 of section 353.5 of the family court act, as amended by section 6 of 24 subpart A of part G of chapter 57 of the laws of 2012, is amended to 25 read as follows:

26 (iii) after the period set under subparagraph (ii) of this paragraph, respondent shall be placed in a residential facility for a period 27 the 28 set by the order, to be not less than six nor more than twelve months; 29 provided, however, that (A) if the respondent has been placed from a family court in a social services district operating an approved juve-30 justice services close to home initiative pursuant to section four 31 nile 32 hundred four of the social services law, once the time frames in subpar-33 agraph (ii) of this paragraph are met:

34 [(A)] (1) beginning on the effective date of such a social services district's plan that only covers juvenile delinquents placed in non-se-35 cure settings, if the office of children and family services concludes, 36 37 based on the needs and best interests of the respondent and the need for 38 protection for the community, that a non-secure level of care is appro-39 priate for the respondent, such office shall file a petition pursuant to 40 paragraph (b) or (c) of subdivision two of section 355.1 of this part to have the respondent placed with the applicable local commissioner of 41 42 social services; and

43 [(B)] (2) beginning on the effective date of such a social services 44 district's plan to implement programs for youth placed in limited secure 45 settings, if the office of children and family services concludes, based on the needs and best interests of the respondent and 46 the need for 47 protection for the community, that a non-secure or limited secure level 48 of care is appropriate for the respondent, such office shall file a 49 petition pursuant to paragraph (b) or (c) of subdivision two of section 50 355.1 of this part to have the respondent placed with the applicable 51 local commissioner of social services[.]; OR

IF THE RESPONDENT HAS BEEN PLACED FROM A FAMILY COURT IN A SOCIAL 52 (B) 53 SERVICES DISTRICT NOT OPERATING AN APPROVED JUVENILE JUSTICE SERVICES 54 CLOSE то HOME INITIATIVE PURSUANT TO SECTION FOUR HUNDRED FOUR OF THE 55 SOCIAL SERVICES LAW, IF THE OFFICE OF CHILDREN AND FAMILY SERVICES 56 CONCLUDES, BASED ON THE NEEDS AND BEST INTERESTS OF THE RESPONDENT AND

THE NEED FOR PROTECTION FOR THE COMMUNITY, THAT A NON-SECURE 1 LEVEL OF 2 IS APPROPRIATE FOR THE RESPONDENT, SUCH OFFICE SHALL FILE A PETI-CARE 3 TION PURSUANT TO PARAGRAPH (F) OF SUBDIVISION TWO OF SECTION 355.1 OF 4 THIS PART TO HAVE THE RESPONDENT PLACED WITH THE APPLICABLE LOCAL 5 COMMISSIONER OF SOCIAL SERVICES.

6 (C) If the respondent is placed with a local commissioner of social 7 services in accordance with clause (A) or (B) of this subparagraph, the 8 remainder of the provisions of this section shall continue to apply to 9 the respondent's placement.

10 the respondent may not be released from a secure facility or (iv) 11 transferred to a facility other than a secure facility during the period provided by the court pursuant to subparagraph (ii) of this paragraph, 12 nor may the respondent be released from a residential facility during 13 14 the period provided by the court pursuant to subparagraph (iii) of this 15 paragraph. No home visits shall be permitted during the period of secure confinement set by the court order or one year, whichever is less, 16 except for emergency visits for medical treatment or severe illness or 17 18 death in the family. All home visits must be accompanied home visits: 19 (A) while a youth is confined in a secure facility, whether such confinement is pursuant to a court order or otherwise; (B) while a youth 20 21 is confined in a residential facility other than a secure facility with-22 six months after confinement in a secure facility; and (C) while a in youth is confined in a residential facility other than a secure facility 23 24 in excess of six months after confinement in a secure facility unless 25 two accompanied home visits have already occurred. An "accompanied home visit" shall mean a home visit during which the youth shall be accompa-26 nied at all times while outside the secure or residential facility by appropriate personnel of the office of children and family services or, 27 28 29 applicable, a social services district [operating an approved juveif nile justice close to home initiative pursuant to section four hundred 30 four of the social services law]. 31

32 S 15. Subparagraphs (i), (iii) and (iv) of paragraph (c) and paragraph 33 (d) of subdivision 5 of section 353.5 of the family court act, as 34 amended by section 6 of subpart A of part G of chapter 57 of the laws of 35 2012, is amended to read as follows:

(i) after the expiration of the period provided in subparagraph (iii) 36 37 of paragraph (a) of this subdivision, the respondent shall not be 38 released from a residential facility without the written approval of the 39 office of children and family services or, if applicable, a social 40 services district [operating an approved juvenile justice services close home initiative pursuant to section four hundred four of the social 41 to services law]. 42

(iii) the respondent shall not be discharged from the custody of the office of children and family services, or, if applicable, a social services district [operating an approved juvenile justice services close to home initiative pursuant to section four hundred four of the social services law].

(iv) unless otherwise specified in the order, the office of children and family services or, if applicable, a social services district [operating an approved juvenile justice services close to home initiative pursuant to section four hundred four of the social services law], shall report in writing to the court not less than once every six months during the placement on the status, adjustment and progress of the respondent.

55 (d) Upon the expiration of the initial period of placement or any 56 extension thereof, the placement may be extended in accordance with 1 section 355.3 upon petition of any party or the office of children and 2 family services or, if applicable, a social services district [operating 3 an approved juvenile justice services close to home initiative pursuant 4 to section four hundred four of the social services law], after a dispo-5 sitional hearing, for an additional period not to exceed twelve months, 6 but no initial placement or extension of placement under this section 7 may continue beyond the respondent's twenty-first birthday.

8 S 16. Subdivision 2 of section 355.1 of the family court act is 9 amended by adding three new paragraphs (d), (e) and (f) to read as 10 follows:

11 (D) FOR A SOCIAL SERVICES DISTRICT THAT IS NOT OPERATING AN APPROVED 12 JUVENILE JUSTICE SERVICES CLOSE TO HOME INITIATIVE PURSUANT TO SECTION 13 FOUR HUNDRED FOUR OF THE SOCIAL SERVICES LAW:

IF THE DISTRICT DETERMINES 14 THAT PLACEMENT IN A LIMITED SECURE (I)15 FACILITY IS APPROPRIATE AND CONSISTENT WITH THE NEED FOR PROTECTION OF COMMUNITY AND THE NEEDS AND BEST INTERESTS OF THE RESPONDENT PLACED 16 THE 17 INTO ITS CARE, THE SOCIAL SERVICES DISTRICT SHALL FILE A PETITION TO THE CUSTODY OF THE RESPONDENT TO THE OFFICE OF CHILDREN AND 18 TRANSFER 19 FAMILY SERVICES, AND SHALL PROVIDE A COPY OF SUCH PETITION ТΟ SUCH OFFICE, THE RESPONDENT, THE ATTORNEY FOR THE RESPONDENT AND THE RESPOND-20 21 ENT'S PARENT OR LEGAL GUARDIAN. THE FAMILY COURT SHALL, AFTER ALLOWING 22 THE OFFICE OF CHILDREN AND FAMILY SERVICES AND THEATTORNEY FOR THE RESPONDENT, AFTER NOTICE HAVING BEEN GIVEN, AN OPPORTUNITY TO BE HEARD, 23 24 GRANT SUCH A PETITION ONLY IF THE COURT DETERMINES, AND STATES ITS IN 25 THE REASONS WHY A LIMITED SECURE PLACEMENT IS NECESSARY WRITTEN ORDER, 26 AND CONSISTENT WITH THE NEEDS AND BEST INTERESTS OF THE RESPONDENT AND 27 THE NEED FOR PROTECTION OF THE COMMUNITY.

28 DISTRICT DETERMINES THAT A SECURE LEVEL OF PLACEMENT IS (II)ΙF THE 29 APPROPRIATE AND CONSISTENT WITH THE NEED FOR PROTECTION OF THE COMMUNITY AND THE NEEDS AND BEST INTERESTS OF THE RESPONDENT PLACED INTO ITS CARE, 30 THE SOCIAL SERVICES DISTRICT SHALL FILE A PETITION TO TRANSFER 31 THE CUSTODY OF THE RESPONDENT TO THE OFFICE OF CHILDREN AND FAMILY SERVICES, 32 33 SHALL PROVIDE A COPY OF SUCH PETITION TO SUCH OFFICE, THE RESPOND-AND 34 ENT, THE ATTORNEY FOR THE RESPONDENT AND THE RESPONDENT'S PARENT OR FAMILY COURT SHALL, AFTER ALLOWING THE OFFICE OF 35 LEGAL GUARDIAN. THE CHILDREN AND FAMILY SERVICES AND THE ATTORNEY FOR THE RESPONDENT, AFTER 36 37 NOTICE HAVING BEEN GIVEN, AN OPPORTUNITY TO BE HEARD, GRANT SUCH A PETI-38 TION ONLY IF THE COURT DETERMINES, AND STATES IN ITS WRITTEN ORDER, THAT 39 THE YOUTH NEEDS A SECURE LEVEL OF PLACEMENT BECAUSE:

40 (A) THE RESPONDENT HAS BEEN SHOWN TO BE EXCEPTIONALLY DANGEROUS TO 41 HIMSELF OR HERSELF OR TO OTHER PERSONS. EXCEPTIONALLY DANGEROUS BEHAVIOR 42 MAY INCLUDE, BUT IS NOT LIMITED TO, ONE OR MORE SERIOUS INTENTIONAL 43 ASSAULTS, SEXUAL ASSAULTS OR SETTING FIRES; OR

44 (B) THE RESPONDENT HAS DEMONSTRATED BY A PATTERN OF BEHAVIOR THAT HE 45 OR SHE NEEDS A MORE STRUCTURED SETTING AND THE SOCIAL SERVICES DISTRICT CONSIDERED THE APPROPRIATENESS AND AVAILABILITY OF A TRANSFER TO AN 46 HAS 47 ALTERNATIVE NON-SECURE OR LIMITED SECURE FACILITY. SUCH BEHAVIOR MAY 48 INCLUDE, BUT IS NOT LIMITED TO: DISRUPTIONS IN FACILITY PROGRAMS; 49 CONTINUOUSLY AND MALICIOUSLY DESTROYING PROPERTY; OR REPEATEDLY COMMIT-50 TING OR INCITING OTHER YOUTH TO COMMIT ASSAULTIVE OR DESTRUCTIVE ACTS.

51 ONCE THE OFFICE OF CHILDREN AND FAMILY SERVICES HAS PROVIDED (E) NOTICE PURSUANT TO SUBDIVISION FIFTEEN-A OF SECTION FIVE HUNDRED ONE 52 OF EXECUTIVE LAW, TO CLOSE ITS NON-SECURE FACILITIES, TO MAKE SIGNIF-53 THE 54 ICANT SERVICE REDUCTIONS AND PUBLIC EMPLOYEE STAFFING REDUCTIONS AND/OR 55 TRANSFER OPERATIONS OF ANY NON-SECURE FACILITIES OPERATED BY SUCH ТΟ 56 OFFICE, SUCH OFFICE SHALL FILE PETITIONS TO TRANSFER CUSTODY OF ALL OF

YOUTH IN THE OFFICE'S CUSTODY WHO ARE CURRENTLY PLACED IN A NON-SE-1 THE 2 CURE SETTING, OR WHO ARE CONDITIONALLY RELEASED FROM SUCH A SETTING, TO APPLICABLE LOCAL COMMISSIONER OF SOCIAL SERVICES. SUCH A PETITION 3 THE 4 SHALL BE PROVIDED TO THE RESPONDENT, THE ATTORNEY FOR THE RESPONDENT, 5 THE RESPONDENT'S PARENT OR LEGAL GUARDIAN AND THE SOCIAL SERVICES 6 THE FAMILY COURT SHALL GRANT SUCH A PETITION, WITHOUT A HEAR-DISTRICT. 7 ING, UNLESS THE ATTORNEY FOR THE RESPONDENT, AFTER NOTICE, REOUESTS A 8 HEARING AND OBJECTS TO THE TRANSFER ON THE BASIS THAT THE RESPONDENT NEEDS TO BE PLACED WITH THE OFFICE IN A LIMITED SECURE OR SECURE LEVEL 9 10 CARE. THE FAMILY COURT SHALL GRANT THE PETITION UNLESS THE COURT OF DETERMINES, AND STATES IN ITS WRITTEN ORDER, THE REASONS WHY 11 A LIMITED SECURE OR SECURE LEVEL OF PLACEMENT IS NECESSARY AND CONSISTENT WITH THE 12 NEEDS AND BEST INTERESTS OF THE RESPONDENT AND THE NEED FOR PROTECTION 13 14 OF THE COMMUNITY. NOTWITHSTANDING ANY PROVISION OF LAW TO THE CONTRARY, 15 THE FAMILY COURT SHALL DETERMINE SUCH A PETITION WITHIN TEN CALENDAR 16 DAYS OF THE DATE THE OFFICE FILES SAID PETITION.

(F) IF THE OFFICE OF CHILDREN AND FAMILY SERVICES DETERMINES 17 THAT Α NON-SECURE LEVEL OF CARE OR PLACEMENT IS APPROPRIATE AND CONSISTENT WITH 18 FOR PROTECTION OF THE COMMUNITY AND THE NEEDS AND BEST INTER-19 THE NEED 20 ESTS OF A RESPONDENT WHO IS IN THEIR CUSTODY AND PLACED AT EITHER A 21 LIMITED SECURE OR SECURE FACILITY FROM A FAMILY COURT WITHIN A SOCIAL SERVICES DISTRICT THAT IS NOT OPERATING AN APPROVED JUVENILE 22 JUSTICE SERVICES CLOSE TO HOME INITIATIVE PURSUANT TO SECTION FOUR HUNDRED FOUR 23 OF THE SOCIAL SERVICES LAW, SUCH OFFICE SHALL PETITION 24 THE COURT TO 25 TRANSFER CUSTODY OF SUCH RESPONDENT TO THE APPLICABLE LOCAL COMMISSIONER AND SHALL PROVIDE A COPY OF THE PETITION TO THE 26 OF SOCIAL SERVICES 27 SOCIAL SERVICES DISTRICT, THE ATTORNEY FOR THE RESPONDENT AND THE THE FAMILY COURT SHALL, AFTER ALLOWING THE SOCIAL 28 PRESENTMENT AGENCY. SERVICES DISTRICT, THE ATTORNEY FOR THE RESPONDENT AND THE PRESENTMENT 29 30 AGENCY AN OPPORTUNITY TO BE HEARD, GRANT SUCH A PETITION UNLESS THE COURT DETERMINES, AND STATES IN ITS WRITTEN ORDER THE REASONS 31 WHY A 32 LIMITED SECURE OR SECURE PLACEMENT IS NECESSARY AND CONSISTENT WITH THE 33 NEEDS AND BEST INTEREST OF THE RESPONDENT AND THE NEED FOR PROTECTION OF 34 THE COMMUNITY.

S 17. This act shall take effect immediately, provided however that sections seven through fifteen of this act shall take effect May 1, 2013 and provided further, however, that sections one, two, four, five and six of this act shall take effect March 31, 2014; and provided further that:

40 (a) the amendments to subparagraphs (iii) and (iv) of paragraph (a) of 41 subdivision 4 of section 353.5 of the family court act made by section 42 eleven of this act shall not affect the expiration of such subdivision 43 and shall be deemed to expire therewith;

(b) the amendments to subparagraphs (i), (iii) and (iv) of paragraph (c) of subdivision 4 of section 353.5 of the family court act made by section twelve of this act shall not affect the expiration of such subdivision and shall be deemed to expire therewith;

(c) the amendments to paragraph (d) of subdivision 4 of section 353.5 of the family court act made by section thirteen of this act shall not affect the expiration of such subdivision and shall be deemed to expire therewith;

(d) the amendments to subparagraphs (iii) and (iv) of paragraph (a) of subdivision 5 of section 353.5 of the family court act made by section fourteen of this act shall not affect the expiration of such subdivision and shall be deemed to expire therewith; 8

(e) the amendments to subparagraphs (i), (iii) and (iv) of paragraph (c) and paragraph (d) of subdivision 5 of section 353.5 of the family court act made by section fifteen of this act shall not affect the expiration of such subdivision and shall be deemed to expire therewith; (f) the amendments to subdivision 2 of section 355.1 of the family court act made by section sixteen of this act shall not affect the expiration of such subdivision and shall be deemed to expire therewith.

SUBPART B

9 Section 1. Subdivision 1 of section 505 of the executive law, as 10 amended by chapter 465 of the laws of 1992, is amended to read as 11 follows:

12 1. There shall be a facility director of each [division for youth] OFFICE OF CHILDREN AND FAMILY SERVICES OPERATED facility. Such facility 13 director shall be appointed by the [director] COMMISSIONER of the [divi-14 sion] OFFICE OF CHILDREN AND FAMILY SERVICES and THE POSITION 15 shall be in the noncompetitive class and designated as confidential as defined by 16 17 subdivision two-a of section forty-two of the civil service law. The facility director shall have [two years] SUCH experience [in appropriate 18 19 titles in state government. Such facility director shall have such] AND 20 other qualifications as may be prescribed by the [director] DIRECTOR OF CLASSIFICATION AND COMPENSATION WITHIN THE DEPARTMENT OF CIVIL 21 SERVICE CONSULTATION WITH THE COMMISSIONER of the [division] OFFICE OF CHIL-22 IN 23 DREN AND FAMILY SERVICES, based on differences in duties, levels of responsibility, responsibility, size and character of the facility, knowledge, skills and abilities required, and other factors affecting the position [and]. 24 25 26 SUCH FACILITY DIRECTOR shall serve at the pleasure of the [director] 27 COMMISSIONER of the [division] OFFICE.

28 S 2. This act shall take effect on the thirtieth day after it shall 29 have become a law.

30 S 2. Severability clause. If any clause, sentence, paragraph, subdivi-31 section or part of this act shall be adjudged by any court of sion, competent jurisdiction to be invalid, such judgment shall not affect, 32 impair, or invalidate the remainder thereof, but shall be confined in 33 34 its operation to the clause, sentence, paragraph, subdivision, section 35 or part thereof directly involved in the controversy in which such judgment shall have been rendered. It is hereby declared to be the intent of 36 legislature that this act would have been enacted even if such 37 the 38 invalid provisions had not been included herein.

39 S 3. This act shall take effect immediately provided, however, that 40 the applicable effective date of subparts A and B of this act shall be 41 as specifically set forth in the last section of such subparts.

42

PART I

43 Section 1. Sections 46, 47, 48, 49, 50 and 74 of the executive law are 44 REPEALED.

45 S 2. Section 51 of the executive law, as added by chapter 766 of the 46 laws of 2005, is amended to read as follows:

S 51. Jurisdiction. This article shall, subject to the limitations contained herein, confer upon the office of the state inspector general, jurisdiction over all covered agencies. For the purposes of this article covered agency" shall include all executive branch agencies, departments, divisions, officers, boards and commissions, public authorities (other than multi-state or multi-national authorities), [and] public

benefit corporations, the heads of which are appointed by the governor 1 2 and which do not have their own inspector general by statute, AND LOCAL 3 SOCIAL SERVICES DISTRICTS. Wherever a covered agency is a board, a public authority or public benefit corporation, the head 4 commission, of the agency is the chairperson thereof. FOR PURPOSES OF THIS SECTION, 5 6 SOCIAL SERVICES DISTRICTS" SHALL INCLUDE CONTRACTEES OR RECIPI-"LOCAL 7 ENTS OF PUBLIC ASSISTANCE SERVICES. 8 S 3. Subdivisions 6 and 7 of section 53 of the executive law, as added by chapter 766 of the laws of 2005, are amended to read as follows: 9 10 6. recommend remedial action to prevent or eliminate corruption, 11 activity, conflicts of interest or abuse in covered fraud, criminal 12 agencies AND OFFICES AND AGENCIES ADMINISTERING OR SUPPORTING PROGRAMS OF THE DEPARTMENT OF FAMILY ASSISTANCE; 13 14 establish programs for training state AND LOCAL officers and 7. employees OF COVERED AGENCIES regarding the prevention and elimination 15 16 of corruption, fraud, criminal activity, conflicts of interest or abuse 17 in covered agencies. 18 S 4. Section 54 of the executive law, as added by chapter 766 of the 19 laws of 2005, is amended to read as follows: 20 S 54. Powers. The state inspector general shall have the power to: 21 1. subpoena and enforce the attendance of witnesses; 22 2. administer oaths or affirmations and examine witnesses under oath; 23 3. require the production of any books and papers deemed relevant or 24 material to any investigation, examination or review; 25 4. notwithstanding any law to the contrary, examine and copy or remove 26 documents or records of any kind prepared, maintained or held by any 27 covered agency; 28 require any officer or employee in a covered agency, OR IN ANY 5. OFFICE OR AGENCY ADMINISTERING OR SUPPORTING ANY PROGRAM OF THE DEPART-29 FAMILY ASSISTANCE, to answer questions concerning any matter 30 MENT OF related to the performance of his or her official duties. No statement 31 32 other evidence derived therefrom may be used against such officer or or 33 employee in any subsequent criminal prosecution other than for perjury 34 contempt arising from such testimony. The refusal of any officer or or 35 employee to answer questions shall be cause for removal from office or employment or other appropriate penalty; 36 37 6. monitor the implementation by covered agencies AND BY OFFICES AND AGENCIES ADMINISTERING OR SUPPORTING PROGRAMS OF THE DEPARTMENT OF FAMI-38 39 LY ASSISTANCE of any recommendations made by THE state inspector gener-40 al; perform any other functions that are necessary or appropriate to 41 7. fulfill the duties and responsibilities of office[.]; 42 8. NOTWITHSTANDING ANY OTHER PROVISION OF LAW, RULE OR REGULATION 43 TO CONTRARY, NO PERSON SHALL PREVENT, SEEK TO PREVENT, INTERFERE WITH, 44 THE 45 OBSTRUCT OR OTHERWISE HINDER ANY INVESTIGATION BEING CONDUCTED PURSUANT THIS SECTION. SECTION ONE HUNDRED THIRTY-SIX OF THE SOCIAL SERVICES 46 TO 47 LAW SHALL IN NO WAY BE CONSTRUED TO RESTRICT ANY PERSON OR GOVERNMENTAL 48 BODY FROM COOPERATING WITH AND ASSISTING THE INSPECTOR GENERAL OR HIS OR 49 HER EMPLOYEES IN CARRYING OUT THEIR DUTIES UNDER THIS SECTION. ANY 50 VIOLATION OF THIS PARAGRAPH SHALL CONSTITUTE CAUSE FOR SUSPENSION OR 51 REMOVAL FROM OFFICE OR EMPLOYMENT; 3 and 7 of section 32 of the public health law, 52 Subdivisions S 5. subdivision 3 as amended by chapter 109 of the laws of 2007 and subdivi-53 54 sion 7 as added by chapter 442 of the laws of 2006, are amended to read 55 as follows:

3. to coordinate, to the greatest extent possible, activities to 1 prevent, detect and investigate medical assistance program fraud and 2 3 abuse amongst the following: the department; the offices of mental 4 health, [mental retardation and] PEOPLE WITH developmental disabilities, alcoholism and substance abuse services, temporary disability assist-ance, and children and family services; the commission on quality of 5 6 7 care and advocacy for persons with disabilities; the department of 8 education; the fiscal agent employed to operate the medical assistance information and payment system; local governments and entities; and to 9 10 work in a coordinated and cooperative manner with, to the greatest extent possible, the deputy attorney general for Medicaid fraud control; 11 the [welfare] STATE inspector general, federal prosecutors, district attorneys within the state, the special investigative unit maintained by 12 13 14 each health insurer operating within the state, and the state comp-15 troller;

16 7. to make information and evidence relating to suspected criminal 17 acts which he or she may obtain in carrying out his or her duties avail-18 able to appropriate law enforcement officials and to consult with the 19 deputy attorney general for Medicaid fraud control[, the welfare inspec-20 tor general,] and other state and federal law enforcement officials for 21 coordination of criminal investigations and prosecutions.

