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I N S E N A T E

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Introduced by Sens. VALESKY, CARLUCCI, KLEIN -- read twice and ordered printed, and when printed to be committed to the Committee on Commerce, Economic Development and Small Business

AN ACT to amend the state administrative procedure act, the executive law and the legislative law, in relation to the transmittal of certain records by electronic means

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Section 201 of the state administrative procedure act, as
2 amended by chapter 331 of the laws of 1992, is amended to read as
3 follows:

4 S 201. Adoption of procedures; plain language. 1. This article estab-
5 lishes minimum procedures for all agencies, provided, however, an agency
6 may adopt by rule additional procedures not inconsistent with statute.
7 Each agency shall strive to ensure that, to the maximum extent practi-
8 cal, its rules, regulations and related documents are written in a clear
9 and coherent manner, using words with common and everyday meanings.

10 2. NOTWITHSTANDING ANY PROVISION OF THIS ARTICLE OR ANY OTHER
11 PROVISION OF LAW, AGENCIES SHALL BE AUTHORIZED TO TRANSMIT, BY ELECTRON-
12 IC MEANS IN ACCORDANCE WITH ARTICLE THREE OF THE STATE TECHNOLOGY LAW,
13 ANY NOTICE, REPORT, AGENDA OR OTHER DATA REQUIRED TO BE PROVIDED OR
14 SUBMITTED PURSUANT TO THIS ARTICLE.

15 S 2. Paragraph (c) of subdivision 1 of section 202 of the state admin-
16 istrative procedure act, as added by chapter 17 of the laws of 1984, is
17 amended to read as follows:

18 (c) When appropriate in the judgment of the agency, a notice may also
19 be published in newspapers of general circulation and in trade, industry
20 or professional publications as the agency may select, AND MAY BE POSTED
21 ON THE AGENCY'S INTERNET WEBSITE, AND MAY BE TRANSMITTED TO NEWSPAPERS
22 AND TRADE, INDUSTRY OR PROFESSIONAL PUBLICATIONS BY ELECTRONIC MEANS IN
23 ACCORDANCE WITH ARTICLE THREE OF THE STATE TECHNOLOGY LAW.

24 S 3. Subdivision 6-a of section 202 of the state administrative proce-
25 dure act, as added by chapter 850 of the laws of 1990, paragraphs (a)
26 and (b) as amended by chapter 171 of