22 The inspector shall refer suspected fraud or criminality to the deputy attorney general for Medicaid fraud control and make any other referrals 23 to such deputy attorney general as required or contemplated by federal 24 25 At any time after such referral, with ten days written notice to law. the deputy attorney general for Medicaid fraud control or such 26 shorter time as such deputy attorney general consents to, the inspector may 27 additionally provide relevant information about suspected fraud or 28 29 criminality to any other federal or state law enforcement agency that 30 the inspector deems appropriate under the circumstances;

31 S 6. Subdivision 2 of section 23 of the social services law, as added 32 by chapter 545 of the laws of 1978, is amended to read as follows:

2. Notwithstanding any law to the contrary, the department, upon request by the office of [welfare] THE STATE inspector general, shall provide said office with such information it receives from the wage reporting system operated by the department of taxation and finance that the office of [welfare] THE STATE inspector general deems necessary to carry out its functions and duties under article [four] FOUR-A of the executive law.

40 S 7. Subdivision 2 of section 136 of the social services law, as 41 amended by section 24 of part B of chapter 436 of the laws of 1997, is 42 amended to read as follows:

43 2. All communications and information relating to a person receiving 44 public assistance or care obtained by any social services official, 45 service officer, or employee in the course of his or her work shall be considered confidential and, except as otherwise provided 46 in this 47 section, shall be disclosed only to the commissioner, or her his or 48 authorized representative, the commissioner of labor, or his or her authorized representative, the commissioner of health, or his or her authorized representative, the [welfare] STATE inspector general, or his 49 50 or her authorized representative, the county board of supervisors, city 51 52 council, town board or other board or body authorized and required to appropriate funds for public assistance and care in and for such county, 53 54 city or town or its authorized representative or, by authority of the 55 county, city or town social services official, to a person or agency considered entitled to such information. Nothing herein shall preclude a 56

social services official from reporting to an appropriate agency or 1 2 official, including law enforcement agencies or officials, known or 3 suspected instances of physical or mental injury, sexual abuse or 4 exploitation, sexual contact with a minor or negligent treatment or 5 maltreatment of a child of which the official becomes aware in the 6 administration of public assistance and care nor shall it preclude communication with the federal immigration and naturalization service 7 8 regarding the immigration status of any individual.

Transfer of employees. Notwithstanding any other provision of 9 S 8. 10 law, rule, or regulation to the contrary, upon the transfer of functions 11 from the office of the welfare inspector general to the office of the 12 state inspector general pursuant to this act, all employees of the office of the welfare inspector general shall be transferred to 13 the 14 office of the state inspector general. Employees transferred pursuant to 15 this act shall be transferred without further examination or qualifica-16 tion and shall retain their respective civil service classifications, 17 status and collective bargaining unit designations and collective 18 bargaining agreements.

19 S 9. Transfer of records. All books, papers, and property of the 20 office of the welfare inspector general, except those required to be 21 retained by the New York state attorney general for investigation and 22 prosecution of pending cases, shall be delivered to the office of the 23 state inspector general. All books, papers, and property of the office 24 of the welfare inspector general shall continue to be maintained by the 25 office of the state inspector general.

S 10. Continuity of authority. For the purpose of succession of all functions, powers, duties and obligations transferred and assigned to, devolved upon and assumed by it pursuant to this act, the office of the state inspector general shall be deemed and held to constitute the continuation of the office of the welfare inspector general.

S 11. Completion of unfinished business. Any business or other matter 31 32 undertaken or commenced by the office of the welfare inspector general pertaining to or connected with the functions, powers, obligations 33 and duties hereby transferred and assigned to the office of the state inspector general and pending on the effective date of this act may be 34 35 conducted and completed by the office of the state inspector general in 36 37 the same manner and under the same terms and conditions and with the 38 same effect as if conducted and completed by the office of the welfare 39 inspector general, except the office of the state inspector general 40 shall have no authority to prosecute any pending cases.

12. Continuation of rules and regulations. All rules, regulations, 41 S 42 acts, orders, determinations, and decisions of the office of the welfare 43 inspector general pertaining to the functions and powers herein trans-44 ferred and assigned, in force at the time of such transfer and assump-45 tion, shall continue in full force and effect as rules, regulations, acts, orders, determinations and decisions of the office of the state 46 47 inspector general until duly modified or abrogated by the state inspec-48 tor general.

49 S 13. Terms occurring in laws, contracts and other documents. Whenev-50 the office of the welfare inspector general or the welfare inspector er 51 general is referred to or designated in any law, contract or document pertaining to the functions, powers, obligations and duties hereby transferred to and assigned to the office of the state inspector general 52 53 54 or the state inspector general, such reference or designation shall be 55 deemed to refer to the office of the state inspector general or the 56 state inspector general, as applicable.

1 S 14. Existing rights and remedies preserved. No existing right or 2 remedy of any character shall be lost, impaired or affected by any 3 provisions of this act.

4 S 15. Pending actions and proceedings. No action or proceeding pending 5 at the time when this act shall take effect, brought by or against the 6 office of the welfare inspector general or the welfare inspector gener-7 shall be affected by any provision of this act, but the same may be al, 8 prosecuted or defended in the name of the state inspector general or the office of the state inspector general, except the office of the state 9 10 inspector general shall have no authority to prosecute any pending 11 cases. In all such actions and proceedings, the state inspector general, upon application of the court, shall be substituted as a party. 12

S 16. Transfer of appropriations heretofore made. All appropriations 13 14 or reappropriations heretofore made to the office of the welfare inspec-15 tor general to the extent of remaining unexpended or unencumbered balance thereof, whether allocated or unallocated and whether obligated 16 or unobligated, are hereby transferred to and made available for use and 17 expenditure by the office of the state inspector general subject to the 18 19 approval of the director of the budget for the same purposes for which 20 originally appropriated or reappropriated and shall be payable on vouch-21 ers certified or approved by the state inspector general on audit and 22 warrant of the comptroller.

S 17. Transfer of assets and liabilities. All assets and liabilities of the office of the welfare inspector general are hereby transferred to and assumed by the office of the state inspector general. S 18. This act shall take effect immediately.

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PART J

28 Section 1. Paragraph (b) of subdivision 3 of section 425 of the real 29 property tax law, as amended by section 1 of part B of chapter 389 of 30 the laws of 1997, is amended to read as follows:

31 (b) Primary residence. The property must serve as the primary residence of one or more of the owners thereof. THE 32 COMMISSIONER SHALL 33 ESTABLISH GUIDELINES FOR DETERMINING WHAT CONSTITUTES A PRIMARY RESI-34 DENCE FOR PURPOSES OF THIS SECTION. SUCH GUIDELINES SHALL BINDING BE35 UPON APPLICANTS, ASSESSORS AND ALL OTHER PARTIES FOR PURPOSES OF THE 36 ADMINISTRATION OF THE EXEMPTION AUTHORIZED BY THIS SECTION.

S 2. Subdivisions 12 and 13 of section 425 of the real property tax 37 38 amended by section 1 of part B of chapter 389 of the laws of law, as 1997, paragraph (a) of subdivision 12 as amended by section 12 of part W 39 of chapter 56 of the laws of 2010, paragraph (b) of subdivision 12 as 40 41 amended and paragraph (d) of subdivision 12 as added by section 1 of part N of chapter 58 of the laws of 2011 and paragraph (d) of 42 subdivi-43 sion 13 as added by section 2 of part N of chapter 58 of the laws of 2011, are amended and two new subdivisions 14 and 15 are added 44 to read 45 as follows:

46 12. Revocation of prior exemptions. (a) Generally. In addition to discontinuing the exemption on the next ensuing tentative assessment roll, if the assessor determines that the property improperly received 47 48 49 the exemption on one or more of the [three] TEN preceding assessment rolls, or is advised by the department that the applicable income stand-50 ard was not satisfied with regard to a property which received the 51 52 enhanced exemption on one or more of those rolls, he or she shall 53 proceed to revoke the improperly granted prior exemption or exemptions. If the assessor is advised that the department was unable to verify the 54

income eligibility of one or more participants in the income verifica-1 2 tion program, the assessor shall mail that person or those persons a 3 notice in a form prescribed by the department requesting that the person 4 or persons document their income in the same manner and to the same 5 extent as if the person or persons were submitting an initial application for the enhanced STAR exemption. If such income documentation is 6 7 not provided within forty-five days of such request, or if the documen-8 tation provided does not establish the eligibility of the person or persons to the assessor's satisfaction, the assessor shall treat the 9 10 exemption as an improperly granted exemption and proceed in the manner 11 provided by this subdivision.

12 (b) Procedure. The assessed value attributable to each such improperly 13 granted exemption shall be entered separately on the next ensuing tenta-14 tive or final assessment roll. The provisions of section five hundred 15 fifty-one or five hundred fifty-three of this chapter, relating to the 16 entry by the assessor of omitted real property on a tentative or final 17 assessment roll, shall apply so far as practicable to the revocation 18 procedure IN THIS SUBDIVISION, except that:

19 (I) the tax rate to be applied to any revoked exemption shall be the 20 tax rate that was applied to the corresponding assessment roll, [and 21 that]

(II) interest shall then be added to each such product at the rate prescribed by section nine hundred twenty-four-a of this chapter or such other law as may be applicable for each month or portion thereon since the levy of taxes upon the assessment roll or rolls upon which the exemption was granted, AND

27 (III) A PROCESSING FEE OF FIVE HUNDRED DOLLARS SHALL BE ADDED. SUCH 28 PROCESSING FEE IMPOSED PURSUANT TO THIS SUBDIVISION SHALL BE RETAINED BY 29 THE ASSESSING UNIT.

30 (c) Rights of owners. Each owner or owners shall be given notice of 31 the possible revocation UNDER THIS SUBDIVISION of their exemption or 32 exemptions at the time and in the manner provided by section five 33 hundred ten or five hundred fifty-three of this chapter, and shall be 34 entitled to seek administrative and judicial review of such action in 35 the manner provided by law.

(d) Applicability. The provisions of this subdivision shall not be
applicable to the extent that the prior exemptions shall have been
renounced pursuant to section four hundred ninety-six of this article.
13. Penalty for material misstatements. (a) Generally. If the assessor

39 40 should determine, within [three] TEN years from the filing of an application for exemption pursuant to this section, that there was a material 41 misstatement on the application, he or she shall proceed to 42 impose a 43 penalty tax against the property of [one hundred dollars] EITHER TWENTY 44 PERCENT OF THE TOTAL AMOUNT OF THE IMPROPERLY RECEIVED TAX SAVINGS, OR 45 HUNDRED DOLLARS, WHICHEVER IS GREATER. An application shall be ONE deemed to contain a material misstatement for this purpose when either: 46 47 (i) the applicant or applicants claimed that the property was their 48 primary residence, when it was not; or

49 (ii) THE APPLICANT OR APPLICANTS CLAIMED THAT THEY HAD RELINQUISHED 50 THE STAR EXEMPTION ON THEIR FORMER PRIMARY RESIDENCE, WHEN THEY HAD NOT; 51 OR

52 (III) in the case of an application for the enhanced exemption for 53 property owned by senior citizens, the applicant or applicants misrepre-54 sented their age or income so as to appear eligible for such exemption, 55 when they were not.

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(b) Procedure. When the assessor determines that a penalty tax should 1 be imposed, the penalty tax shall be entered on the next ensuing tenta-2 3 tive or final assessment roll. The procedures set forth in section five 4 hundred fifty-one or five hundred fifty-three of this chapter, relating 5 to the entry by the assessor of omitted real property on a tentative or final assessment roll, shall apply so far as practicable when imposing a 6 7 penalty tax pursuant to this subdivision. Each owner or owners shall be given notice of the possible imposition of a penalty tax at the time and 8 in the manner provided by section five hundred ten or five hundred 9 10 fifty-three of this chapter, and shall be entitled to seek administrative and judicial review of such action in the manner provided by law. 11 12 Any penalty tax imposed pursuant to this subdivision shall be retained 13 by the assessing unit. 14 (c) Additional consequences. A penalty tax may be imposed pursuant to 15 this subdivision whether or not the improper exemption has been revoked in the manner provided by this section. In addition, a person or persons 16 17 who are found to have made a material misstatement shall be disqualified from further exemption pursuant to this section for a period of [five] 18 19 TEN years, and may be subject to prosecution pursuant to the penal law. 20 (d) Applicability. The provisions of this subdivision shall not be 21 applicable to the extent that the prior exemptions shall have been 22 renounced pursuant to section four hundred ninety-six of this article. 23 14. STAR REGISTRATION PROGRAM. (A) THE COMMISSIONER SHALL ESTABLISH IMPLEMENT A PROGRAM UNDER WHICH ALL OWNERS OF PROPERTIES INITIALLY 24 AND 25 APPLYING FOR AND THOSE RECEIVING A BASIC STAR EXEMPTION SHALL BE 26 REQUIRED TO BE REGISTERED WITH THE COMMISSIONER IN THE MANNER, AT SUCH 27 INTERVALS, AND BY THE DATE OR DATES PRESCRIBED BY THE COMMISSIONER. 28 (B) NOTWITHSTANDING ANY PROVISION OF LAW TO THE CONTRARY, THE COMMIS-29 SIONER SHALL DIRECT THE REMOVAL OR DENIAL OF A STAR EXEMPTION IF HE OR SHE FINDS THAT ONE OR MORE OF THE FOLLOWING CONDITIONS EXIST: 30 31 (I) ALL OWNERS OF THE PROPERTY HAVE NOT BEEN REGISTERED ΒY THE 32 PRESCRIBED DATE AND NO ACCEPTABLE JUSTIFICATION HAS BEEN PRESENTED FOR 33 SUCH FAILURE; 34 (II) THE OWNERS OF THE PROPERTY ARE IMPROPERLY RECEIVING MULTIPLE STAR 35 EXEMPTIONS; (III) THE PROPERTY DOES NOT SERVE AS THE PRIMARY RESIDENCE OF ANY 36 OF 37 ITS OWNERS; 38 (IV) THE APPLICABLE INCOME LIMITATION HAS BEEN EXCEEDED; OR 39 (V) THE PROPERTY IS OTHERWISE INELIGIBLE FOR THE STAR EXEMPTION. 40 TO DIRECTING THAT A STAR EXEMPTION BE REMOVED OR DENIED (C) PRIOR PURSUANT TO THIS SUBDIVISION, THE COMMISSIONER SHALL PROVIDE THE PROPER-41 TY OWNERS WITH NOTICE AND AN OPPORTUNITY TO SHOW THE 42 COMMISSIONER THAT THE PROPERTY IS ELIGIBLE TO RECEIVE THE EXEMPTION. IF THE OWNERS FAIL TO 43 44 RESPOND то SUCH NOTICE, OR IF THEIR RESPONSE DOES NOT SHOW TO THE 45 COMMISSIONER'S SATISFACTION THAT THE PROPERTY IS ELIGIBLE THE FOR 46 EXEMPTION, THE COMMISSIONER SHALL DIRECT THE ASSESSOR OR OTHER PERSON 47 HAVING CUSTODY OR CONTROL OF THE ASSESSMENT ROLL OR TAX ROLL TO REMOVE 48 OR DENY THE EXEMPTION, AND TO CORRECT THE ROLL ACCORDINGLY. SUCH A 49 DIRECTIVE SHALL BE BINDING UPON THE ASSESSOR OR OTHER PERSON HAVING 50 CONTROL OF THE ASSESSMENT ROLL OR TAX ROLL, AND SHALL BE CUSTODY OR 51 IMPLEMENTED BY SUCH PERSON WITHOUT THE NEED FOR FURTHER DOCUMENTATION OR 52 APPROVAL. (D) NOTWITHSTANDING THE PROVISIONS OF PARAGRAPH (B) OF SUBDIVISION SIX

53 (D) NOTWITHSTANDING THE PROVISIONS OF PARAGRAPH (B) OF SUBDIVISION SIX 54 OF THIS SECTION, NEITHER AN ASSESSOR NOR A BOARD OF ASSESSMENT REVIEW 55 HAS THE AUTHORITY TO CONSIDER AN OBJECTION TO THE REMOVAL OR DENIAL OF 56 AN EXEMPTION PURSUANT TO THIS SUBDIVISION, NOR MAY SUCH AN ACTION BE

REVIEWED IN A PROCEEDING TO REVIEW AN ASSESSMENT PURSUANT TO TITLE ONE 1 OR ONE-A OF ARTICLE SEVEN OF THIS CHAPTER. SUCH AN ACTION MAY ONLY BE 2 3 CHALLENGED BEFORE THE DEPARTMENT OF TAXATION AND FINANCE ON THE GROUNDS A MISTAKE OF FACT. THE TAXPAYER SHALL HAVE NO RIGHT TO COMMENCE A 4 OF 5 COURT ACTION, ADMINISTRATIVE PROCEEDING OR ANY OTHER FORM OF LEGAL 6 RECOURSE AGAINST THE COMMISSIONER, THE DEPARTMENT OF TAXATION AND 7 FINANCE, ASSESSOR OR OTHER PERSON HAVING CUSTODY OR CONTROL OF THE 8 ASSESSMENT ROLL OR TAX ROLL REGARDING SUCH ACTION.

(E) THE COMMISSIONER SHALL BE ENTITLED TO UTILIZE INFORMATION FROM ANY 9 10 FILINGS OF A TAXPAYER WITH THE DEPARTMENT OF TAXATION AND FINANCE IN CONJUNCTION WITH THE STAR REGISTRATION PROGRAM. THE DISCLOSURE 11 ТО THE 12 ASSESSOR OR OTHER PERSON HAVING CUSTODY OR CONTROL OF THE ASSESSMENT ROLL OR TAX ROLL OF NAMES AND ADDRESSES OF PROPERTY OWNERS AFFECTED BY 13 14 THIS SUBDIVISION, COLLECTED FROM THE REGISTRATION PROCESS AND OTHER FILINGS WITH THE DEPARTMENT OF TAXATION AND FINANCE SHALL NOT CONSTITUTE 15 16 A VIOLATION OF THE SECRECY PROVISIONS OF THE TAX LAW. THE COMMISSIONER SHALL PROVIDE NO OTHER INFORMATION ABOUT THE INCOME OF A TAXPAYER TO THE 17 ASSESSOR OR OTHER PERSON HAVING CUSTODY OR CONTROL OF THE ASSESSMENT 18 19 ROLL OR TAX ROLL.

20 15. DISCLOSURE OF CERTAIN DATA. THE COMMISSIONER IS AUTHORIZED TO 21 DISCLOSE TO ASSESSORS AND COUNTY DIRECTORS OF REAL PROPERTY TAX SERVICES SUCH DATA AS HE OR SHE DEEMS NECESSARY TO THE EFFECTIVE ADMINISTRATION 22 OF THE STAR EXEMPTION AUTHORIZED BY THIS SECTION, NOTWITHSTANDING THE SECRECY PROVISIONS OF THE TAX LAW, PROVIDED THAT THE DATA SO DISCLOSED 23 24 25 SHALL NOT BE SUBJECT TO FURTHER DISCLOSURE UNDER ARTICLE SIX OF THE 26 PUBLIC OFFICERS LAW OR OTHERWISE.

27 S 3. This act shall take effect April 1, 2013.

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PART K

29 Section 1. Articles 16 and 17 of the private housing finance law are 30 REPEALED.

31 S 2. The private housing finance law is amended by adding a new article 27 to read as follows: 32

ARTICLE XXVII

COMMUNITY PRESERVATION PROGRAM

- SECTION 1230. PURPOSE. 35
- 36 1231. DEFINITIONS.
 - 1232. PROGRAM CONTRACTS.
- 38 1233. TECHNICAL SERVICES AND ASSISTANCE TO COMMUNITY PRESERVA-39 TION CORPORATIONS.
 - 1234. RULES AND REGULATIONS.
- 41 1235. ANNUAL REPORT. 42
 - 1236. RELATIONSHIP TO OTHER LAWS.

43 S 1230. PURPOSE. THERE CONTINUES TO EXIST IN ALL AREAS OF THE STATE SIGNIFICANT UNMET HOUSING NEEDS OF PERSONS AND FAMILIES OF LOW OR MODER-44 45 ATE INCOME, NUMEROUS HOUSING UNITS WHICH ARE DETERIORATING OR IN NEED OF 46 REHABILITATION OR IMPROVEMENT, AND RELATED FACTORS DEMONSTRATING A NEED 47 FOR ATTENTION TO HOUSING PRESERVATION AND COMMUNITY REVITALIZATION. IT 48 PURPOSE OF THIS ARTICLE TO ESTABLISH A COMMUNITY PRESERVATION IS THE PROGRAM WITHIN THE HOUSING TRUST FUND CORPORATION. 49

S 1231. DEFINITIONS. AS USED IN THIS ARTICLE: 50

1. "HOUSING TRUST FUND CORPORATION" SHALL MEAN THE HOUSING TRUST FUND 51 52 CORPORATION AS CREATED BY SECTION FORTY-FIVE-A OF THIS CHAPTER.

53 "COMMUNITY PRESERVATION CORPORATION" SHALL MEAN A CORPORATION 2. 54 ORGANIZED UNDER THE PROVISIONS OF THE NOT-FOR-PROFIT CORPORATION LAW 1 THAT HAS BEEN ENGAGED PRIMARILY IN HOUSING PRESERVATION AND COMMUNITY 2 RENEWAL ACTIVITIES AS DEFINED IN SUBDIVISION FIVE OF THIS SECTION.

3 3. "ELIGIBLE APPLICANT" SHALL MEAN ANY COMMUNITY CORPORATION OR COMBI-4 NATION OF CORPORATIONS IN EXISTENCE FOR A PERIOD OF ONE OR MORE YEARS 5 PRIOR TO APPLICATION.

6 4. "REGION" SHALL MEAN ANY COMMUNITY AREA WITHIN THE STATE SUCH AS A 7 COUNTY, CITY, TOWN, VILLAGE, POSTAL ZONE, OR CENSUS TRACT OR ANY SPECI-8 FIED PART OR COMBINATION THEREOF AS APPROVED BY THE HOUSING TRUST FUND 9 CORPORATION, WITHIN WHICH HOUSING AND COMMUNITY RENEWAL ACTIVITIES FUND-10 ED IN PART PURSUANT TO THIS ARTICLE ARE TO BE CARRIED OUT.

"HOUSING PRESERVATION AND COMMUNITY RENEWAL ACTIVITIES" SHALL MEAN 11 5. ACTIVITIES ENGAGED IN BY A COMMUNITY PRESERVATION CORPORATION WITHIN A 12 PROVIDED, HOWEVER, THAT THE HOUSING TRUST FUND CORPORATION MAY 13 REGION. 14 ALLOW A COMMUNITY PRESERVATION CORPORATION TO ENGAGE IN SUCH ACTIVITIES IN UNSERVED AND UNDERSERVED AREAS OF A MUNICIPALITY LYING OUTSIDE OF ITS 15 16 DESIGNATED REGION, THAT INCLUDE: (A) THE NEW CONSTRUCTION OR THE ACQUI-17 SITION, MAINTENANCE, PRESERVATION, REPAIR, REHABILITATION OR OTHER IMPROVEMENT OF VACANT OR OCCUPIED HOUSING ACCOMMODATIONS; DEMOLITION OR 18 19 SEALING OF VACANT STRUCTURES WHERE NECESSARY OR APPROPRIATE; DISPOSITION 20 OF HOUSING ACCOMMODATIONS TO PRESENT OR POTENTIAL OCCUPANTS OR CO-OPERA-21 TIVE ORGANIZATIONS; TRAINING OR OTHER FORMS OF ASSISTANCE TO OCCUPANTS HOUSING ACCOMMODATIONS; AND MANAGEMENT OF HOUSING ACCOMMODATIONS AS 22 OF AGENT FOR THE OWNERS, RECEIVERS, ADMINISTRATORS OR MUNICIPALITIES; OR 23 24 ACTIVITIES, SIMILAR TO THOSE SPECIFIED IN PARAGRAPH (A) OF THIS (B) 25 SUBDIVISION, AIMED AT ACCOMPLISHING SIMILAR PURPOSES AND MEETING SIMILAR 26 NEEDS WITH RESPECT TO RETAIL AND SERVICE ESTABLISHMENTS WITHIN A REGION 27 WHEN CARRIED OUT IN CONNECTION WITH AND INCIDENTAL TO A PROGRAM OF HOUS-ING RELATED ACTIVITIES. 28

6. "PERSONS OF LOW INCOME" SHALL MEAN INDIVIDUALS AND FAMILIES WHOSE ANNUAL INCOMES DO NOT EXCEED NINETY PERCENT OF THE MEDIAN ANNUAL INCOME FOR ALL RESIDENTS OF THE REGION WITHIN WHICH THEY RESIDE OR A LARGER AREA ENCOMPASSING SUCH REGION FOR WHICH MEDIAN ANNUAL INCOME CAN BE BILL DETERMINED.

34 7. "MERGED CORPORATION" SHALL MEAN A COMMUNITY PRESERVATION CORPO-35 RATION MAINTAINING A CONTRACT PURSUANT TO THIS ARTICLE THAT HAS UNDER-GONE A MERGER WITH ONE OR MORE OTHER COMMUNITY PRESERVATION CORPO-36 37 RATIONS, WHICH IS ALSO MAINTAINING A CONTRACT PURSUANT TO THIS ARTICLE, 38 THAT HAS LED THE MERGED CORPORATION TO REDUCE THE NUMBER OF CONTRACTS 39 BEING MAINTAINED WITH THE HOUSING TRUST FUND CORPORATION PURSUANT ΤO 40 THIS ARTICLE TO A TOTAL OF ONE.

41 8. "UNMERGED CORPORATION" SHALL MEAN A COMMUNITY PRESERVATION CORPO-42 RATION THAT IS NOT A MERGED CORPORATION.

S 1232. PROGRAM CONTRACTS. 1. IN ORDER TO BE ELIGIBLE TO RECEIVE FUNDS
PURSUANT TO THIS ARTICLE, AN ELIGIBLE APPLICANT SHALL SUBMIT A PROPOSAL
BASED ON CRITERIA AS DETERMINED BY THE HOUSING TRUST FUND CORPORATION.

46 2. WITHIN THE LIMIT OF FUNDS AVAILABLE IN THE COMMUNITY PRESERVATION 47 APPROPRIATION, THE HOUSING TRUST FUND CORPORATION MAY ENTER INTO 48 CONTRACTS WITH CORPORATIONS TO PROVIDE HOUSING PRESERVATION AND COMMUNI-49 TY RENEWAL ACTIVITIES.

50 3. IN DETERMINING TO ENTER INTO A CONTRACT WITH A COMMUNITY PRESERVA-51 TION CORPORATION OR CORPORATIONS PURSUANT TO THIS ARTICLE THE HOUSING 52 TRUST FUND CORPORATION SHALL DETERMINE THAT THE DEMOGRAPHIC AND OTHER 53 RELEVANT DATA PERTAINING TO A REGION AS SPECIFIED IN THE CONTRACT INDI-54 CATE THAT SUCH REGION CONTAINS SIGNIFICANT UNMET HOUSING NEEDS OF 55 PERSONS OF LOW INCOME, THAT THE HOUSING STOCK OF SUCH REGION, BECAUSE OF 56 ITS AGE, DETERIORATION, OR OTHER FACTORS, REQUIRES IMPROVEMENT IN ORDER

TO PRESERVE THE COMMUNITIES WITHIN THE REGION AND THAT THE CORPORATION 1 2 PROPOSES TO ASSIST SUCH REGION THROUGH ACTIVE INTERVENTION TO EFFECT THE 3 REGION'S PRESERVATION, STABILIZATION OR IMPROVEMENT. THE HOUSING TRUST 4 FUND CORPORATION SHALL ALSO DETERMINE THAT THE COMMUNITY PRESERVATION 5 CORPORATION POSSESSES OR WILL ACQUIRE OR GAIN ACCESS TO THE REOUISITE 6 STAFF, OFFICE FACILITIES WITHIN SUCH COMMUNITY, EQUIPMENT AND EXPERTISE 7 TO ENABLE IT TO PERFORM THE ACTIVITIES WHICH IT PROPOSES TO UNDERTAKE PURSUANT TO SUCH CONTRACT; PROVIDED, HOWEVER, THAT THE MERGED CORPO-8 RATION'S OFFICE FACILITIES MAY BE LOCATED OUTSIDE SUCH COMMUNITY IF THEY 9 10 ARE LOCATED IN A COMMUNITY WHOLLY CONTAINED WITHIN THE MERGED CORPO-RATION'S COMMUNITY, AND PROVIDED FURTHER, HOWEVER, THAT IT SHALL NOT BE 11 A BAR TO THE HOUSING TRUST FUND CORPORATION'S CONTRACTING WITH A COMMU-12 13 NITY PRESERVATION CORPORATION THAT ONE OR MORE ORGANIZATIONS, WHETHER 14 PURSUANT TO CONTRACT WITH THE HOUSING TRUST FUND CORPORATION OR NOT, ARE CONDUCTING COMMUNITY PRESERVATION ACTIVITIES WHOLLY OR PARTIALLY WITHIN 15 SAME COMMUNITY. THE COMMUNITY PRESERVATION CORPORATION'S OFFICERS, 16 THE DIRECTORS AND MEMBERS SHALL BE FAIRLY REPRESENTATIVE OF THE RESIDENTS 17 AND OTHER LEGITIMATE INTERESTS OF THE COMMUNITY, THAT THEY WILL CARRY 18 19 OUT SUCH A CONTRACT IN A RESPONSIBLE MANNER AND THAT AT LEAST 20 THIRTY-THREE PERCENT OF THE DIRECTORS OF THE COMMUNITY PRESERVATION 21 CORPORATION ARE RESIDENTS OF THE COMMUNITY.

4. EACH CONTRACT ENTERED INTO PURSUANT TO THIS ARTICLE SHALL PROVIDE
FOR PAYMENT TO THE CORPORATION FOR THE HOUSING PRESERVATION AND COMMUNITY RENEWAL ACTIVITIES TO BE PERFORMED BY IT. PAYMENTS SHALL BE BASED ON
PERFORMANCE CRITERIA ESTABLISHED BY THE HOUSING TRUST FUND CORPORATION.

5. PAYMENT PURSUANT TO THIS ARTICLE SHALL BE RESTRICTED TO 26 SUMS REQUIRED FOR THE PAYMENT OF SALARIES AND WAGES TO EMPLOYEES OF SUCH 27 CORPORATIONS WHO ARE ENGAGED IN RENDERING HOUSING PRESERVATION 28 AND 29 COMMUNITY RENEWAL ACTIVITIES, FEES TO CONSULTANTS AND PROFESSIONALS RETAINED BY THEM FOR PLANNING AND PERFORMING SUCH ACTIVITIES AND OTHER 30 COSTS AND EXPENSES DIRECTLY RELATED TO SUCH EMPLOYEES, CONSULTANTS AND 31 32 PROFESSIONALS. SUCH FUNDS MAY BE USED FOR PLANNING ANY HOUSING PRESERVA-TION AND COMMUNITY RENEWAL ACTIVITY AND FOR RENOVATING, REPAIRING, 33 FURNISHING, EOUIPPING AND OPERATING AN OFFICE FACILITY TO BE USED IN 34 35 CONNECTION WITH THE CONDUCT OF HOUSING PRESERVATION AND COMMUNITY RENEWAL ACTIVITIES BY THE CORPORATION. 36

37 6. CONTRACTS PURSUANT TO THIS SECTION SHALL BE FOR A PERIOD TO BE38 DETERMINED AT THE DISCRETION OF THE HOUSING TRUST FUND CORPORATION.

39 7. THE HOUSING TRUST FUND CORPORATION MAY WITHHOLD PAYMENTS AND MAY 40 ELECT NOT TO RENEW OR EXTEND A CONTRACT OR ENTER A SUCCEEDING CONTRACT WITH ANY COMMUNITY PRESERVATION CORPORATION IF THE CORPORATION IS NOT IN 41 COMPLIANCE WITH ITS CONTRACT, HAS FAILED TO SUBMIT DOCUMENTATION 42 43 REQUIRED UNDER ITS CONTRACT OR REQUESTED BY THE HOUSING TRUST FUND 44 CORPORATION OR HAS NOT SATISFIED ANY OTHER CONDITIONS CONSISTENT WITH 45 THIS ARTICLE FOR RENEWING OR EXTENDING A CONTRACT OR ENTERING A SUCCEED-46 ING CONTRACT.

8. THE HOUSING TRUST FUND CORPORATION MAY ENTER INTO CONTRACTS WITH
NEW COMMUNITY PRESERVATION CORPORATIONS TO PERFORM HOUSING PRESERVATION
AND COMMUNITY RENEWAL ACTIVITIES IN A COMMUNITY THAT IS UNSERVED OR
UNDERSERVED AS DETERMINED BY THE HOUSING TRUST FUND CORPORATION.

9. IF FUNDS ARE NOT COLLECTED BY A COMMUNITY PRESERVATION CORPORATION
OR FUNDS ARE REMAINING FROM A TERMINATED COMMUNITY PRESERVATION
CONTRACT, SUCH FUNDS MAY BE DEPOSITED IN THE MERGED CORPORATION SAVINGS
FUND AND USED TO FUND A NEW COMMUNITY PRESERVATION CORPORATION, MAY BE
REALLOCATED TO THE EXISTING CORPORATIONS, MAY BE USED TO PROVIDE TECHNI-

CAL ASSISTANCE OR MAY BE USED FOR OTHER COMMUNITY PRESERVATION PROGRAM 1 2 PURPOSES AS DETERMINED BY THE HOUSING TRUST FUND CORPORATION. 10. WHEN DISBURSING FUNDS FOR CONTRACTS WITH COMMUNITY PRESERVATION 3 4 CORPORATIONS, PURSUANT TO THIS ARTICLE, THE HOUSING TRUST FUND CORPO-5 RATION SHALL USE THE FOLLOWING CRITERIA, FORMULAS AND TABLES TO DETER-6 MINE THE DISTRIBUTION OF FUNDS: 7 (A) (I) THE TOTAL UNMERGED CORPORATION FUNDING SHALL EOUAL THE CURRENT 8 NUMBER OF UNMERGED CORPORATION CONTRACTS MULTIPLIED BY THE PER GROUP 9 AWARD. 10 (II) THE UNMERGED CORPORATION FUNDING SHALL EQUAL THE PER GROUP AWARD. (III) THE MERGED CORPORATION FUNDING SHALL EQUAL THE FUNDING MODIFICA-11 TION MULTIPLIED BY THE PER GROUP AWARD. 12 13 (B) MERGED CORPORATION FUNDING SHALL BE DETERMINED ON AN INDIVIDUAL 14 BASIS FOR EACH COMMUNITY PRESERVATION CORPORATION. THE FOLLOWING TABLES 15 SHOW THE FUNDING MODIFICATION TO BE USED: (I) IN THE CASE OF TWO CORPORATIONS MERGING, THE FOLLOWING TABLE SHALL 16 17 BE USED: 18 YEARS SINCE FUNDING 19 MERGER MODIFICATION 20 1 200% 21 2 190% 3 22 180% 23 4 170% 24 5 160% 25 6 150% 26 (II) IN THE CASE OF THREE CORPORATIONS MERGING, THE FOLLOWING TABLE 27 SHALL BE USED: 28 YEARS SINCE FUNDING 29 MERGER MODIFICATION 30 1 300% 2 31 290% 32 3 280% 33 4 270% 34 5 260% 35 6 250% 7 36 240% 37 8 230% 38 9 220% 39 10 210% 40 11 200% (III) IN THE CASE OF FOUR OR MORE CORPORATIONS MERGING, THE FOLLOWING 41 42 TABLE SHALL BE USED: 43 YEARS SINCE FUNDING 44 MERGER MODIFICATION 45 1 400% 2 46 390% 47 3 380% 48 4 370% 49 5 360% 50 6 350% 7 51 340% 8 52 330% 9 53 320% 54 10 310% 11 55 300% 56 12 290%

A. 3007--B

1	13	280%
2	14	270%
3	15	260%
4	16	250%

5 (C) IF A COMMUNITY PRESERVATION CORPORATION THAT HAS UNDERGONE A MERG-6 ER CONTINUES TO RENEW THEIR CONTRACT BEYOND THE TIMEFRAMES LISTED IN THE 7 ABOVE TABLES, IT SHALL HAVE ITS FUNDING DETERMINED USING THE LAST FUND-8 ING MODIFICATION LISTED.

9 (D) THE MERGED CORPORATION SAVINGS SHALL BE DETERMINED ON AN INDIVID-10 FOR EACH MERGED CORPORATION. IT SHALL BE CALCULATED BY UAL BASIS 11 SUBTRACTING THE AMOUNT OF SUCH CORPORATION'S MERGED CORPORATION FUNDING 12 THE AMOUNT THE MERGED CORPORATIONS WOULD HAVE RECEIVED IF THEY HAD FROM 13 MAINTAINED SEPARATE CONTRACTS.

14 (E) THE PER GROUP AWARD SHALL BE DETERMINED BY DIVIDING THE TOTAL 15 FUNDING AVAILABLE, MINUS THE AMOUNTS OF ANY CONTRACTS FOR THE PROVISION 16 OF TECHNICAL ASSISTANCE, BY THE NUMBER OF COMMUNITY PRESERVATION CORPO-17 RATIONS DETERMINED TO BE QUALIFIED FOR FUNDING BY THE HOUSING TRUST FUND AS OF THE EFFECTIVE DATE OF THIS ARTICLE AND SUBSEQUENT 18 CORPORATION 19 THERETO, WHICH WERE IN EXISTENCE AS OF AUGUST FIRST, TWO THOUSAND TWELVE, OR WHICH CAME INTO EXISTENCE THEREAFTER, MINUS ANY CORPORATIONS 20 21 WHICH HAVE CEASED TO EXIST AND WERE NOT REPLACED OR MERGED.

22 11. THE HOUSING TRUST FUND CORPORATION SHALL CREATE A FUND TO HOLD AND 23 SHALL TRANSFER ALL FUNDS DETERMINED TO BE MERGED CORPORATION SAVINGS 24 PURSUANT TO PARAGRAPH (D) OF SUBDIVISION TEN OF THIS SECTION INTO SUCH 25 FUND. THE HOUSING TRUST FUND CORPORATION SHALL USE SUCH FUNDS, AS AVAIL-26 ABLE, FOR ENTERING INTO NEW CONTRACTS OR REALLOCATING FUNDS TO EXISTING 27 CORPORATIONS, PURSUANT TO THIS SECTION, WITH COMMUNITY PRESERVATION 28 CORPORATIONS LOCATED IN AREAS OF THE STATE THAT ARE CURRENTLY UNSERVED 29 BY A COMMUNITY PRESERVATION CORPORATION.

30 S 1233. TECHNICAL SERVICES AND ASSISTANCE TO COMMUNITY PRESERVATION CORPORATIONS. THE HOUSING TRUST FUND CORPORATION IS HEREBY AUTHORIZED TO 31 32 RENDER TO COMMUNITY PRESERVATION CORPORATIONS SUCH TECHNICAL SERVICES 33 ASSISTANCE AS IT MAY POSSESS OR AS MAY BE AVAILABLE TO IT TO ENABLE AND 34 SUCH CORPORATIONS TO COMPLY WITH THE INTENT AND PROVISIONS OF THIS ARTI-35 CLE. THE HOUSING TRUST FUND CORPORATION IS FURTHER AUTHORIZED TΟ TAKE ALL STEPS NECESSARY TO ENCOURAGE THE FORMATION, ORGANIZATION AND GROWTH 36 37 OF NEW COMMUNITY PRESERVATION CORPORATIONS. THE HOUSING TRUST FUND 38 ALSO CONTRACT WITH MUNICIPAL AND OTHER PUBLIC AGENCIES CORPORATION MAY 39 AND WITH PRIVATE PERSONS, FIRMS AND CORPORATIONS FOR THE PROVISION OF 40 TECHNICAL SERVICES AND ASSISTANCE WHICH MAY INCLUDE: PREPARATION SUCH AND SUBMISSION OF PROPOSALS FOR ENTERING INTO CONTRACTS WITH THE HOUSING 41 42 TRUST FUND CORPORATION; PREPARATION AND SUBMISSION OF REPORTS REOUIRED 43 UNDER SUCH CONTRACTS OR REGULATIONS ISSUED BY THE HOUSING TRUST FUND 44 CORPORATION; INTERNAL ORGANIZATION AND MANAGEMENT OF THE COMMUNITY PRES-45 ERVATION CORPORATIONS; RECRUITMENT AND TRAINING OF PERSONNEL OF THE 46 COMMUNITY PRESERVATION CORPORATIONS; PREPARATION OF PLANS AND PROJECTS, 47 NEGOTIATION OF AGREEMENTS AND COMPLIANCE WITH REQUIREMENTS PROGRAMS OF 48 IN WHICH COMMUNITY PRESERVATION CORPORATIONS MAY BECOME ENGAGED IN THE 49 COURSE OF THEIR COMMUNITY PRESERVATION ACTIVITIES; AND OTHER TECHNICAL 50 OR ASSISTANCE RELATING TO THE PERFORMANCE OR RENDITION OF COMMU-ADVICE 51 NITY PRESERVATION ACTIVITIES.

52 S 1234. RULES AND REGULATIONS. THE HOUSING TRUST FUND CORPORATION MAY 53 ISSUE RULES AND REGULATIONS OR OPERATIONAL BULLETINS FOR THE APPLICATION 54 AND AWARDING OF FUNDS UNDER THIS ARTICLE.

55 S 1235. ANNUAL REPORT. THE HOUSING TRUST FUND CORPORATION SHALL, ON OR 56 BEFORE SEPTEMBER THIRTIETH IN EACH YEAR SUBMIT A REPORT TO THE LEGISLA-

TURE ON THE IMPLEMENTATION OF THIS ARTICLE. SUCH REPORT SHALL 1 INCLUDE, 2 NOT BE LIMITED TO, FOR EACH CORPORATION RECEIVING PAYMENTS UNDER BUT 3 THIS ARTICLE: A DESCRIPTION OF SUCH CORPORATION'S CONTRACT AMOUNT AND 4 CUMULATIVE TOTAL; THE SPECIFIC COMMUNITY PRESERVATION ACTIVITIES 5 PERFORMED BY SUCH CORPORATION; THE FINDINGS REQUIRED ΒY THE HOUSING 6 TRUST FUND CORPORATION UNDER SUBDIVISION THREE OF SECTION TWELVE HUNDRED 7 THIRTY-TWO OF THIS ARTICLE; THE AMOUNTS OF MONIES RECEIVED BY THE CORPO-8 RATION FROM SOURCES OTHER THAN PAYMENTS MADE PURSUANT TO THIS ARTICLE; 9 THE VALUE OF SERVICES RENDERED FOR THE BENEFIT OF THE CORPORATION FOR 10 WHICH PAYMENT IS NOT REQUIRED TO BE MADE; AND SUCH OTHER INFORMATION AS THE HOUSING TRUST FUND CORPORATION DEEMS APPROPRIATE. 11

12 S 1236. RELATIONSHIP TO OTHER LAWS. NOTHING IN THIS ARTICLE SHALL BETO DENY OR LIMIT THE RIGHT OF ANY CORPORATION TO SEEK OR RECEIVE 13 DEEMED 14 ASSISTANCE UNDER, OR OTHERWISE PARTICIPATE IN, ANY OTHER PROGRAM PURSU-15 ANT TO THIS CHAPTER, OR ANY OTHER GOVERNMENTAL PROGRAM RELATING TO HOUS-OR COMMUNITY RENEWAL. NOTHING IN THIS ARTICLE SHALL BE DEEMED TO 16 ING 17 DENY OR LIMIT THE RIGHT OF ANY CORPORATION TO CARRY OUT ANY PROGRAM OR SERVICE THROUGH A SUBSIDIARY CORPORATION OR OTHER INSTRUMENTALITY. 18

19 S 3. Subdivision 5 of section 921 of the private housing finance law, 20 as added by chapter 166 of the laws of 1991, is amended to read as 21 follows:

5. "Neighborhood" shall mean an area within the municipality identified by recognized or established boundaries consistent with a determination of neighborhood eligibility under article [sixteen] TWENTY-SEVEN of this chapter.

S 4. The opening paragraph of section 1021 of the private housing finance law, as added by chapter 911 of the laws of 1982, is amended to read as follows:

As used in this article, any term defined in article [seventeen] TWEN-TY-SEVEN of this chapter shall have the same meaning herein as set forth therein and the following terms shall have the following meanings:

32 S 5. Section 1051 of the private housing finance law, as added by 33 chapter 725 of the laws of 1983, is amended to read as follows:

1051. Legislative findings and statement of policy. The legislature 34 S hereby finds and declares that there exists in many portions of the 35 rural areas of the state substantial needs for revitalization and 36 37 improvement of housing and of local commercial and service facilities, and for related community renewal activities. The findings set forth in 38 article [seventeen] TWENTY-SEVEN of this chapter, with respect to the 39 40 special needs and problems of such areas and the significant potential role of locally based not-for-profit organizations in helping to meet 41 such needs, are hereby reaffirmed. The legislature hereby determines 42 43 that, in addition to the program of state support to help meet the administrative expenses of such organizations under article [seventeen] 44 45 TWENTY-SEVEN, a further public need exists for state funding of a portion of the costs of specific revitalization projects carried out by 46 47 such groups and similar local organizations. It is the purpose of this 48 article to encourage community preservation and improvement in the rural 49 area of the state by establishing a program of such funding.

50 S 6. Section 1052 of the private housing finance law, as added by 51 chapter 725 of the laws of 1983 and paragraph 3 of subdivision (b) as 52 added by chapter 166 of the laws of 1991, is amended to read as follows: 53 S 1052. Definitions. As used in this article:

(a) all terms defined in article [seventeen] TWENTY-SEVEN of this
chapter shall have the same meanings herein as specified therein; and
(b) the following terms shall have the following meanings:

31

1 (1) "rural area revitalization project" means a specific work or 2 series of works for the revitalization and improvement of a region of 3 the rural area of the state through creation, preservation or improve-4 ment of housing resources; creation, preservation or improvement of 5 local commercial facilities; restoration or improvement of public facil-6 ities or other aspects of the area environment; related community pres-7 ervation or renewal activities; or any combination of the above.

8 (2) "qualified applicant" means a not-for-profit corporation under 9 contract pursuant to article [seventeen] TWENTY-SEVEN of this chapter or 10 any other locally based organization which is either incorporated under 11 the not-for-profit corporation law (or such law together with any other 12 applicable law) or, if unincorporated, is not organized for the private 13 profit or benefit of its members.

14 (3) "Corporation" means the housing trust fund corporation established 15 in section forty-five-a of this chapter.

16 S 7. Subdivision 3 of section 1053 of the private housing finance law, 17 as amended by chapter 63 of the laws of 2012, is amended to read as 18 follows:

3. Each contract pursuant to this section shall provide for payment by 19 20 the corporation for the activities to be carried out pursuant to the 21 contract. Such payment shall be based on the projected costs of such 22 activities and the other sources of funding which may be available to 23 the applicant (including, if applicable, funding pursuant to article TWENTY-SEVEN of this chapter) from any source. Up to ten 24 [seventeen] 25 percent of the program or project cost may be used for the qualified 26 applicant's operating expenses including expenses related to organization operating support and administration of the contract. 27 The total 28 state payment pursuant to any one contract shall not exceed two hundred 29 thousand dollars.

30 S 8. This act shall take effect July 1, 2013.

PART L

32 Section 1. Subdivision 8 of section 2404 of the public authorities law 33 is REPEALED and a new subdivision 8 is added to read as follows:

34 (8) TO INVEST ANY FUNDS OR OTHER MONEYS UNDER ITS CUSTODY AND CONTROL
 35 IN INVESTMENT SECURITIES OR UNDER ANY ANCILLARY BOND FACILITY;

36 S 2. Section 2402 of the public authorities law is amended by adding 37 two new subdivisions 18 and 19 to read as follows:

(18) "INVESTMENT SECURITIES". SUBJECT TO, OR AS OTHERWISE PROVIDED IN, 38 THE PROVISIONS OF ANY CONTRACT WITH BONDHOLDERS OF 39 THE AGENCY: (I)GENERAL OBLIGATIONS OF, OR OBLIGATIONS GUARANTEED BY, ANY STATE OF THE 40 41 UNITED STATES OF AMERICA OR POLITICAL SUBDIVISION THEREOF, THE DISTRICT 42 COLUMBIA, OR ANY AGENCY OR INSTRUMENTALITY THEREOF RECEIVING ONE OF OF THE THREE HIGHEST LONG-TERM UNSECURED DEBT RATING CATEGORIES 43 AVAILABLE SUCH SECURITIES OF AT LEAST ONE INDEPENDENT RATING AGENCY; OR (II) 44 FOR 45 CERTIFICATES OF DEPOSIT, SAVINGS ACCOUNTS, TIME DEPOSITS OR OTHER OBLI-GATIONS OR ACCOUNTS OF BANKS OR TRUST COMPANIES IN THE STATE, SECURED, 46 IF THE AGENCY SHALL SO REQUIRE, IN SUCH MANNER AS THE 47 AGENCY MAY SO 48 (III) OTHERWISE, IN THE DISCRETION OF THE AGENCY, OBLI-DETERMINE; OR 49 PURSUANT GATIONS IN WHICH THE COMPTROLLER IS AUTHORIZED TO INVEST, ΤO EITHER SECTION NINETY-EIGHT OR NINETY-EIGHT-A OF THE STATE FINANCE LAW. 50

51 (19) "ANCILLARY BOND FACILITY". ANY INTEREST RATE EXCHANGE OR SIMILAR 52 AGREEMENT OR ANY BOND INSURANCE POLICY, LETTER OF CREDIT OR OTHER CREDIT 53 ENHANCEMENT FACILITY, LIQUIDITY FACILITY, GUARANTEED INVESTMENT OR REIN-54 VESTMENT AGREEMENT, OR OTHER SIMILAR AGREEMENT, ARRANGEMENT OR CONTRACT.

1 S 3. Subdivision 9 of section 2427 of the public authorities law, as 2 added by chapter 788 of the laws of 1978, is amended to read as follows: 3 9. To invest any funds held in reserves or sinking funds or any funds 4 not required for immediate use or disbursement, at the discretion of the agency, in obligations of the state [of] OR federal government or of any 5 6 city of the state, the principal and interest of which are guaranteed by 7 the state or federal government, OBLIGATIONS OF PUBLIC AUTHORITIES 8 CREATED UNDER NEW YORK STATE LAW, obligations of agencies of the federal government, GOVERNMENT NATIONAL MORTGAGE ASSOCIATION, FEDERAL NATIONAL 9 10 MORTGAGE ASSOCIATION, AND THE FEDERAL HOME LOAN MORTGAGE CORPORATION 11 BACKED SECURITIES, OR IN FHA INSURED LOANS ORIGINATED BY THE MORTGAGE NEW YORK STATE HOUSING FINANCE AGENCY, or special time deposits in, 12 or 13 certificates of deposit issued by, a bank or trust company authorized to 14 business in the state and secured by a pledge of obligations of the do 15 United States of America or obligations of the state, any city of the 16 other municipal corporation, school district or district corpostate, ration of the state or obligations of agencies of the federal govern-17 18 ment, provided that any such investment from time to time (1) may be 19 legally purchased by savings banks of the state as investments of funds 20 belonging to them or in their control and (2) shall be approved by the 21 comptroller.

22 S 4. Subdivision 4 of section 2429-b of the public authorities law, as amended by chapter 3 of the laws of 2004, is amended to read as follows: 23 4. Moneys in such fund may be invested (a) in special time deposit 24 25 accounts in, or certificates of deposit issued by, a bank, trust compa-26 ny, savings bank or savings and loan association located and authorized to do business in this state, provided, however, that such time deposit 27 28 account or certificate of deposit shall be payable within such time as 29 the proceeds may be needed to meet expenditures estimated to be incurred the agency and provided further that such time deposit account or 30 by certificate of deposit be secured by a pledge of obligations of the 31 32 United States of America or obligations of the state, any city of the 33 state, or other municipal corporation, school district or district corporation of the state or obligations of agencies of the federal 34 government; or (b) in obligations of the United States of America or the 35 state which may from time to time be legally purchased by savings banks 36 within the state as an investment of funds belonging to them or in their 37 38 control, or in obligations of the Federal National Mortgage Association, IN GOVERNMENT NATIONAL MORTGAGE ASSOCIATION, FEDERAL NATIONAL MORT-39 OR 40 GAGE ASSOCIATION, AND THE FEDERAL HOME LOAN MORTGAGE CORPORATION MORT-SECURITIES, OR IN FHA INSURED LOANS ORIGINATED BY THE NEW 41 GAGE BACKED YORK STATE HOUSING FINANCE AGENCY OR IN OBLIGATIONS OF PUBLIC AUTHORI-42 43 TIES CREATED UNDER STATE LAW, provided such obligations shall be payable 44 redeemable at the option of the owner within such times as the or 45 proceeds may be needed to meet expenditures estimated to be incurred by 46 the agency.

47 S 5. Subdivision 8 of section 44 of the private housing finance law is 48 REPEALED and a new subdivision 8 is added to read as follows:

49 8. TO INVEST ANY FUNDS OR OTHER MONEYS UNDER ITS CUSTODY AND CONTROL 50 IN INVESTMENT SECURITIES OR UNDER ANY ANCILLARY BOND FACILITY.

51 S 6. Section 42 of the private housing finance law is amended by 52 adding two new subdivisions 26 and 27 to read as follows:

53 26. "INVESTMENT SECURITIES" SHALL MEAN, SUBJECT TO OR, AS OTHERWISE 54 PROVIDED IN, THE PROVISIONS OF ANY CONTRACT WITH BONDHOLDERS OF THE 55 AGENCY: (I) GENERAL OBLIGATIONS OF, OR OBLIGATIONS GUARANTEED BY, ANY 56 STATE OF THE UNITED STATES OF AMERICA OR POLITICAL SUBDIVISION THEREOF,

DISTRICT OF COLUMBIA, OR ANY AGENCY OR INSTRUMENTALITY THEREOF 1 THE 2 RECEIVING ONE OF THE THREE HIGHEST LONG-TERM UNSECURED DEBT RATING CATE-3 GORIES AVAILABLE FOR SUCH SECURITIES OF AT LEAST ONE INDEPENDENT RATING 4 AGENCY; OR (II) CERTIFICATES OF DEPOSIT, SAVINGS ACCOUNTS, TIME DEPOSITS 5 OTHER OBLIGATIONS OR ACCOUNTS OF BANKS OR TRUST COMPANIES IN THE OR 6 STATE, SECURED, IF THE AGENCY SHALL SO REQUIRE, IN SUCH MANNER AS THE 7 AGENCY MAY SO DETERMINE; OR (III) OTHERWISE, IN THE DISCRETION OF THE 8 AGENCY, OBLIGATIONS IN WHICH THE COMPTROLLER IS AUTHORIZED TO INVEST, 9 PURSUANT TO EITHER SECTION NINETY-EIGHT OR NINETY-EIGHT-A OF THE STATE 10 FINANCE LAW.

11 27. "ANCILLARY BOND FACILITY" SHALL MEAN ANY INTEREST RATE EXCHANGE OR 12 SIMILAR AGREEMENT OR ANY BOND INSURANCE POLICY, LETTER OF CREDIT OR 13 FACILITY, LIQUIDITY FACILITY, OTHER CREDIT ENHANCEMENT **GUARANTEED** 14 INVESTMENT OR REINVESTMENT AGREEMENT, OR OTHER SIMILAR AGREEMENT, 15 ARRANGEMENT OR CONTRACT.

S 7. This act shall take effect immediately.

17

16

PART M

18 Section 1. Notwithstanding any other provision of law, and provided 19 that the reserves in the project pool insurance account of the mortgage 20 insurance fund created pursuant to section 2429-b of the public authori-21 law are sufficient to attain and maintain the credit rating (as ties 22 determined by the agency) required to accomplish the purposes of such 23 account, the board of directors of the state of New York mortgage agency shall authorize the transfer from the project pool insurance account of 24 25 the mortgage insurance fund to the state treasury for deposit in the 26 general fund a total sum not to exceed one hundred million dollars as 27 soon as practicable but no later than March 31, 2014.

S 2. Notwithstanding any other provision of law, the housing trust fund corporation (the corporation) may provide, for purposes of the 28 29 30 community preservation program, a sum not to exceed twelve million eighteen thousand dollars for the fiscal year ending March 31, 31 2014. Notwithstanding any other provision of law, and provided that the reserves in the project pool insurance account of the mortgage insurance 32 33 fund created pursuant to section 2429-b of the public authorities 34 law 35 are sufficient to attain and maintain the credit rating (as determined 36 by the agency) required to accomplish the purposes of such account, the 37 board of directors of the state of New York mortgage agency shall 38 authorize the transfer from the project pool insurance account of the 39 mortgage insurance fund to the housing trust fund corporation (the corporation), for the purposes of reimbursing any costs associated with 40 41 community preservation program contracts authorized by this section, a total sum not to exceed twelve million eighteen thousand dollars as soon 42 43 as practicable but no later than June 30, 2013.

S 3. Notwithstanding any other provision of law, the housing trust 44 45 fund corporation (the corporation) may provide, for purposes of the rural rental assistance program, a sum not to exceed twenty million four 46 hundred thousand dollars for the fiscal year ending March 31, 47 2014. 48 Notwithstanding any other provision of law, and provided that the 49 reserves in the project pool insurance account of the mortgage insurance fund created pursuant to section 2429-b of the public authorities 50 law are sufficient to attain and maintain the credit rating (as determined 51 52 by the agency) required to accomplish the purposes of such account, the 53 board of directors of the state of New York mortgage agency shall 54 authorize the transfer from the project pool insurance account of the 1 mortgage insurance fund to the housing trust fund corporation (the 2 corporation), for the purposes of reimbursing any costs associated with 3 rural rental assistance program contracts authorized by this section, a 4 total sum not to exceed twenty million four hundred thousand dollars as 5 soon as practicable but no later than June 30, 2013. 6 S 4. Notwithstanding any other provision of law, the housing finance

6 7 agency may provide, for costs associated with the rehabilitation of 8 Mitchell Lama housing projects, a sum not to exceed seventeen million five hundred eighty-two thousand dollars for the fiscal year ending 9 10 March 31, 2014. Notwithstanding any other provision of law, and provided that the reserves in the project pool insurance account of the mortgage 11 insurance fund created pursuant to section 2429-b of the public authori-12 13 law are sufficient to attain and maintain the credit rating (as ties 14 determined by the agency) required to accomplish the purposes of such 15 account, the board of directors of the state of New York mortgage agency 16 shall authorize the transfer from the project pool insurance account of 17 the mortgage insurance fund to the housing finance agency, for the 18 purposes of reimbursing any costs associated with Mitchell Lama housing 19 projects authorized by this section, a total sum not to exceed seventeen million five hundred eighty-two thousand dollars as soon as practicable 20 21 but no later than March 30, 2014.

22

S 5. This act shall take effect immediately.

23

PART N

24 Section 1. Section 21 of the labor law is amended by adding a new 25 subdivision 14 to read as follows:

26 14. SHALL DO ALL THINGS NECESSARY FOR THE OPERATION OF THE NEW YORK 27 STATE DATA CENTER ESTABLISHED IN THE DEPARTMENT IN COOPERATION WITH THE UNITED STATES BUREAU OF THE CENSUS; TO COOPERATE WITH OTHER STATE AGEN-28 29 CIES, UNIVERSITIES, REGIONAL ORGANIZATIONS, BOARDS, COMMISSIONS, AND 30 OTHER ENTITIES IN THE DISSEMINATION OF SOCIO-ECONOMIC INFORMATION AND 31 DATA THROUGH THE NEW YORK STATE DATA CENTER PROGRAM; IN RELATION TO SUCH AND DATA, TO PROVIDE TECHNICAL ASSISTANCE TO OTHER STATE 32 INFORMATION AGENCIES, UNIVERSITIES, REGIONAL ORGANIZATIONS, BOARDS, COMMISSIONS 33 AND OTHER ENTITIES; AND TO PREPARE ESTIMATES AND THE OFFICIAL PROJECTIONS OF 34 35 POPULATION, HOUSEHOLDS AND OTHER CHARACTERISTICS OF THE STATE FOR USE BY 36 ALL STATE AGENCIES.

37 S 2. Subdivision 17 of section 100 of the economic development law is 38 REPEALED.

- 39 S 3. This act shall take effect immediately.
- 40

PART O

41 Section 1. Paragraph (a) of subdivision 1 of section 518 of the labor 42 law, as amended by chapter 589 of the laws of 1998, is amended to read 43 as follows:

44 (a) "Wages" means all remuneration paid, except that such term does 45 not include remuneration paid to an employee by an employer after eight thousand five hundred dollars have been paid to such employee by 46 such 47 with respect to employment during any calendar year, EXCEPT employer THAT SUCH TERM DOES NOT INCLUDE REMUNERATION PAID TO AN EMPLOYEE 48 ΒY AN EMPLOYER WITH RESPECT TO EMPLOYMENT DURING ANY CALENDAR YEAR BEGINNING 49 50 WITH THE FIRST DAY OF

51 52

JANUARY	2014

THAT EXCEEDS \$10,300

1		JAN	UARY	2015		\$2	10,500	
2		JAN	UARY	2016		\$1	10,700	
3		JAN	UARY	2017		\$2	10,900	
4		JAN	UARY	2018		\$2	11,100	
5		JAN	UARY	2019		\$2	11,400	
6		JAN	UARY	2020		\$2	11,600	
7		JAN	UARY	2021		\$1	11,800	
8		JAN	UARY	2022		\$1	12,000	
9		2023		\$1	12,300			
10		JAN	UARY	2024		\$1	12,500	
11		JAN	UARY	2025		\$1	12,800	
12		JAN	UARY	2026		\$1	13,000	
1 2	E A CIT		TTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTTT		ONT			

AND EACH YEAR THEREAFTER ON THE FIRST DAY OF JANUARY THAT EXCEEDS 13 14 SIXTEEN PERCENT OF THE STATE'S AVERAGE ANNUAL WAGE AS DETERMINED BY THE 15 COMMISSIONER ON AN ANNUAL BASIS PURSUANT TO SECTION FIVE HUNDRED TWEN-TY-NINE OF THIS ARTICLE; PROVIDED, HOWEVER, THAT 16 IN CALCULATING SUCH 17 MAXIMUM AMOUNT OF REMUNERATION, THE AMOUNT ARRIVED AT BY MULTIPLYING THE 18 STATE'S AVERAGE ANNUAL WAGE TIMES SIXTEEN PERCENT SHALL BE ROUNDED UP TO 19 THE NEAREST HUNDRED DOLLARS. IN NO EVENT SHALL THE STATE'S ANNUAL AVER-AGE WAGE BE REDUCED FROM THE AMOUNT DETERMINED IN THE PREVIOUS YEAR. The 20 21 term "employment" includes for the purposes of this subdivision services 22 constituting employment under any unemployment compensation law of 23 another state or the United States.

S 2. Subdivision 1 and paragraph (a) of subdivision 2 of section 527 of the labor law, subdivision 1 as amended by chapter 413 of the laws of 26 2003 and paragraph (a) of subdivision 2 as amended by chapter 5 of the 27 laws of 2000, are amended to read as follows:

28 "Valid original claim" is a claim filed by a 1. Basic condition. 29 claimant who meets the following qualifications: (a) is able to work, 30 available for work; (b) is not subject to any disqualification or and suspension under this article; (c) his OR HER previously established 31 32 benefit year, if any, has expired; (d) has been paid remuneration by 33 employers liable for contributions or for payments in lieu of contrib-34 utions under this article, other than employers from whom the claimant 35 conditions which would be disqualifying lost employment under FOR 36 [subdivision] SUBDIVISIONS MISCONDUCT pursuant to three AND SIX of 37 section five hundred ninety-three of this article, for employment during 38 at least two calendar quarters of the base period, with remuneration of 39 one and one-half times the high calendar quarter [earnings] REMUNERATION 40 within the base period and with at least [one] TWO thousand [six] ONE hundred dollars of such remuneration being paid during the high calendar 41 42 quarter of such base period. For purposes of this section, the [earn-43 REMUNERATION in the high calendar quarter of the base period used ings] 44 in determining a valid original claim shall not exceed an amount equal 45 to twenty-two times the maximum benefit rate as set forth in subdivision 46 five of section five hundred ninety of this article for all individuals. 47 individual who is unable to file a valid original claim in (a) An 48 accordance with subdivision one of this section, files a valid original claim by meeting the qualifications enumerated in paragraphs (a), (b) 49 50 and (c) of subdivision one of this section and by having been paid 51 remuneration by employers liable for contributions or for payments in lieu of contributions under this article, other than employers from whom 52 53 WOULD BE the claimant lost employment under conditions which [are] 54 disqualifying FOR MISCONDUCT pursuant to [subdivision] SUBDIVISIONS 55 three AND SIX of section five hundred ninety-three of this article, for 56 employment during at least two calendar quarters of the base period,

with remuneration of one and one-half times the high calendar quarter 1 2 [earnings] REMUNERATION within the base period and with at least [one] 3 TWO thousand [six] ONE hundred dollars of such remuneration being paid 4 during the high calendar quarter of such base period. For purposes of this section, the [earnings] REMUNERATION in the high calendar quarter 5 6 the base period used in determining a valid original claim shall not of 7 exceed an amount equal to twenty-two times the maximum benefit rate as 8 forth in subdivision five of section five hundred ninety of this set article for all individuals. 9 10 S 3. The labor law is amended by adding a new section 529 to read as 11 follows: S 529. AVERAGE ANNUAL WAGE; AVERAGE WEEKLY WAGE. 1. THE "AVERAGE ANNU-12 13 SHALL BE THE AVERAGE ANNUAL WAGE OF THE STATE OF NEW YORK FOR AL WAGE" 14 THE PREVIOUS CALENDAR YEAR AS DETERMINED BY THE COMMISSIONER NO LATER 15 THAN THE THIRTY-FIRST DAY OF MAY OF EACH YEAR. 16 THE"AVERAGE WEEKLY WAGE" SHALL BE THE AVERAGE WEEKLY WAGE OF THE 2. 17 STATE OF NEW YORK FOR THE PREVIOUS CALENDAR YEAR AS DETERMINED ΒY THE COMMISSIONER NO LATER THAN THE THIRTY-FIRST DAY OF MAY OF EACH YEAR. 18 19 4. Subdivisions 1 and 3 of section 576 of the labor law, as amended S by chapter 49 of the laws of 1966, are amended to read as follows: 20 21 1. Determinations of liability for contributions. No determination of 22 liability for contributions pursuant to section five hundred sixty of 23 this article shall be made more than three years after the last day of the calendar year in which the wages on which such liability is based 24 25 were paid, EXCEPT AS PROVIDED IN SUBDIVISION THREE OF THIS SECTION. 26 3. Determinations of LIABILITY FOR AND amount of contributions after 27 contest. If an employer contests a determination of liability for contributions, 28 a determination of the amount of contributions due FOR 29 THE CONTESTED PERIOD AND SUBSEQUENT PERIODS may be made at any time prior to the latter of the following: 30 three years after the last day of the calendar year in which the 31 (a) 32 wages on which such contributions are based were paid; or 33 (b) two years after the last day of the calendar year in which such 34 determination of liability for contributions became final and irrev-35 ocable. S 5. Paragraph (a) of subdivision 1 of section 577 of the labor law is 36 37 amended by adding a new subparagraph 9 to read as follows: (9) MONIES PURSUANT TO SECTION FIVE HUNDRED NINETY-FOUR OF THIS TITLE. 38 39 S 6. Subparagraph 3 of paragraph (e) of subdivision 1 of section 581 40 the labor law, as amended by chapter 589 of the laws of 1998, is of amended to read as follows: 41 An employer's account shall not be charged, and the charges shall 42 (3) instead be made to the general account, for benefits paid to a claimant 43 44 after the expiration of a period of disqualification from benefits 45 following a final determination that the claimant lost employment with the employer through misconduct or voluntary separation of employment 46 47 without good cause within the meaning of section five hundred ninety-48 three of this article and the charges are attributable to remuneration 49 paid during the claimant's base period of employment with such employer 50 prior to the claimant's loss of employment with such employer through 51 misconduct or voluntary separation of employment without good cause, PROVIDED, HOWEVER, THAT AN EMPLOYER SHALL NOT BE RELIEVED OF 52 CHARGES SUBPARAGRAPH IF AN EMPLOYER OR ITS AGENT FAILS TO 53 PURSUANT TO THIS 54 SUBMIT INFORMATION RESULTING IN AN OVERPAYMENT PURSUANT TO SECTION FIVE 55 HUNDRED NINETY-SEVEN OF THIS ARTICLE.

Paragraph (a) of subdivision 2 of section 581 of the labor law, 1 S 7. 2 as added by chapter 413 of the laws of 2003, is amended to read as 3 follows: 4 (a) Each qualified employer's rate of contribution shall be the percentage shown in the column headed by the size of the fund index as of the computation date and on the same line with his or her negative or 5 6 7 positive employer's account percentage, except that if within the three 8 payroll years preceding the computation date any part of a negative 9 balance has been transferred from any employer's account as a charge to 10 the general account pursuant to the provisions of paragraph (e) of subdivision one of this section such employer's rate of contribution 11 shall be the maximum contribution rate as shown in the column headed by 12 the size of fund index; 13 14 Size of Fund Index 15 Employer's 16 Account 17 0.5% 1.0% 1.5% 2.0% 2.5% 3.0% 3.5% 4.0% 4.5% 5.0% Percentage Less 0% 18 Than but but but but but but but but but or 19 0% 20 than than than than than than than than 21 0.5% 1.0% 1.5% 2.0% 2.5% 3.0% 3.5% 4.0% 4.5% 5.0% 22 Negative 21.0% 23 8.90 8.70 8.50 8.30 8.10 7.30 6.90 6.50 6.20 6.10 6.00 5.90 24 or more 25 20.5% 26 or more 27 but less 8.80 8.60 8.40 8.20 8.00 7.20 6.80 6.40 6.10 6.00 5.90 5.80 28 than 21.0% 29 20.0% 30 or more 31 but less 32 than 20.5% 8.70 8.50 8.30 8.10 7.90 7.10 6.70 6.30 6.00 5.90 5.80 5.70 33 19.5% or more 34 35 but less 36 than 20.0% 8.60 8.40 8.20 8.00 7.80 7.00 6.60 6.20 5.90 5.80 5.70 5.60 37 19.0% 38 or more 39 but less 40 than 19.5% 8.50 8.30 8.10 7.90 7.70 6.90 6.50 6.10 5.80 5.70 5.60 5.50 41 18.5% 42 or more 43 but less 44 than 19.0% 8.40 8.20 8.00 7.80 7.60 6.80 6.40 6.00 5.70 5.60 5.50 5.40 18.0% 45 46 or more 47 but less 48 than 18.5% 8.30 8.10 7.90 7.70 7.50 6.70 6.30 5.90 5.60 5.50 5.40 5.30 49 17.5% 50 or more 51 but less 52 than 18.0% 8.20 8.00 7.80 7.60 7.40 6.60 6.20 5.80 5.50 5.40 5.30 5.20

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or more but 1 2 less than 3 11.5% 4 11.5% 5 or more but 6 less than 7 11.75% 8 11.75% 9 or more but 10 less than 11 12.0% 12 12.0% or 13 more 14 S 8. Subdivision 5 of section 590 of the labor law, as amended by 15 chapter 413 of the laws of 2003, is amended to read as follows: 16 5. Benefit rate. (A) A claimant's weekly benefit amount shall be one 17 twenty-sixth of the remuneration paid during the highest calendar quarter of the base period by employers, liable for contributions 18 or 19 lieu of contributions under this article, PROVIDED THE payments in 20 CLAIMANT HAS REMUNERATION PAID IN ALL FOUR CALENDAR QUARTERS DURING HIS PERIOD OR ALTERNATE BASE PERIOD. However, for [claimants] 21 HER BASE OR 22 ANY CLAIMANT WHO HAS REMUNERATION PAID IN ALL FOUR CALENDAR OUARTERS 23 DURING HIS OR HER BASE PERIOD OR ALTERNATE BASE PERIOD AND whose high calendar quarter remuneration during the base period is three thousand 24 25 hundred seventy-five dollars or less, the benefit amount shall be five 26 one twenty-fifth of the remuneration paid during the highest calendar 27 quarter of the base period by employers liable for contributions or payments in lieu of contributions under this article. A CLAIMANT'S WEEK-28 29 LY BENEFIT SHALL BE ONE TWENTY-SIXTH OF THE AVERAGE REMUNERATION PAID IN 30 THE TWO HIGHEST QUARTERS PAID DURING THE BASE PERIOD OR ALTERNATE BASE 31 EMPLOYERS LIABLE FOR CONTRIBUTIONS OR PAYMENTS IN LIEU OF PERIOD BY 32 CONTRIBUTIONS UNDER THIS ARTICLE WHEN THE CLAIMANT HAS REMUNERATION PAID 33 IN TWO OR THREE CALENDAR QUARTERS. HOWEVER, FOR ANY CLAIMANT WHO HAS 34 REMUNERATION PAID IN TWO OR THREE CALENDAR QUARTERS DURING HIS OR HER 35 BASE PERIOD OR ALTERNATE BASE PERIOD AND WHOSE HIGH CALENDAR OUARTER BASE PERIOD IS 36 REMUNERATION DURING THE THREE THOUSAND FIVE HUNDRED 37 SEVENTY-FIVE DOLLARS OR LESS, THE BENEFIT AMOUNT SHALL ΒE ONE 38 TWENTY-FIFTH OF THE REMUNERATION PAID DURING THE HIGHEST CALENDAR QUAR-39 TER OF THE BASE PERIOD BY EMPLOYERS LIABLE FOR CONTRIBUTIONS OR PAYMENTS 40 IN LIEU OF CONTRIBUTIONS UNDER THIS ARTICLE. Any claimant whose high calendar quarter remuneration during the base period is more than three 41 thousand five hundred seventy-five dollars shall not have a weekly bene-42 43 fit amount less than one hundred forty-three dollars. The weekly benefit 44 amount, so computed, that is not a multiple of one dollar shall be 45 lowered to the next multiple of one dollar. On the first Monday of September, nineteen hundred ninety-eight the weekly benefit amount shall 46 47 not exceed three hundred sixty-five dollars nor be less than forty the first Monday of September, two thousand, at which 48 dollars, until 49 time the maximum benefit payable pursuant to this subdivision shall 50 equal one-half of the state average weekly wage for covered employment 51 as calculated by the department no sooner than July first, two thousand and no later than August first, two thousand, rounded down to the lowest 52 dollar. ON AND AFTER THE FIRST MONDAY OF OCTOBER, TWO THOUSAND FOURTEEN, 53 54 THE WEEKLY BENEFIT SHALL NOT BE LESS THAN ONE HUNDRED DOLLARS, NOR SHALL 55 IT EXCEED FOUR HUNDRED TWENTY DOLLARS UNTIL THE FIRST MONDAY OF OCTOBER, THE MAXIMUM BENEFIT AMOUNT SHALL BE FOUR 56 TWO THOUSAND FIFTEEN WHEN

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HUNDRED TWENTY-FIVE DOLLARS, UNTIL THE FIRST MONDAY OF OCTOBER, 1 TWO 2 THOUSAND SIXTEEN WHEN THE MAXIMUM BENEFIT AMOUNT SHALL BE FOUR HUNDRED 3 THIRTY DOLLARS, UNTIL THE FIRST MONDAY OF OCTOBER, TWO THOUSAND SEVEN-4 TEEN WHEN THE MAXIMUM BENEFIT AMOUNT SHALL BE FOUR HUNDRED THIRTY-FIVE 5 DOLLARS, UNTIL THE FIRST MONDAY OF OCTOBER, TWO THOUSAND EIGHTEEN WHEN 6 MAXIMUM BENEFIT AMOUNT SHALL BE FOUR HUNDRED FIFTY DOLLARS, UNTIL THE 7 THE FIRST MONDAY OF OCTOBER, TWO THOUSAND NINETEEN WHEN THE MAXIMUM 8 BENEFIT AMOUNT SHALL BE THIRTY-SIX PERCENT OF THE AVERAGE WEEKLY WAGE UNTIL THE FIRST MONDAY OF OCTOBER, TWO THOUSAND TWENTY WHEN THE MAXIMUM 9 10 BENEFIT AMOUNT SHALL BE THIRTY-EIGHT PERCENT OF THE AVERAGE WEEKLY WAGE, 11 UNTIL THE FIRST MONDAY OF OCTOBER TWO THOUSAND TWENTY-ONE WHEN THE MAXI-MUM BENEFIT AMOUNT SHALL BE FORTY PERCENT OF THE AVERAGE WEEKLY WAGE, 12 UNTIL THE FIRST MONDAY OF OCTOBER, TWO THOUSAND TWENTY-TWO WHEN 13 THE 14 MAXIMUM BENEFIT AMOUNT SHALL BE FORTY-TWO PERCENT OF THE AVERAGE WEEKLY 15 WAGE, UNTIL THE FIRST MONDAY OF OCTOBER, TWO THOUSAND TWENTY-THREE WHEN 16 MAXIMUM BENEFIT AMOUNT SHALL BE FORTY-FOUR PERCENT OF THE AVERAGE THE WEEKLY WAGE, UNTIL THE FIRST MONDAY OF OCTOBER, TWO THOUSAND TWENTY-FOUR 17 WHEN THE MAXIMUM BENEFIT AMOUNT SHALL BE FORTY-SIX PERCENT OF THE AVER-18 19 AGE WEEKLY WAGE, UNTIL THE FIRST MONDAY OF OCTOBER, TWO THOUSAND TWEN-20 TY-FIVE WHEN THE MAXIMUM BENEFIT AMOUNT SHALL BE FORTY-EIGHT PERCENT OF 21 THE AVERAGE WEEKLY WAGE, UNTIL THE FIRST MONDAY OF OCTOBER, TWO THOUSAND 22 TWENTY-SIX AND EACH YEAR THEREAFTER ON THE FIRST MONDAY OF OCTOBER WHEN 23 THE MAXIMUM BENEFIT AMOUNT SHALL BE FIFTY PERCENT OF THE AVERAGE WEEKLY WAGE PROVIDED, HOWEVER, THAT IN NO EVENT SHALL THE MAXIMUM BENEFIT 24 25 AMOUNT BE REDUCED FROM THE PREVIOUS YEAR.

26 (B) NOTWITHSTANDING THE FOREGOING, THE MAXIMUM BENEFIT AMOUNT SHALL BE INCREASED IN ACCORDANCE WITH THE SCHEDULE SET FORTH IN PARAGRAPH 27 NOT 28 (A) OF THIS SUBDIVISION IN ANY YEAR IN WHICH THE COMMISSIONER DETERMINES THAT THE STATE HAS HAD A DECREASE IN PRIVATE SECTOR JOBS IN EACH MONTH 29 THE FIRST TWO CALENDAR QUARTERS OF THE YEAR IN WHICH THE MAXIMUM 30 OF BENEFIT AMOUNT INCREASE IS SCHEDULED TO OCCUR. IF THE COMMISSIONER 31 32 DETERMINES THAT THE STATE HAS NOT HAD A DECREASE IN PRIVATE SECTOR JOBS 33 IN EACH MONTH IN THE FIRST TWO CALENDAR QUARTERS IN YEARS SUBSEQUENT TO SUSPENSION OF AN INCREASE IN THE MAXIMUM BENEFIT AMOUNT, THEN THE 34 SUCH 35 MAXIMUM BENEFIT AMOUNT SHALL INCREASE TO THE AMOUNT FOR THE YEAR PREVI-OUSLY SCHEDULED TO BE ESTABLISHED PURSUANT TO PARAGRAPH (A) OF THIS 36 SUBDIVISION HAD THE INCREASE NOT BEEN SUSPENDED AND INCREASED ANNUALLY 37 38 THEREAFTER IN ACCORDANCE WITH THE SCHEDULE SET FORTH IN PARAGRAPH (A) OF 39 THIS SUBDIVISION. IN NO CASE SHALL SUCH SUSPENSION RESULT IN A REDUCTION 40 THE MAXIMUM BENEFIT AMOUNT TO LESS THAN THE AMOUNT PROVIDED IN THE OF 41 MOST RECENT YEAR.

42 S 9. Paragraph (b) of subdivision 5 of section 590 of the labor law, 43 as added by section eight of this act, is REPEALED and a new paragraph 44 (b) is added to read as follows:

(B) NOTWITHSTANDING THE FOREGOING, THE MAXIMUM BENEFIT AMOUNT SHALL 45 BE INCREASED IN ACCORDANCE WITH THE SCHEDULE SET FORTH IN PARAGRAPH 46 NOT 47 (A) OF THIS SUBDIVISION IN ANY YEAR IN WHICH THE BALANCE OF THE FUND ON 48 THE THIRTY-FIRST DAY OF DECEMBER OF THE PRIOR YEAR IS LESS THAN AN 49 AMOUNT OF THE FUNDS PROJECTED TO BE NEEDED TO PAY FOR THE INCREASE IN 50 BENEFITS AS DETERMINED BY THE COMMISSIONER. IF FUND REVENUES ARE DETER-51 MINED BY THE COMMISSIONER TO BE SUFFICIENT TO PAY FOR THE INCREASE IN IN YEARS SUBSEQUENT TO SUCH SUSPENSION OF AN INCREASE IN THE 52 BENEFITS MAXIMUM BENEFIT AMOUNT, THEN THE MAXIMUM BENEFIT AMOUNT SHALL INCREASE 53 54 TO THE AMOUNT FOR THE YEAR PREVIOUSLY SCHEDULED TO BE ESTABLISHED PURSU-55 TO PARAGRAPH (A) OF THIS SUBDIVISION HAD THE INCREASE NOT BEEN ANT SUSPENDED AND INCREASED ANNUALLY THEREAFTER IN ACCORDANCE WITH THE SCHE-56

1 DULE SET FORTH IN PARAGRAPH (A) OF THIS SUBDIVISION. IN NO CASE SHALL 2 SUCH SUSPENSION RESULT IN A REDUCTION OF THE MAXIMUM BENEFIT AMOUNT TO 3 LESS THAN THE AMOUNT PROVIDED IN THE MOST RECENT YEAR.

S 10. Paragraph (b) of subdivision 5 of section 590 of the labor law, 5 as added by section nine of this act is REPEALED and a new paragraph (b) 6 is added to read as follows:

7 (B) NOTWITHSTANDING THE FOREGOING, THE MAXIMUM BENEFIT AMOUNT SHALL BE INCREASED IN ACCORDANCE WITH THE SCHEDULE SET FORTH IN PARAGRAPH 8 NOT 9 (A) OF THIS SUBDIVISION IN ANY YEAR IN WHICH THE BALANCE OF THE FUND IS 10 DETERMINED BY THE COMMISSIONER TO NOT HAVE REACHED OR EXCEEDED THIRTY PERCENT OF THE AVERAGE HIGH COST MULTIPLE, AS DEFINED IN 20 CFR PART 606 11 AS THE STANDARD FOR RECEIPT OF INTEREST-FREE FEDERAL LOANS, ON AT 12 LEAST ONE DAY BETWEEN APRIL FIRST AND JUNE THIRTIETH OF THE SAME CALENDAR YEAR 13 14 AS THE INCREASE SHALL TAKE EFFECT. IF, FOLLOWING SUCH SUSPENSION OF AN 15 INCREASE IN THE MAXIMUM BENEFIT AMOUNT, THE COMMISSIONER SHALL DETER-16 MINE, ON AT LEAST ONE DAY BETWEEN APRIL FIRST AND JUNE THIRTIETH THAT THE BALANCE OF THE FUND IS GREATER THAN SUCH THIRTY PERCENT AVERAGE HIGH 17 COST MULTIPLE, THEN THE MAXIMUM BENEFIT AMOUNT SHALL 18 INCREASE ΤO THE 19 PERCENTAGE FOR THE YEAR PREVIOUSLY SCHEDULED TO BE ESTABLISHED PURSUANT 20 TO PARAGRAPH (A) OF THIS SUBDIVISION HAD THE INCREASE NOT BEEN SUSPENDED 21 AND INCREASED ANNUALLY THEREAFTER IN ACCORDANCE WITH THE SCHEDULE SET 22 FORTH IN PARAGRAPH (A) OF THIS SUBDIVISION. IN NO CASE SHALL SUCH SUSPENSION RESULT IN A REDUCTION OF THE MAXIMUM BENEFIT AMOUNT 23 TO LESS 24 THAN THE AMOUNT PROVIDED IN THE MOST RECENT YEAR.

25 S 11. Subdivision 9 of section 590 of the labor law is amended by 26 adding a new paragraph (d) to read as follows:

(D) AN ALIEN WHO IS NOT ELIGIBLE UNDER 8 USC 1621(A) SHALL BE ELIGIBLE
FOR BENEFITS, PROVIDED SUCH ALIEN IS ELIGIBLE FOR BENEFITS UNDER THE
PROVISIONS OF THIS ARTICLE AND SECTION 3304 (A) (14) OF THE FEDERAL
UNEMPLOYMENT TAX ACT.

31 S 12. Subdivision 2 of section 591 of the labor law, as amended by 32 chapter 720 of the laws of 1953, is amended to read as follows:

2. Availability [and], capability, AND WORK SEARCH. No benefits shall 33 be payable to any claimant who is not capable of work or who is not 34 ready, willing and able to work in his usual employment or in any other 35 for which he is reasonably fitted by training and experience AND WHO IS 36 NOT ACTIVELY SEEKING WORK. IN ORDER TO BE ACTIVELY SEEKING 37 WORK Α 38 CLAIMANT MUST BE ENGAGED IN SYSTEMATIC AND SUSTAINED EFFORTS TO FIND 39 WORK WHICH SHALL INCLUDE CONTACTING AT LEAST TWO PROSPECTIVE EMPLOYERS 40 FOR EACH WEEK CLAIMED. THE CLAIMANT MUST ALSO BE ENGAGED IN OTHER ACTIV-ITIES TO OBTAIN NEW WORK AS DETERMINED BY THE COMMISSIONER. THE CLAIMANT 41 SHALL BE REQUIRED TO MAINTAIN DOCUMENTATION AND PROVIDE PROOF OF WORK 42 43 SEARCH EFFORTS AS PRESCRIBED BY THE COMMISSIONER AND SHALL BE SUBJECT TO 44 A RANDOM AUDIT.

45 S 13. Section 591 of the labor law is amended by adding a new subdivi-46 sion 6 to read as follows:

47 6. DISMISSAL PAY. (A) NO BENEFITS SHALL BE PAYABLE TO A CLAIMANT FOR 48 ANY WEEK DURING A DISMISSAL PERIOD FOR WHICH A CLAIMANT RECEIVES DISMISSAL PAY, NOR SHALL ANY DAY WITHIN SUCH WEEK BE CONSIDERED A DAY OF 49 50 TOTAL UNEMPLOYMENT UNDER SECTION FIVE HUNDRED TWENTY-TWO OF THIS ARTI-51 SUCH WEEKLY DISMISSAL PAY EXCEEDS THE MAXIMUM WEEKLY BENEFIT CLE, IF 52 RATE.

(B) THE TERM "DISMISSAL PAY", AS USED IN THIS SUBDIVISION, MEANS ONE
OR MORE PAYMENTS MADE BY AN EMPLOYER TO AN EMPLOYEE DUE TO HIS OR HER
SEPARATION FROM SERVICE OF THE EMPLOYER REGARDLESS OF WHETHER THE
EMPLOYER IS LEGALLY BOUND BY CONTRACT, STATUTE OR OTHERWISE TO MAKE SUCH

1 PAYMENTS. THE TERM DOES NOT INCLUDE PAYMENTS FOR PENSION, RETIREMENT, 2 ACCRUED LEAVE, AND HEALTH INSURANCE OR PAYMENTS FOR SUPPLEMENTAL UNEM-3 PLOYMENT BENEFITS.

4 (C) THE TERM "DISMISSAL PERIOD", AS USED IN THIS SUBDIVISION, MEANS 5 THE TIME DESIGNATED FOR WEEKS OF DISMISSAL PAY ATTRIBUTABLE TO THE 6 WEEKLY EARNINGS IN ACCORDANCE WITH THE COLLECTIVE BARGAINING CLAIMANT'S 7 AGREEMENT, EMPLOYMENT CONTRACT, EMPLOYER'S DISMISSAL POLICY, DISMISSAL 8 AGREEMENT WITH THE EMPLOYER OR OTHER SUCH AGREEMENT. IF NO SUCH AGREE-MENT, CONTRACT OR POLICY DESIGNATES A DISMISSAL PERIOD, 9 THEN THE 10 DISMISSAL PERIOD SHALL BE THE TIME DESIGNATED IN WRITING IN ADVANCE BY 11 THE EMPLOYER TO BE CONSIDERED THE DISMISSAL PERIOD. IF NO TIME PERIOD IS 12 DESIGNATED, THE DISMISSAL PERIOD SHALL COMMENCE ON THE DAY AFTER THE CLAIMANT'S LAST DAY OF EMPLOYMENT. IF THE DISMISSAL PAYMENT IS IN A LUMP 13 14 AMOUNT OR FOR AN INDEFINITE PERIOD, DISMISSAL PAYMENTS SHALL BE SUM 15 ALLOCATED ON A WEEKLY BASIS FROM THE DAY AFTER THE CLAIMANT'S LAST DAY 16 EMPLOYMENT AND THE CLAIMANT SHALL NOT BE ELIGIBLE FOR BENEFITS FOR OF 17 ANY WEEK FOR WHICH IT IS DETERMINED THAT THE CLAIMANT RECEIVES DISMISSAL PAY. THE AMOUNT OF DISMISSAL PAY SHALL BE ALLOCATED BASED ON THE 18 CLAIM-19 ANT'S ACTUAL WEEKLY REMUNERATION PAID BY THE EMPLOYER DURING HIS OR HER EMPLOYMENT OR, IF SUCH AMOUNT CANNOT BE DETERMINED, THE AMOUNT 20 THE OF 21 CLAIMANT'S AVERAGE WEEKLY WAGE FOR THE HIGHEST CALENDAR QUARTER.

22 (D) NOTWITHSTANDING THE FOREGOING, THE PROVISIONS OF THIS SUBDIVISION 23 SHALL NOT APPLY DURING ANY WEEKS IN WHICH THE INITIAL PAYMENT OF 24 DISMISSAL PAY IS MADE MORE THAN THIRTY DAYS FROM THE LAST DAY OF THE 25 CLAIMANT'S EMPLOYMENT.

S 14. Subparagraph (i) of paragraph (b) of subdivision 2 of section 591-a of the labor law, as added by chapter 413 of the laws of 2003, is amended to read as follows:

(i) requirements relating to total unemployment, as defined in section five hundred twenty-two of this article, availability for work AND SEARCH FOR WORK, as set forth in subdivision two of section five hundred ninety-one of this title and refusal to accept work, as set forth in subdivision two of section five hundred ninety-three of this title, are not applicable to such individuals;

S 15. Paragraph (a) of subdivision 1, the opening paragraph of subdivision 2 and subdivision 3 of section 593 of the labor law, paragraph (a) of subdivision 1 as amended by chapter 35 of the laws of 2009, the opening paragraph of subdivision 2 as amended by chapter 5 of the laws of 2000, and subdivision 3 as amended by chapter 589 of the laws of 1998, are amended and a new subdivision 6 is added to read as follows:

(a) No days of total unemployment shall be deemed to occur after a 41 claimant's voluntary separation without good cause from employment until 42 43 he or she has subsequently worked in employment and earned remuneration 44 at least equal to [five] TEN times his or her weekly benefit rate. In 45 addition to other circumstances that may be found to constitute good cause, including a compelling family reason as set forth in paragraph 46 47 of this subdivision, voluntary separation from employment shall not (b) 48 in itself disqualify a claimant if circumstances have developed in the 49 course of such employment that would have justified the claimant in 50 refusing such employment in the first instance under the terms of subdi-51 vision two of this section or if the claimant, pursuant to an option provided under a collective bargaining agreement or written employer 52 plan which permits waiver of his OR HER right to retain the employment 53 54 when there is a temporary layoff because of lack of work, has elected to 55 be separated for a temporary period and the employer has consented ther-56 eto.

No days of total unemployment shall be deemed to occur beginning with 1 2 the day on which a claimant, without good cause, refuses to accept an 3 offer of employment for which he OR SHE is reasonably fitted by training 4 and experience, including employment not subject to this article, until OR SHE has subsequently worked in employment and earned remuneration 5 he 6 at least equal to [five] TEN times his or her weekly benefit rate. 7 Except that claimants who are not subject to a recall date or who do not 8 obtain employment through a union hiring hall and who are still unem-9 ployed after receiving [thirteen] TEN weeks of benefits shall be 10 required to accept any employment proffered that such claimants are capable of performing, provided that such employment would result 11 in a wage not less than eighty percent of such claimant's high calendar quar-12 13 ter wages received in the base period and not substantially less than 14 the prevailing wage for similar work in the locality as provided for in 15 paragraph (d) of this subdivision. No refusal to accept employment shall be deemed without good cause nor shall it disqualify any claimant other-16 17 wise eligible to receive benefits if:

18 3. Misconduct. No days of total unemployment shall be deemed to occur 19 after a claimant lost employment through misconduct in connection with 20 his or her employment until he or she has subsequently worked in employ-21 ment and earned remuneration at least equal to [five] TEN times his or 22 her weekly benefit rate.

6. DETERMINATIONS AND HEARINGS. THE COMMISSIONER SHALL ISSUE A DETER-MINATION FOR ANY PROTEST THAT IS FILED BY ANY BASE PERIOD EMPLOYER WITH-IN THE TIME SPECIFIED IN THE NOTIFICATION OF POTENTIAL CHARGES BASED ON VOLUNTARY SEPARATIONS OR MISCONDUCT. AN EMPLOYER OR CLAIMANT MAY REQUEST A HEARING OF SUCH DETERMINATION PURSUANT TO SECTION SIX HUNDRED TWENTY OF THIS ARTICLE.

29 S 16. Section 594 of the labor law, as amended by chapter 728 of the 30 laws of 1952, and the opening paragraph as amended by chapter 139 of the 31 laws of 1968, are amended to read as follows:

S 594. Reduction AND RECOVERY of benefits AND PENALTIES for WILFUL 32 33 false statement OR OMISSION. A claimant who has wilfully made a false statement or representation OR WILFULLY CONCEALED ANY PERTINENT FACT 34 to 35 obtain any benefit under the provisions of this article shall forfeit benefits for at least the first four but not more than the first 36 eighty 37 effective days following discovery of such offense for which he OR SHE 38 otherwise would have been entitled to receive benefits. Such penalty 39 shall apply only once with respect to each such offense.

For the purpose of subdivision four of section five hundred ninety of this article, the claimant shall be deemed to have received benefits for such forfeited effective days.

The penalty provided in this section shall not be confined to a single benefit year but shall no longer apply in whole or in part after the expiration of two years from the date [on which the offense was committed] OF THE FINAL DETERMINATION. SUCH TWO-YEAR PERIOD SHALL BE TOLLED DURING THE TIME PERIOD A CLAIMANT HAS AN APPEAL PENDING.

48 A claimant shall refund all moneys received because of such false statement or representation [made by him] OR WILFUL CONCEALMENT AND 49 PAY 50 A CIVIL PENALTY IN AN AMOUNT EQUAL TO THE GREATER OF ONE HUNDRED DOLLARS 51 OR FIFTEEN PERCENT OF THE TOTAL OVERPAID BENEFITS DETERMINED PURSUANT TO 52 THIS SECTION. WHEN A DETERMINATION BASED UPON A WILFUL FALSE STATEMENT 53 OR REPRESENTATION OR BASED UPON THE WILFUL CONCEALMENT OF A PERTINENT 54 FACT IN CONNECTION WITH THE CLAIM FOR BENEFITS BECOMES FINAL THROUGH 55 EXHAUSTION OF APPEAL RIGHTS OR FAILURE TO EXHAUST HEARING RIGHTS, THE 56 THE COUNTY CLERK OF THE COUNTY WHERE THE COMMISSIONER MAY FILE WITH

CLAIMANT RESIDES THE FINAL DETERMINATION OF THE COMMISSIONER OR THE 1 AN ADMINISTRATIVE LAW JUDGE, THE APPEAL BOARD OR A FINAL DECISION BY 2 3 COURT CONTAINING THE AMOUNT FOUND TO BE DUE INCLUDING INTEREST AND CIVIL 4 PENALTY. THE FILING OF SUCH FINAL DETERMINATION OR DECISION SHALL HAVE 5 THE FULL FORCE AND EFFECT OF A JUDGMENT DULY DOCKETED IN THE OFFICE OF 6 THE FINAL DETERMINATION OR DECISION MAY BE ENFORCED BY AND SUCH CLERK. 7 IN THE SAME MANNER, AND WITH LIKE EFFECT AS IF IT WERE A DEFAULT AS SET 8 SECTION FIVE HUNDRED SEVENTY-THREE OF THIS ARTICLE. MONEYS FORTH IN RECEIVED BECAUSE OF SUCH FALSE STATEMENT OR REPRESENTATION OR WILFUL 9 10 CONCEALMENT, INCLUDING THE ACCRUAL OF INTEREST, MAY ALSO BE RECOVERED AS PRESCRIBED BY THE CIVIL PRACTICE LAW AND RULES FOR THE RECOVERY OF A 11 MONEY JUDGMENT OR THROUGH COMMON LAW OR STATUTORY RIGHTS OF 12 OFFSET OR ANY CRIMINAL PROSECUTION. THE PENALTIES COLLECTED HEREUNDER SHALL BE 13 14 DEPOSITED IN THE FUND. THE PENALTIES ASSESSED UNDER THIS SUBDIVISION SHALL APPLY AND BE ASSESSED FOR ANY BENEFITS PAID UNDER FEDERAL UNEM-15 PLOYMENT AND EXTENDED UNEMPLOYMENT PROGRAMS ADMINISTERED BY THE DEPART-16 THE SAME MANNER AS PROVIDED IN THIS ARTICLE. THE PENALTIES IN 17 MENT IN THIS SECTION SHALL BE IN ADDITION TO ANY PENALTIES IMPOSED UNDER 18 THIS 19 CHAPTER OR ANY STATE OR FEDERAL CRIMINAL STATUTE.

20 S 17. Section 596 of the labor law is amended by adding a new subdivi-21 sion 7 to read as follows:

7. NOTWITHSTANDING THE PROVISIONS OF SECTION FIVE HUNDRED NINETY-FIVE
OF THIS TITLE, THE COMMISSIONER SHALL DEDUCT AND WITHHOLD ANY OVERPAYMENTS ESTABLISHED UNDER THIS ARTICLE OR UNDER ANY STATE OR FEDERAL UNEMPLOYMENT COMPENSATION PROGRAM FROM BENEFITS PAYABLE TO AN INDIVIDUAL. NO
PENALTIES OR INTEREST ASSESSED PURSUANT TO SECTION FIVE HUNDRED NINETYFOUR OF THIS TITLE MAY BE DEDUCTED OR WITHHELD FROM BENEFITS.

28 S 18. Subdivision 2 of section 597 of the labor law is amended by 29 adding a new paragraph (d) to read as follows:

(D) NOTWITHSTANDING ANY PROVISIONS OF THIS ARTICLE, UNLESS A COMMIS-30 SIONER'S ERROR IS SHOWN OR THE FAILURE IS THE DIRECT RESULT OF A DISAS-31 32 EMERGENCY DECLARED BY THE GOVERNOR OR PRESIDENT, AN EMPLOYER'S TER 33 ACCOUNT SHALL NOT BE RELIEVED OF CHARGES RESULTING IN AN OVERPAYMENT OF BENEFITS WHEN THE COMMISSIONER DETERMINES THAT THE OVERPAYMENT WAS MADE 34 35 BECAUSE THE EMPLOYER OR THE AGENT OF THE EMPLOYER FAILED TO TIMELY OR ADEQUATELY RESPOND TO A REQUEST FOR INFORMATION IN THE NOTICE OF POTEN-36 TIAL CHARGES OR OTHER SUCH NOTICE REQUESTING INFORMATION IN RELATION 37 TO 38 A CLAIM UNDER THIS ARTICLE, PROVIDED, HOWEVER, THAT THE COMMISSIONER SHALL RELIEVE THE EMPLOYER OF CHARGES THE FIRST TIME THAT THE EMPLOYER 39 40 PROVIDE TIMELY OR ADEOUATE INFORMATION, IF THE EMPLOYER FAILS TO PROVIDES GOOD CAUSE FOR SUCH FAILURE AS DETERMINED BY THE COMMISSIONER. 41

42 "TIMELY" SHALL MEAN A RESPONSE IS PROVIDED IN THE TIME PERIOD SPECI-43 FIED IN THE NOTICE AS PRESCRIBED BY THE COMMISSIONER.

44 THE TERM "ADEQUATELY" SHALL MEAN THAT THE EMPLOYER OR ITS AGENT 45 SUBMITTED INFORMATION SUFFICIENT TO RENDER A CORRECT DETERMINATION.

46 THIS PROHIBITION FOR RELIEF OF CHARGES SHALL APPLY TO ALL EMPLOYERS 47 UNDER THIS ARTICLE INCLUDING EMPLOYERS ELECTING PAYMENT IN LIEU OF 48 CONTRIBUTIONS.

S 19. Section 600 of the labor law, as added by chapter 793 of the laws of 1963, subdivision 6 as amended by chapter 391 of the laws of 2005, subdivision 7 as added by chapter 362 of the laws of 1980, paragraph (a) of subdivision 7 as amended by chapter 176 of the laws of 2004, paragraph (b) of subdivision 7 as amended by chapter 5 of the laws of 2000, and paragraph (c) of subdivision 7 as relettered by chapter 895 of the laws of 1980, is amended to read as follows:

A. 3007--B

1 S 600. Effect of retirement payments. 1. Reduction of benefit rate. 2 [If a claimant retires or is retired from employment by an employer and, 3 due to such retirement, is receiving a pension or retirement payment 4 under a plan financed in whole or in part by such employer, such claim-5 ant's benefit rate for four effective days otherwise applicable under 6 subdivision seven of section five hundred ninety shall be reduced as 7 hereinafter provided.

8 2. Application. The reduction shall apply only to benefits which when 9 paid will be chargeable to the account of the employer who provided the 10 pension or retirement benefit.

11 3. Amount of reduction. If the pension or retirement payment is made under a plan to which the employer is the sole contributor, the claim-12 ant's benefit rate shall be reduced by the largest number of whole 13 14 dollars which is not more than the prorated weekly amount of his pension 15 or retirement payment under such plan. If the pension or retirement 16 payment is made under a plan to which the employer is not the sole contributor, the claimant's benefit rate shall be reduced by the largest 17 number of whole dollars which is not more than one-half of the prorated 18 19 weekly amount of his pension or retirement payments under such plan, but no reduction shall apply if the claimant demonstrates that the employer 20 21 contributed less than fifty per centum to the plan.

4. Reduction equal to benefit rate. If the amount to be deducted from a claimant's benefit rate equals or exceeds such rate, he shall be ineligible to receive any benefits which if paid would be chargeable to the employer involved in the pension or retirement plan, but any benefits which would in the absence of this section be chargeable to the accounts of other employers shall be payable to the claimant.

5. Reduction not established. If, at the time benefits are payable, it has not been established that the claimant will be receiving such pension or retirement payment, benefits due shall be paid without a reduction, subject to review within the period and under the conditions as provided in subdivisions three and four of section five hundred ninety-seven with respect to retroactive payment of remuneration.

6. Limitation. For the purposes of this section, the terms "pension or 34 retirement payment" and "governmental or other pension, 35 retirement or retired pay, annuity, or any other similar periodic payment which is 36 based on previous work" shall not include payments made from a qualified 37 38 trust to an eligible retirement plan under the terms and conditions specified in section four hundred two of the internal revenue code for 39 40 federal income tax purposes, such payments commonly known as eliqible rollover distributions. 41

7. Alternative condition. (a) When a reduction for retirement payments 42 43 required by the federal unemployment tax act as a condition for full is 44 tax credit, in which event the provisions of subdivisions one, two, 45 three, four and five of this section shall not be operative, the] (A) THE benefit rate of a claimant who is receiving a governmental or other 46 47 retirement or retired pay, annuity, or any other similar peripension, 48 odic payment which is based on his previous work, shall be reduced as hereinafter provided, if such payment is made under a plan maintained or contributed to by his base period employer and, except for payments made 49 50 51 under the social security act or the railroad retirement act of 1974, the claimant's employment with, or remuneration from, 52 such employer after the beginning of the base period affected his eligibility for, or 53 54 increased the amount of, such pension, retirement or retired pay, annuity, or other similar periodic payment. 55

(b) [If the claimant made no contribution for the pension, retirement 1 2 or retired pay, annuity, or other similar periodic payment, his] THE 3 CLAIMANT'S benefit rate shall be reduced by the largest number of whole 4 dollars which is not more than the pro-rated weekly amount of such 5 payment. If the claimant was the sole contributor for the pension, 6 retirement or retired pay, annuity, or other similar periodic payment, 7 reduction shall apply. [If the claimant's contributions for the no pension, retirement or retired pay, annuity, or other similar periodic 8 9 payment were less than one hundred per centum, the commissioner shall 10 determine the amount of the reduction by taking into account the claim-11 ant's contributions in a manner consistent with the federal unemployment 12 tax act.]

13 (c) If, at the time benefits are payable, it has not been established 14 that the claimant will be receiving such pension, retirement or retired 15 pay, annuity or other payment, benefits due shall be paid without a 16 reduction, subject to review within the period and under the conditions 17 as provided in subdivisions three and four of section five hundred nine-18 ty-seven with respect to retroactive payment of remuneration.

19 (D) FOR THE PURPOSES OF THIS SECTION, THE TERMS "PENSION OR RETIREMENT 20 PAYMENT" AND "GOVERNMENTAL OR OTHER PENSION, RETIREMENT OR RETIRED PAY, 21 ANNUITY, OR ANY OTHER SIMILAR PERIODIC PAYMENT WHICH IS BASED ON PREVI-22 SHALL NOT INCLUDE PAYMENTS MADE FROM A QUALIFIED TRUST TO AN OUS WORK " 23 ELIGIBLE RETIREMENT PLAN UNDER THE TERMS AND CONDITIONS SPECIFIED IN 24 SECTION FOUR HUNDRED TWO OF THE INTERNAL REVENUE CODE FOR FEDERAL INCOME 25 TAX PURPOSES, SUCH PAYMENTS COMMONLY KNOWN AS ELIGIBLE ROLLOVER DISTRIB-26 UTIONS.

27 S 20. Section 602 of the labor law, as amended by chapter 214 of the 28 laws of 1998, is amended to read as follows:

S 602. Application. This title shall apply to a claimant employed by 29 employer whose application to participate in a shared work program 30 an has been approved by the commissioner. The provisions of subdivision 31 32 of section five hundred twenty-seven, subdivisions three and seven four 33 of section five hundred ninety and subdivision four of section five 34 hundred ninety-six of this article shall not be applicable to such 35 claimant and he OR SHE shall not be required to be available for work with any other employer NOR SHALL HE OR SHE BE REQUIRED TO SEARCH FOR 36 WORK IN ACCORDANCE WITH SUBDIVISION TWO OF SECTION FIVE HUNDRED NINETY-37 38 ONE OF THIS ARTICLE IF HE OR SHE IS AVAILABLE FOR HIS OR HER USUAL HOURS WORK WITH HIS OR HER EMPLOYER THAT HAS BEEN ACCEPTED TO PARTICIPATE 39 OF 40 IN THE SHARED WORK PROGRAM. The other provisions of this article shall apply to such claimants and their employers to the extent that they are 41 42 not inconsistent with the provisions of this title.

43 S 21. Section 603 of the labor law, as added by chapter 438 of the 44 laws of 1985, is amended to read as follows:

45 603. Definitions. For purposes of this title: "Total unemployment" S shall mean the total lack of any employment on any day, other than with 46 47 an employer applying for a shared work program. ["Full time hours" shall 48 mean at least thirty-five but not more than forty hours per week, and 49 shall not include overtime as defined in the Fair Labor Standards Act.] 50 "Work force" shall mean the total work force, a clearly identifiable 51 unit or units thereof, or a particular shift or shifts. THE WORK FORCE 52 SUBJECT TO REDUCTION SHALL CONSIST OF NO LESS THAN TWO EMPLOYEES.

53 S 21-a. Section 604 of the labor law, as amended by chapter 564 of the 54 laws of 2002, is amended to read as follows:

55 S 604. Eligibility conditions. A claimant shall be eligible for bene-56 fits under this title if he OR SHE works less than his OR HER normal

[full time] hours in a week for his customary employer, and that employ-1 2 er has reduced or restricted the claimant's weekly hours of work, or has 3 rehired a claimant previously laid off and reduced his OR HER weekly 4 hours of work from those previously worked, as the result of a plan by the employer to stabilize the work force by a program of sharing the work remaining after a reduction in total hours of work and a corre-5 6 7 sponding reduction in wages, provided the program requires not less than 8 a twenty percent nor more than a sixty percent reduction in hours and 9 wages among the work force. A claimant receiving supplemental unemploy-10 ment compensation benefits, as defined in section five hundred one (C) 11 of the internal revenue code of nineteen hundred fifty-four, (17)(D) 12 shall not be eligible hereunder. Any employee who was otherwise eligible 13 for benefits under this title but was denied benefits during the period 14 beginning October first, two thousand one and ending on December first, 15 two thousand one because more than five percent of his OR HER wages were 16 derived from piece work, shall be entitled to make a retroactive claim 17 such benefits provided such claim is filed within sixty days of the for 18 effective date of this sentence.

19 S 22. Section 605 of the labor law, as amended by section 2 of chapter 20 81 of the laws of 1992, is amended to read as follows:

21 S 605. Qualified employers; application. An employer who has at least 22 [five] TWO full time employees may apply to participate in a shared work program. The WRITTEN application shall be made according to such forms 23 24 and procedures as the commissioner may specify and shall include such 25 information as the commissioner may require, INCLUDING SUCH OTHER INFOR-26 MATION THAT THE UNITED STATES SECRETARY OF LABOR DETERMINES TO BE APPRO-27 PRIATE PURPOSES OF A SHARED WORK PROGRAM. The commissioner shall FOR 28 not approve such application unless the employer (1) [agrees] CERTIFIES 29 that for the duration of the program it will not eliminate or diminish health insurance, medical insurance, RETIREMENT BENEFITS or any other 30 fringe benefits provided to employees immediately prior to the applica-31 32 tion UNLESS SUCH BENEFITS PROVIDED TO EMPLOYEES THAT DO NOT PARTICIPATE 33 THE SHARED WORK PROGRAM ARE REDUCED OR DIMINISHED TO THE SAME EXTENT IN AS THOSE EMPLOYEES THAT PARTICIPATE IN THE SHARED WORK PROGRAM; 34 (2) 35 certifies that the collective bargaining agent for the employees, if any, has agreed to participate in the program; (3) certifies that if not 36 37 for the shared work program to be initiated the employer would reduce or 38 would have reduced its work force to a degree equivalent to the total 39 number of working hours proposed to be reduced or restricted for all 40 included employees; (4) certifies that it will not hire additional part time or full time employees for the affected work force while the 41 program is in operation; [and] (5) agrees that no participant of 42 the 43 shall receive, in the aggregate, more than [twenty] TWENTY-SIX program 44 weeks of benefits exclusive of the waiting week; (6) PROVIDES Α IN THE WORK FORCE WILL BE NOTIFIED OF THE 45 OF HOW WORKERS DESCRIPTION SHARED WORK PROGRAM IN ADVANCE OF IT TAKING EFFECT, IF FEASIBLE, AND 46 IF 47 NOTICE IS NOT FEASIBLE, PROVIDES AN EXPLANATION OF WHY SUCH NOTICE SUCH IS NOT FEASIBLE; (7) PROVIDES AN ESTIMATE OF THE NUMBER OF 48 WORKERS WHO 49 WOULD BE LAID OFF IF THE EMPLOYER COULD NOT PARTICIPATE IN THE SHARED 50 WORK PROGRAM; AND (8) CERTIFIES THAT THE TERMS OF THE EMPLOYER'S WRITTEN 51 PLAN AND IMPLEMENTATION SHALL BE CONSISTENT WITH EMPLOYER OBLIGATIONS UNDER APPLICABLE FEDERAL AND STATE LAWS. 52

53 S 22-a. Section 605 of the labor law, as amended by section twenty-two 54 of this act is REPEALED and a new section 605 is added to read as 55 follows:

OUALIFIED EMPLOYERS; APPLICATION. AN EMPLOYER WHO HAS AT LEAST 1 S 605. 2 FIVE FULL TIME EMPLOYEES MAY APPLY TO PARTICIPATE IN A SHARED WORK 3 WRITTEN APPLICATION SHALL BE MADE ACCORDING TO SUCH FORMS PROGRAM. THE 4 AND PROCEDURES AS THE COMMISSIONER MAY SPECIFY AND SHALL INCLUDE SUCH 5 INFORMATION AS THE COMMISSIONER MAY REQUIRE, INCLUDING SUCH OTHER INFOR-6 MATION THAT THE UNITED STATES SECRETARY OF LABOR DETERMINES TO BE APPRO-7 PRIATE FOR PURPOSES OF A SHARED WORK PROGRAM. THE COMMISSIONER SHALL NOT 8 SUCH APPLICATION UNLESS THE EMPLOYER (1) CERTIFIES THAT FOR THE APPROVE DURATION OF THE PROGRAM IT WILL NOT ELIMINATE OR DIMINISH HEALTH 9 INSUR-10 ANCE, MEDICAL INSURANCE, RETIREMENT BENEFITS OR ANY OTHER FRINGE BENE-FITS PROVIDED TO EMPLOYEES IMMEDIATELY PRIOR TO THE APPLICATION 11 UNLESS 12 BENEFITS PROVIDED TO EMPLOYEES THAT DO NOT PARTICIPATE IN THE SUCH 13 SHARED WORK PROGRAM ARE REDUCED OR DIMINISHED TO THE SAME EXTENT AS 14 THOSE EMPLOYEES THAT PARTICIPATE IN THE SHARED WORK PROGRAM; (2) CERTI-15 FIES THAT THE COLLECTIVE BARGAINING AGENT FOR THE EMPLOYEES, IF ANY, HAS AGREED TO PARTICIPATE IN THE PROGRAM; (3) CERTIFIES THAT IF NOT FOR THE 16 WORK PROGRAM TO BE INITIATED THE EMPLOYER WOULD REDUCE OR WOULD 17 SHARED HAVE REDUCED ITS WORK FORCE TO A DEGREE EQUIVALENT TO THE TOTAL NUMBER 18 19 OF WORKING HOURS PROPOSED TO BE REDUCED OR RESTRICTED FOR ALL INCLUDED 20 EMPLOYEES; (4) CERTIFIES THAT IT WILL NOT HIRE ADDITIONAL PART TIME OR 21 FULL TIME EMPLOYEES FOR THE AFFECTED WORK FORCE WHILE THE PROGRAM IS IN 22 OPERATION; (5) AGREES THAT NO PARTICIPANT OF THE PROGRAM SHALL RECEIVE, 23 AGGREGATE, MORE THAN TWENTY WEEKS OF BENEFITS EXCLUSIVE OF THE INTHE24 WAITING WEEK; (6) PROVIDES A DESCRIPTION OF HOW WORKERS ΙN THEWORK 25 NOTIFIED OF THE SHARED WORK PROGRAM IN ADVANCE OF IT FORCE WILL BE 26 TAKING EFFECT, IF FEASIBLE, AND IF SUCH NOTICE IS NOT FEASIBLE, PROVIDES 27 AN EXPLANATION OF WHY SUCH NOTICE IS NOT FEASIBLE; (7) PROVIDES AN ESTI-28 MATE OF THE NUMBER OF WORKERS WHO WOULD BE LAID OFF IF THE EMPLOYER 29 COULD NOT PARTICIPATE IN THE SHARED WORK PROGRAM; AND (8) CERTIFIES THAT TERMS OF THE EMPLOYER'S WRITTEN PLAN AND IMPLEMENTATION SHALL BE 30 THE CONSISTENT WITH EMPLOYER OBLIGATIONS UNDER APPLICABLE FEDERAL AND STATE 31 32 LAWS.

33 S 23. Section 607 of the labor law, as added by chapter 438 of the 34 laws of 1985, subdivision 1 as amended by section 4 of chapter 81 of the 35 laws of 1992, is amended to read as follows:

S 607. Benefits. 1. Amount. An eligible claimant shall be paid benefits for any week equal to his OR HER benefit rate multiplied by the percentage of reduction of his OR HER wages resulting from reduced hours of work, but only if such percentage is no less than twenty percent. The weekly benefit amount shall be rounded off to the nearest dollar. A claimant shall not be paid such benefits in excess of [twenty] TWENTY-SIX weeks during a benefit year.

43 2. Waiting period. A claimant shall not be entitled to benefits for 44 the first week of unemployment under a shared work program unless he OR 45 SHE has served a waiting period in his OR HER benefit year pursuant to 46 subdivision seven of section five hundred ninety of this article.

47 S 23-a. Subdivision 1 of section 607 of the labor law, as amended by 48 section twenty-three of this act is REPEALED, and a new subdivision 1 is 49 added to read as follows:

50 1. AMOUNT. AN ELIGIBLE CLAIMANT SHALL BE PAID BENEFITS FOR ANY WEEK 51 TO HIS OR HER BENEFIT RATE MULTIPLIED BY THE PERCENTAGE OF EOUAL REDUCTION OF HIS OR HER WAGES RESULTING FROM REDUCED HOURS OF WORK, BUT 52 ONLY IF SUCH PERCENTAGE IS NO LESS THAN TWENTY PERCENT. THE WEEKLY BENE-53 54 FIT AMOUNT SHALL BE ROUNDED OFF TO THE NEAREST DOLLAR. A CLAIMANT SHALL 55 NOT BE PAID SUCH BENEFITS IN EXCESS OF TWENTY WEEKS DURING A BENEFIT 56 YEAR.

24. The labor law is amended by adding a new section 609 to read as 1 S 2 follows: 3 S 609. TRAINING. ELIGIBLE EMPLOYEES MAY PARTICIPATE, AS APPROPRIATE, 4 IN TRAINING TO ENHANCE JOB SKILLS IF SUCH PROGRAM HAS BEEN APPROVED BY 5 THE COMMISSIONER. SUCH TRAINING MAY INCLUDE EMPLOYER-SPONSORED TRAINING 6 OR WORKER TRAINING FUNDED UNDER THE WORKFORCE INVESTMENT ACT OF 1998. 7 25. Section 611 of the labor law, as amended by chapter 589 of the S 8 laws of 1998, is amended to read as follows: S 611. Charging of benefits. Benefits paid to a claimant 9 shall be 10 charged to the employers' accounts as provided in paragraph (e) of subdivision one of section five hundred eighty-one of this 11 article. EXCEPT FOR INDIVIDUALS EMPLOYED BY A PARTICIPATING EMPLOYER ON 12 HOWEVER, A SEASONAL, TEMPORARY OR INTERMITTENT BASIS, NO BENEFITS 13 PAID TO A 14 CLAIMANT SHALL BE CHARGED TO AN EMPLOYER'S ACCOUNT IF THE STATE IS REIM-15 BURSED BY THE UNITED STATES PURSUANT TO THE MIDDLE CLASS TAX RELIEF AND JOB CREATION ACT OF 2012, PL 112-96. 16 17 S 26. The labor law is amended by adding a new section 612 to read as 18 follows: 19 S 612. SEVERABILITY. IF ANY AMENDMENT CONTAINED IN A CLAUSE, 20 SENTENCE, PARAGRAPH, SECTION OR PART OF THIS TITLE SHALL BE ADJUDGED BY 21 UNITED STATES DEPARTMENT OF LABOR TO VIOLATE REQUIREMENTS FOR MAIN-THE 22 TAINING BENEFIT STANDARDS REQUIRED OF THE STATE IN ORDER TO BE ELIGIBLE 23 ANY FINANCIAL BENEFIT OFFERED THROUGH FEDERAL LAW OR REGULATION FOR INCLUDING, BUT NOT LIMITED TO, THE WAIVER OF INTEREST ON ADVANCES OR THE 24 25 WAIVER OF OBLIGATIONS TO REPAY SUCH ADVANCES TO THE STATE UNEMPLOYMENT 26 INSURANCE FUND, SUCH AMENDMENTS SHALL BE SEVERED FROM THIS ACT AND SHALL 27 NOT AFFECT, IMPAIR OR INVALIDATE THE REMAINDER THEREOF. 28 27. Section 39 of part P2 of chapter 62 of the laws of 2003, amend-S 29 ing the state finance law and other laws relating to authorizing and directing the state comptroller to loan money to certain funds and 30 accounts, as amended by section 1 of part W of chapter 58 of the laws of 31 2011, is amended to read as follows: 32 33 S 39. This act shall take effect immediately and shall be deemed to 34 have been in full force and effect on and after April 1, 2003; provided, however, that sections one, three, four, six, seven through fifteen, and 35 seventeen of this act shall expire March 31, 2004, when upon such date 36 37 the provisions of such sections shall be deemed repealed; [and sections 38 thirty and thirty-one of this act shall expire December 31, 2013] and 39 the amendments made to section 69-c of the state finance law by section 40 thirty-two of this act shall not affect the expiration and repeal of such section and shall be deemed to be expired therewith. 41 S 28. Severability. If any amendment contained in a clause, sentence, 42 43 section or part of this act shall be adjudged by the United paragraph, 44 States Department of Labor to violate requirements for maintaining bene-45 fit standards required of the state in order to be eligible for any financial benefit offered through federal law or regulation including, 46 47 but not limited to, the waiver of interest on advances or the waiver of 48 obligations to repay such advances to the state unemployment insurance 49 fund, such amendments shall be severed from this act and shall not 50 affect, impair or invalidate the remainder thereof. 51 S 29. This act shall take effect immediately, provided, however, that:

51 S 29. This act shall take effect immediately, provided, however, that: 52 a. sections one, three, seven, and eight of this act shall take effect 53 January 1, 2014;

54 b. sections two, thirteen, fifteen, and nineteen of this act shall 55 apply to all claims filed after January 1, 2014;

56 c. section nine of this act shall take effect January 1, 2017;

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d. section ten of this act shall take effect January 1, 2019; 1 2 e. sections five, six, sixteen, seventeen, and eighteen of this act 3 shall apply to all overpayments established after October 1, 2013; f. sections fourteen, twenty, twenty-one, twenty-one-a, twenty-two, twenty-three, twenty-four, and twenty-six of this act shall take effect 4 5 6 on the thirtieth day after it shall have become a law; 7 g. section twenty-five of this act shall expire and be deemed repealed 8 August 23, 2015; h. section twelve of this act shall take effect January 1, 2014 or on ne same date as the reversion of subdivision 2 of section 591 of the 9 10 the labor law as provided in section 10 of chapter 413 of the laws of 11 2003, 12 as amended, whichever is later; 13 i. the amendments to section 591-a of the labor law made by section 14 fourteen of this act shall not affect the repeal of such section and 15 shall be deemed repealed therewith; and j. sections twenty-two-a and twenty-three-a of this act shall take 16 17 effect August 23, 2015. 18 PART P 19 Section 1. Subdivisions 1, 4 and 5 of section 652 of the labor law, as amended by chapter 747 of the laws of 2004, are amended to read as 20 21 follows: 22 1. Statutory. Every employer shall pay to each of its employees for 23 each hour worked a wage of not less than: 24 \$4.25 on and after April 1, 1991, 25 \$5.15 on and after March 31, 2000, 26 \$6.00 on and after January 1, 2005, \$6.75 on and after January 1, 2006, 27 \$7.15 on and after January 1, 2007, \$8.75 ON AND AFTER JULY 1, 2013, or, if greater, such other wage as 28 29 may be established by federal law pursuant to 29 U.S.C. section 206 or 30 31 its successors 32 or such other wage as may be established in accordance with the provisions of this article. 33 34 Notwithstanding subdivisions one and two of this section, the wage 4. 35 for an employee who is a food service worker receiving tips shall be a cash wage of at least three dollars and thirty cents per hour on or 36 37 after March thirty-first, two thousand; three dollars and eighty-five cents on or after January first, two thousand five; at least four 38 dollars and thirty-five cents on or after January first, two thousand six; [and] at least four dollars and sixty cents on or after January 39 40 41 first, two thousand seven; AND AT LEAST SIX DOLLARS AND THREE CENTS ON AFTER JULY FIRST, TWO THOUSAND THIRTEEN, provided that the tips of 42 OR 43 such an employee, when added to such cash wage, are equal to or exceed the minimum wage in effect pursuant to subdivision one of this section 44 45 and provided further that no other cash wage is established pursuant to 46 section six hundred fifty-three of this article. In the event the cash wage payable under the Fair Labor Standards Act (29 United States Code 47 Sec. 203 (m), as amended), is increased after enactment of this subdivi-48 49 sion, the cash wage payable under this subdivision shall automatically be increased by the proportionate increase in the cash wage payable under such federal law, and will be immediately enforceable as the cash 50 51 52 wage payable to food service workers under this article. 53 5. Notwithstanding subdivisions one and two of this section, meal and lodging allowances for a food service worker receiving a cash wage 54

amounting to three dollars and thirty cents per hour on or after March 1 2 thirty-first, two thousand; three dollars and eighty-five cents on or 3 after January first, two thousand five; four dollars and thirty-five 4 cents on or after January first, two thousand six; [and] four dollars and sixty cents on or after January first, two thousand seven; AND AT 5 LEAST SIX DOLLARS AND THREE CENTS ON OR AFTER JULY FIRST, TWO THOUSAND 6 7 THIRTEEN, shall not increase more than two-thirds of the increase 8 required by subdivision two of this section as applied to state wage 9 orders in effect pursuant to subdivision one of this section.

10 S 2. This act shall take effect immediately.

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PART O

12 Section 1. Paragraph (d) of subdivision 4 of section 209 of the civil 13 service law, as amended by section 9 of part A of chapter 504 of the laws of 2009, is amended to read as follows: 14

15 (d) The provisions of this subdivision shall expire [thirty-six] FORTY years from July first, nineteen hundred seventy-seven, and hereafter may 16 be renewed every four years. S 2. Section 209 of the civil service law is amended by adding a 17

18 new 19 subdivision 6 to read as follows:

20 6. (A) FOR DISPUTES CONCERNING AN IMPASSE PURSUANT TO SUBDIVISION FOUR OF THIS SECTION THAT INVOLVE A COUNTY, CITY, TOWN, OR VILLAGE SUBJECT TO SECTION THREE-C OF THE GENERAL MUNICIPAL LAW, A PUBLIC ARBITRATION PANEL 21 22 23 SHALL MAKE A DETERMINATION AS TO WHETHER SUCH COUNTY, CITY, TOWN, OR 24 VILLAGE, IS A DISTRESSED PUBLIC EMPLOYER AS PART OF ITS ANALYSIS OF THE 25 FINANCIAL ABILITY OF THE PUBLIC EMPLOYER TO PAY.

26 IN EVALUATING WHETHER A PUBLIC EMPLOYER COVERED BY THIS SUBDIVI-(B) 27 SION IS A DISTRESSED PUBLIC EMPLOYER, SUCH PUBLIC ARBITRATION PANEL SHALL CONSIDER THE AVERAGE FULL VALUE PROPERTY TAX RATE OF SUCH PUBLIC 28 EMPLOYER AND THE AVERAGE FUND BALANCE PERCENTAGE OF SUCH PUBLIC EMPLOY-29 30 ER.

31 FOR PURPOSES OF THIS SUBDIVISION, "FULL VALUE PROPERTY TAX RATE" I. SHALL MEAN THE AMOUNT TO BE RAISED BY TAX ON REAL ESTATE BY A LOCAL 32 GOVERNMENT IN A GIVEN FISCAL YEAR DIVIDED BY THE FULL VALUATION OF TAXA-33 34 REAL ESTATE FOR THAT SAME FISCAL YEAR AS REPORTED TO THE OFFICE OF BLE 35 THE STATE COMPTROLLER.

36 II. FOR PURPOSES OF THIS SUBDIVISION, "AVERAGE FULL VALUE PROPERTY TAX 37 RATE" SHALL MEAN THE SUM OF THE FULL VALUE PROPERTY TAX RATES FOR THE 38 FIVE MOST RECENT FISCAL YEARS DIVIDED BY FIVE.

39 III. FOR PURPOSES OF THIS SUBDIVISION, "FUND BALANCE PERCENTAGE" SHALL MEAN THE TOTAL FUND BALANCE IN THE GENERAL FUND OF A LOCAL GOVERNMENT IN 40 GIVEN FISCAL YEAR DIVIDED BY THE TOTAL EXPENDITURES FROM THE GENERAL 41 Α 42 FUND FOR THAT SAME FISCAL YEAR AS REPORTED TO THE OFFICE OF THE STATE 43 COMPTROLLER.

FOR PURPOSES OF THIS SUBDIVISION, "AVERAGE FUND BALANCE PERCENT-44 IV. 45 AGE" SHALL MEAN THE SUM OF THE FUND BALANCE PERCENTAGES FOR THE FIVE 46 MOST RECENTLY COMPLETED FISCAL YEARS DIVIDED BY FIVE.

47 (C) IF THE AVERAGE FULL VALUE PROPERTY TAX RATE OF SUCH PUBLIC EMPLOY-IS GREATER THAN THE AVERAGE FULL VALUE PROPERTY TAX RATE OF SEVENTY-48 ER FIVE PERCENT OF COUNTIES, CITIES, TOWNS, AND VILLAGES, WITH LOCAL FISCAL 49 YEARS ENDING IN THE SAME CALENDAR YEAR AS OF THE MOST RECENTLY AVAILABLE 50 INFORMATION, THE PUBLIC ARBITRATION PANEL MUST FIND THAT SUCH PUBLIC 51 52 EMPLOYER IS FISCALLY DISTRESSED. THE OFFICE OF THE STATE COMPTROLLER 53 SHALL MAKE PUBLICLY AVAILABLE THE LIST OF COUNTIES, CITIES, TOWNS, AND VILLAGES, THAT HAVE AN AVERAGE FULL VALUE PROPERTY TAX RATE THAT MEETS 54

SUCH CRITERIA IN EACH LOCAL FISCAL YEAR. IF A PUBLIC EMPLOYER HAS NOT
 REPORTED TO THE OFFICE OF THE STATE COMPTROLLER THE INFORMATION NECES SARY TO CALCULATE ITS AVERAGE FULL VALUE PROPERTY TAX RATE, THE PUBLIC
 ARBITRATION PANEL MAY NOT USE THE AVERAGE FULL VALUE PROPERTY TAX RATE
 AS A BASIS BY WHICH TO FIND THAT SUCH PUBLIC EMPLOYER IS FISCALLY
 DISTRESSED.

7 (D) IF THE AVERAGE FUND BALANCE PERCENTAGE OF SUCH PUBLIC EMPLOYER IS 8 LESS THAN FIVE PERCENT, THE PUBLIC ARBITRATION PANEL MUST FIND THAT SUCH PUBLIC EMPLOYER IS FISCALLY DISTRESSED. THE OFFICE OF THE STATE COMP-9 10 TROLLER SHALL MAKE PUBLICLY AVAILABLE THE LIST OF COUNTIES, CITIES, TOWNS, AND VILLAGES, THAT HAVE AN AVERAGE FUND BALANCE PERCENTAGE THAT 11 MEETS SUCH CRITERIA IN EACH LOCAL FISCAL YEAR. IF A PUBLIC EMPLOYER HAS 12 NOT REPORTED TO THE OFFICE OF THE STATE COMPTROLLER THE INFORMATION 13 14 NECESSARY TO CALCULATE ITS AVERAGE FUND BALANCE PERCENTAGE, THE PUBLIC ARBITRATION PANEL MAY NOT USE THE AVERAGE FUND BALANCE PERCENTAGE AS A 15 16 BASIS BY WHICH TO FIND THAT SUCH PUBLIC EMPLOYER IS FISCALLY DISTRESSED. WHEN SUCH PUBLIC EMPLOYER HAS BEEN FOUND TO BE FISCALLY 17 (E) DISTRESSED, THE PUBLIC ARBITRATION PANEL SHALL NOT HAVE THE AUTHORITY TO 18 19 ISSUE A DETERMINATION THAT INCREASES THE COST OF TERMS AND CONDITIONS OF 20 EMPLOYMENT APPLICABLE TO EMPLOYEES UNDER THE JURISDICTION OF SUCH PANEL 21 EXCEPT AS PROVIDED HEREIN.

I. FOR THE FIRST YEAR OF THE DETERMINATION, THE PANEL SHALL NOT ISSUE 22 A DETERMINATION THAT MAKES CHANGES TO AND INCREASES THE COST OF ALL 23 TERMS AND CONDITIONS OF EMPLOYMENT BY MORE THAN TWO PERCENT OF THE 24 25 AGGREGATE AMOUNT EXPENDED BY THE PUBLIC EMPLOYER ON THE TERMS OF COLLEC-BARGAINING AGREEMENTS DIRECTLY RELATING TO COMPENSATION OF ALL 26 TIVE 27 EMPLOYEES SUBJECT TO THE PUBLIC ARBITRATION PANEL'S JURISDICTION IN THE 28 TWELVE MONTHS IMMEDIATELY PRECEDING THE EXPIRATION OF THE COLLECTIVE 29 BARGAINING AGREEMENT OR INTEREST ARBITRATION AWARD THAT IS THE SUBJECT 30 THE IMPASSE BEFORE THE PANEL. FOR THE FIRST YEAR OF THE DETERMI-OF NATION, THE PANEL IS REQUIRED TO FURTHER REDUCE THIS TWO PERCENT BY THE 31 32 AMOUNT OF ANY INCREASED COST THAT THE PUBLIC EMPLOYER WILL INCUR FOR 33 INSURANCE, MEDICAL, AND HOSPITALIZATION BENEFITS PROVIDED TO EMPLOYEES SUBJECT TO THE PANEL'S JURISDICTION THAT WILL EXCEED A TWO PERCENT 34 35 INCREASE IN COST TO THE PUBLIC EMPLOYER TO PROVIDE INSURANCE, MEDICAL, AND HOSPITALIZATION BENEFITS TO EMPLOYEES UNDER THE PANEL'S JURISDICTION 36 37 DURING THE FIRST YEAR OF THE DETERMINATION.

II. FOR THE SECOND YEAR OF THE DETERMINATION, THE PANEL SHALL NOT 38 ISSUE A DETERMINATION THAT MAKES CHANGES TO AND INCREASES THE COST OF 39 40 TERMS AND CONDITIONS OF EMPLOYMENT BY MORE THAN TWO PERCENT OF THE ALL AGGREGATE AMOUNT EXPENDED BY THE PUBLIC EMPLOYER ON THE TERMS OF COLLEC-41 TIVE BARGAINING AGREEMENTS DIRECTLY RELATING TO COMPENSATION OF ALL 42 43 EMPLOYEES SUBJECT TO THE PUBLIC ARBITRATION PANEL'S JURISDICTION IN THE TWELVE MONTHS IMMEDIATELY PRECEDING THE EXPIRATION OF THE COLLECTIVE 44 45 BARGAINING AGREEMENT OR INTEREST ARBITRATION AWARD THAT IS THE SUBJECT OF THE IMPASSE BEFORE THE PANEL. FOR THE SECOND YEAR OF THE DETERMI-46 47 NATION, THE PANEL IS REQUIRED TO FURTHER REDUCE THIS TWO PERCENT BY THE 48 AMOUNT OF ANY INCREASED COST THAT THE PUBLIC EMPLOYER WILL INCUR FOR 49 INSURANCE, MEDICAL, AND HOSPITALIZATION BENEFITS PROVIDED TO EMPLOYEES 50 SUBJECT TO THE PANEL'S JURISDICTION THAT WILL EXCEED A TWO PERCENT INCREASE IN COST TO THE PUBLIC EMPLOYER TO PROVIDE INSURANCE, MEDICAL, 51 AND HOSPITALIZATION BENEFITS FOR EMPLOYEES UNDER THE PANEL'S JURISDIC-52 TION DURING THE FIRST YEAR OF THE DETERMINATION. IF THE ACTUAL AMOUNT OF 53 54 THE INCREASED COST THAT A PUBLIC EMPLOYER WILL INCUR FOR INSURANCE, 55 MEDICAL, AND HOSPITALIZATION BENEFITS FOR EMPLOYEES SUBJECT TO THE PANEL'S JURISDICTION IN YEAR TWO OF THE DETERMINATION IS KNOWN, THE 56

PUBLIC ARBITRATION PANEL SHALL USE THAT AMOUNT RATHER THAN THE FIRST 1 2 YEAR AMOUNT TO CALCULATE ANY REDUCTION. THE DETERMINATION FOR YEAR TWO 3 WILL BE IN ADDITION TO THE DETERMINATION FOR YEAR ONE.

4 III. FOR THE PURPOSES OF DETERMINING THE AMOUNTS AVAILABLE PURSUANT TO 5 THIS PARAGRAPH, "TERMS OF COLLECTIVE BARGAINING AGREEMENTS DIRECTLY 6 RELATING TO COMPENSATION" INCLUDES, BUT IS NOT LIMITED TO, SALARY, 7 STIPENDS, LOCATION PAY, INSURANCE, MEDICAL AND HOSPITALIZATION BENEFITS; 8 AND SHALL NOT APPLY TO NON-COMPENSATORY ISSUES INCLUDING, BUT NOT LIMIT-TO, JOB SECURITY, DISCIPLINARY PROCEDURES AND ACTIONS, DEPLOYMENT OR 9 ED 10 SCHEDULING, OR ISSUES RELATING TO ELIGIBILITY FOR OVERTIME COMPENSATION. 11 (F) ADDITIONALLY, WHEN THERE HAS BEEN A FINDING OF FISCAL DISTRESS, A 12 PUBLIC ARBITRATION PANEL SHALL NOT HAVE THE AUTHORITY TO CREATE NEW TERMS AND CONDITIONS OF EMPLOYMENT THAT INCREASE COSTS OF 13 TERMS AND 14 CONDITIONS OF EMPLOYMENT TO THE FISCALLY DISTRESSED PUBLIC EMPLOYER IF

15 THE INCREASE IN COSTS WOULD CAUSE THE OVERALL COST OF THE DETERMINATION 16 EXCEED THE LIMITATION ON THE PUBLIC ARBITRATION PANEL'S AUTHORITY AS TO 17 CONTAINED IN PARAGRAPH (E) OF THIS SUBDIVISION. 18 (G) NOTHING HEREIN SHALL REOUIRE A PUBLIC ARBITRATION PANEL, WHERE A

19 FINDING THAT A DISTRESSED PUBLIC EMPLOYER IS REQUIRED, TO GRANT ANY 20 CHANGE IN TERMS AND CONDITIONS OF EMPLOYMENT UNLESS OTHERWISE WARRANTED 21 AFTER TAKING INTO CONSIDERATION ALL OTHER RELEVANT AND REQUIRED FACTORS. NOTHING HEREIN SHALL REQUIRE A PUBLIC ARBITRATION PANEL, WHERE A 22 (H) FINDING THAT A DISTRESSED PUBLIC EMPLOYER IS NOT REQUIRED, TO GRANT ANY 23 24 CHANGE IN TERMS AND CONDITIONS OF EMPLOYMENT UNLESS OTHERWISE WARRANTED 25 AFTER TAKING INTO CONSIDERATION ALL OTHER RELEVANT AND REQUIRED FACTORS. (I) THE PROVISIONS OF THIS SUBDIVISION SHALL EXPIRE FOUR YEARS 26 FROM 27 JULY FIRST, TWO THOUSAND THIRTEEN.

S 3. This act shall take effect immediately and shall be effective for 28 29 all collective bargaining agreements and interest arbitration awards that expire on or after April 1, 2013. 30

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PART R

32 Section 1. The racing, pari-mutuel wagering and breeding law is amended by adding a new article 13 to read as follows: 33

ARTICLE 13

PHASE ONE CASINO GAMBLING

36 SECTION 1301. STATEMENT OF PURPOSE.

37 1302. PHASE ONE CASINO GAMBLING FACILITIES.

38 1303. CASINO GAMBLING REGULATION.

- 1304. CASINO GAMBLING REVENUE. 39
- 1305. GAMING REGULATORY STUDY. 40

41 1306. CASINO REQUEST FOR INFORMATION.

STATEMENT OF PURPOSE. IN ORDER TO REVITALIZE THE ECONOMY OF 42 S 1301. 43 UPSTATE NEW YORK, BY INCREASING TOURISM AND JOBS THROUGH DESTINATION RESORTS IN UPSTATE NEW YORK, AND TO PROVIDE REVENUE TO FUND EDUCATION 44 45 AND REDUCE PROPERTY TAXES, THE STATE HEREBY LEGALIZES CASINO GAMBLING AS 46 REGULATED BY THE STATE GAMING COMMISSION.

47 S 1302. PHASE ONE CASINO GAMBLING FACILITIES. 1. THE LEGISLATURE SHALL 48 AUTHORIZE UP TO THREE CASINOS SUBJECT TO THE REGULATION OF THE STATE 49 GAMING COMMISSION.

2. THE THREE CASINOS AUTHORIZED BY THE LEGISLATURE CANNOT BE LOCATED: 50 51

(A) IN THE CITY OF NEW YORK; AND

52 (B) IN THE COUNTIES OF NASSAU, PUTNAM, ROCKLAND, SUFFOLK, AND WEST-53 CHESTER.

1 S 1303. CASINO GAMBLING REGULATION. 1. THERE IS HEREBY CREATED IN THE 2 GAMING COMMISSION A SEPARATE OFFICE OF CASINO GAMBLING REGULATION. THE 3 OFFICE SHALL REGULATE CASINO GAMBLING FACILITIES AUTHORIZED PURSUANT TO 4 SECTION NINE OF ARTICLE ONE OF THE STATE CONSTITUTION.

5 2. UTILIZING ITS BEST INDEPENDENT AND UNBIASED JUDGMENT AS PART OF A 6 COMPETITIVE PROCESS, THE GAMING COMMISSION SHALL SELECT THE LOCATIONS 7 AND THE OPERATORS OF THE CASINO FACILITIES AUTHORIZED BY THIS ARTICLE.

8 3. NO CASINO LOCATION AND OPERATOR MAY BE SELECTED BY THE GAMING 9 COMMISSION UNLESS THAT LOCATION AND OPERATOR HAVE SIGNIFICANT SUPPORT 10 FROM BOTH THE LOCAL GOVERNMENT AND THE LOCAL COMMUNITY IN WHICH THE 11 CASINO IS TO BE LOCATED.

12 S 1304. CASINO GAMBLING REVENUE. REVENUE DERIVED BY THE STATE FROM THE 13 GROSS GAMING REVENUE OF THE CASINO FACILITIES AUTHORIZED BY THIS ARTICLE 14 SHALL BE ALLOCATED TO A CASINO REVENUE FUND AUTHORIZED PURSUANT TO THE 15 STATE FINANCE LAW AND DISTRIBUTED AS FOLLOWS:

16 1. 90% FOR ELEMENTARY AND SECONDARY EDUCATION; AND

17 2. 10% FOR LOCAL GOVERNMENT PROPERTY TAX RELIEF.

GAMING REGULATORY STUDY. 1. THE STATE GAMING COMMISSION IS 18 S 1305. 19 HEREBY DIRECTED TO CONDUCT A COMPREHENSIVE STUDY OF EXISTING LEGAL FRAMEWORKS GOVERNING THE LICENSING AND REGULATION OF CASINO GAMBLING. 20 SUCH STUDY SHALL INCLUDE A REVIEW OF VARIOUS SYSTEMS OF GAMING 21 REGU-LATION AND THE EFFECTIVENESS OF THOSE SYSTEMS. SUCH STUDY SHALL CONSIDER 22 THE METHODS AND MANNERS OF LICENSING OF: FACILITIES; ENTERPRISES UNDER-23 TAKING DIRECT AND INDIRECT BUSINESS WITH SUCH FACILITIES; AND PERSONNEL 24 25 DIRECTLY AND INDIRECTLY EMPLOYED BY SUCH FACILITIES AND ENTERPRISES.

26 2. THE COMMISSION SHALL ALSO STUDY THE APPROPRIATE RATES OF TAXATION
27 OF SUCH GAMING ACTIVITIES AND PROVIDE RECOMMENDATIONS ON CLARIFYING AND
28 HARMONIZING INCONSISTENT METHODS OF TREATMENT OF VARIOUS FORMS OF GAMING
29 AUTHORIZED IN THE STATE AND THE PARTICIPANTS WITHIN, IDENTIFYING CASES
30 WHERE THE DISPARITY SERVES A COMPELLING STATE INTEREST.

31 3. THE COMMISSION SHALL ALSO STUDY THE LEVELS OF CAPITAL INVESTMENT 32 THAT MIGHT BE APPROPRIATE TO LOCATE DESTINATION CASINO RESORTS IN 33 UPSTATE NEW YORK.

34 4. THE COMMISSION SHALL CONSULT WITH THE REGIONAL ECONOMIC DEVELOP-35 MENTS COUNCILS IN PREPARING THE STUDY REQUIRED BY THIS SECTION.

5. THE COMMISSION SHALL SUBMIT TO THE GOVERNOR, SPEAKER OF THE ASSEM-BLY AND TEMPORARY PRESIDENT OF THE SENATE, NO LATER THAN THE FIFTEENTH DAY OF MAY, TWO THOUSAND THIRTEEN, A WRITTEN REPORT ON ITS FINDINGS, CONCLUSIONS AND RECOMMENDATIONS FOR PROPOSED CHANGES TO STATE LAWS AND REGULATIONS NECESSARY TO PROVIDE FOR THE LICENSING AND REGULATION OF AL CASINO GAMBLING IN NEW YORK STATE.

S 1306. CASINO REQUEST FOR INFORMATION. THE STATE GAMING COMMISSION 42 43 SHALL ISSUE A REQUEST FOR INFORMATION FOR THE PURPOSE OF SOLICITING INTEREST FROM ENTITIES SEEKING AN AWARD OF A LICENSE TO DEVELOP AND 44 45 OPERATE ONE OF THE THREE INITIAL CASINO FACILITIES AUTHORIZED BY THIS ARTICLE. THE REQUEST SHOULD SEEK INFORMATION FROM POTENTIAL GAMING 46 47 FACILITY OPERATORS THAT WILL ASSIST IN MAKING INFORMED DECISIONS ABOUT 48 EXPANDED REGULATED PRIVATE SECTOR GAMING. ADDITIONALLY, THE REQUEST 49 SHOULD ASSIST THE COMMISSION IN DETERMINING THE RANGE OF POSSIBLE DEVEL-50 OPMENT AVAILABLE IN THE MARKET AND HELP IDENTIFY AND ASSESS POTENTIAL GAMING SERVICE PROVIDER INTEREST. POTENTIAL GAMING FACILITY OPERATORS 51 THAT RESPOND TO REQUESTS SHALL DEMONSTRATE THAT THERE IS SIGNIFICANT 52 SUPPORT FOR THE CASINO FACILITY FROM THE LOCAL GOVERNMENT COMMUNITY 53 54 WHERE THE FACILITY IS PROPOSED TO BE LOCATED.

55 S 2. The racing, pari-mutuel wagering and breeding law is amended by 56 adding a new section 109-a to read as follows:

109-A. LABOR PEACE AGREEMENTS FOR CERTAIN FACILITIES. 1. DEFI-1 S 2 NITIONS. AS USED IN THIS SUBDIVISION: 3 A. "GAMING FACILITY" MEANS ANY CASINO GAMING FACILITY LICENSED BY THE 4 COMMISSION. A GAMING FACILITY OR OPERATION SHALL NOT INCLUDE ANY HORSE 5 RACING, BINGO OR CHARITABLE GAMES OF CHANCE, THE STATE LOTTERY FOR 6 EDUCATION, OR ANY GAMING FACILITY OPERATING PURSUANT TO THE FEDERAL 7 INDIAN GAMING REGULATORY ACT, 25 U.S.C. S 2710 ET SEQ. A GAMING FACILITY 8 OR OPERATION SHALL INCLUDE ANY HOSPITALITY OPERATION AT OR RELATED TO 9 THE GAMING FACILITY. 10 B. "LABOR PEACE AGREEMENT" MEANS AN AGREEMENT ENFORCEABLE UNDER 29 U.S.C. S 185(A) THAT, AT A MINIMUM, PROTECTS THE STATE'S PROPRIETARY 11 INTERESTS BY PROHIBITING LABOR ORGANIZATIONS AND MEMBERS FROM ENGAGING 12 IN PICKETING, WORK STOPPAGES, BOYCOTTS, AND ANY OTHER ECONOMIC INTERFER-13 14 ENCE WITH OPERATION OF THE RELEVANT GAMING FACILITY. 15 C. "LICENSE" MEANS ANY PERMIT, LICENSE, FRANCHISE OR ALLOWANCE OF THE COMMISSION AND SHALL INCLUDE ANY FRANCHISEE OR PERMITTEE. 16 D. "PROPRIETARY INTEREST" MEANS AN ECONOMIC AND NON-REGULATORY INTER-17 EST AT RISK IN THE FINANCIAL SUCCESS OF THE GAMING FACILITY THAT COULD 18 19 BE ADVERSELY AFFECTED BY LABOR-MANAGEMENT CONFLICT, INCLUDING BUT NOT LIMITED TO PROPERTY INTERESTS, FINANCIAL INVESTMENTS AND REVENUE SHAR-20 21 ING. 2. LEGISLATIVE FINDINGS. THE STATE LEGISLATURE FINDS THAT THE GAMING 22 INDUSTRY CONSTITUTES A VITAL SECTOR OF NEW YORK'S OVERALL ECONOMY AND 23 THAT THE STATE THROUGH ITS OPERATION OF LOTTERIES AND VIDEO LOTTERY FACILITIES AND THROUGH ITS OWNERSHIP OF THE PROPERTIES UTILIZED FOR 24 25 HORSE RACING BY THE NEW YORK RACING ASSOCIATION, INC. HAS A SIGNIFICANT 26 AND ONGOING ECONOMIC AND NON-REGULATORY INTEREST IN THE FINANCIAL VIABILITY AND COMPETITIVENESS OF THE GAMING INDUSTRY. THE STATE LEGISLA-27 28 TURE FURTHER FINDS THAT THE AWARD OR GRANT OF A LICENSE BY THE COMMIS-29 SION TO OPERATE A GAMING FACILITY IS A SIGNIFICANT STATE ACTION AND THAT 30 THE COMMISSION MUST MAKE PRUDENT AND EFFICIENT DECISIONS TO MAXIMIZE THE 31 32 BENEFITS AND MINIMIZE THE RISKS OF GAMING. THE STATE LEGISLATURE FURTHER RECOGNIZES THAT CASINO GAMING INDUSTRY INTEGRATION CAN PROVIDE A VITAL 33 ECONOMIC ENGINE TO ASSIST, NURTURE, DEVELOP, AND PROMOTE REGIONAL ECONOMIC DEVELOPMENT, THE STATE TOURISM INDUSTRY AND THE GROWTH OF JOBS 34 35 IN THE STATE. ADDITIONALLY, THE STATE LEGISLATURE ALSO FINDS REVENUES 36 37 DERIVED DIRECTLY BY THE STATE FROM SUCH GAMING ACTIVITY WILL BE SHARED FROM GROSS GAMING RECEIPTS, AFTER PAYOUT OF PRIZES BUT PRIOR TO 38 39 DEDUCTIONS FOR OPERATIONAL EXPENSES. 40 THEREFORE, THE STATE LEGISLATURE FINDS THAT THE STATE HAS A SUBSTAN-TIAL AND COMPELLING PROPRIETARY INTEREST IN ANY LICENSE AWARDED FOR THE 41 OPERATION OF A GAMING FACILITY WITHIN THE STATE. 42 43 3. REQUIREMENTS. THE COMMISSION SHALL REQUIRE ANY APPLICANT FOR A GAMING FACILITY LICENSE WHO HAS NOT YET ENTERED INTO A LABOR PEACE 44 45 AGREEMENT TO PRODUCE AN AFFIDAVIT STATING IT SHALL ENTER INTO A LABOR PEACE AGREEMENT WITH LABOR ORGANIZATIONS THAT ARE ACTIVELY ENGAGED IN 46 47 REPRESENTING OR ATTEMPTING TO REPRESENT GAMING OR HOSPITALITY INDUSTRY 48 WORKERS IN THE STATE. IN ORDER FOR THE COMMISSION TO ISSUE A GAMING FACILITY LICENSE AND FOR OPERATIONS TO COMMENCE, THE APPLICANT FOR A 49 50 GAMING FACILITY LICENSE MUST PRODUCE DOCUMENTATION THAT IT HAS ENTERED INTO A LABOR PEACE AGREEMENT WITH EACH LABOR ORGANIZATION THAT IS 51 ACTIVELY ENGAGED IN REPRESENTING AND ATTEMPTING TO REPRESENT GAMING AND 52 HOSPITALITY INDUSTRY WORKERS IN THE STATE. THE COMMISSION SHALL MAKE THE 53 54 MAINTENANCE OF SUCH A LABOR PEACE AGREEMENT AN ONGOING MATERIAL CONDI-55 TION OF LICENSURE.

A LICENSE HOLDER SHALL, AS A CONDITION OF ITS LICENSE, 1 ENSURE THAT 2 GAMING FACILITY THAT ARE CONDUCTED BY CONTRACTORS, AT THE OPERATIONS SUBCONTRACTORS, LICENSEES, ASSIGNEES, TENANTS OR SUBTENANTS 3 AND THAT 4 INVOLVE GAMING OR HOSPITALITY INDUSTRY EMPLOYEES SHALL BE DONE UNDER A 5 LABOR PEACE AGREEMENT CONTAINING THE SAME PROVISIONS AS SPECIFIED ABOVE. 6 3. The state finance law is amended by adding a new section 92-a to S 7 read as follows: 8 S 92-A. CASINO REVENUE FUND. 1. THERE IS HEREBY ESTABLISHED INTHE JOINT CUSTODY OF THE COMPTROLLER AND THE COMMISSIONER OF TAXATION AND 9 10 FINANCE A SPECIAL FUND TO BE KNOWN AS THE CASINO REVENUE FUND. 2. SUCH FUND SHALL CONSIST OF THE STATE CASINO REVENUES DERIVED 11 FROM TAXATION OF THE GROSS GAMING REVENUE OF LICENSED CASINOS, AND ALL 12 STATE OTHER MONEYS CREDITED OR TRANSFERRED THERETO FROM ANY OTHER FUND 13 OR 14 SOURCE PURSUANT TO LAW. 15 3. NINETY PERCENT OF THE MONEYS IN SUCH FUND SHALL BE APPROPRIATED OR 16 TRANSFERRED ONLY FOR ELEMENTARY AND SECONDARY EDUCATION. 17 4. NOTWITHSTANDING ANY PROVISION OF LAW TO THECONTRARY, AMOUNTS 18 APPROPRIATED OR TRANSFERRED FROM THE CASINO REVENUE FUND SHALL NOT BE 19 INCLUDED IN: (I) THE ALLOWABLE GROWTH AMOUNT COMPUTED PURSUANT TO PARA-GRAPH (DD) OF SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED TWO OF THE 20 21 EDUCATION LAW, (II) THE PRELIMINARY GROWTH AMOUNT COMPUTED PURSUANT TΟ 22 (FF) OF SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED TWO OF PARAGRAPH THE EDUCATION LAW, AND (III) THE ALLOCABLE GROWTH AMOUNT COMPUTED PURSU-23 ANT TO PARAGRAPH (GG) OF SUBDIVISION ONE OF SECTION THIRTY-SIX HUNDRED 24 25 TWO OF THE EDUCATION LAW. 26 5. ALL PAYMENTS OF MONEYS FROM THE CASINO REVENUE FUND SHALL BE MADE 27 ON THE AUDIT AND WARRANT OF THE STATE COMPTROLLER. 28 S 4. This act shall take effect immediately. S 2. Severability clause. If any clause, sentence, paragraph, subdivi-29 sion, section or part of this act shall be adjudged by any court of 30 competent jurisdiction to be invalid, such judgment shall not affect, 31 impair, or invalidate the remainder thereof, but shall be confined in 32 33 its operation to the clause, sentence, paragraph, subdivision, section or part thereof directly involved in the controversy in which such judg-34 ment shall have been rendered. It is hereby declared to be the intent of 35 the legislature that this act would have been enacted even if such 36 37 invalid provisions had not been included herein. 38 S 3. This act shall take effect immediately provided, however, that the applicable effective date of Parts A through R of this act shall be 39 as specifically set forth in the last section of such Parts. 40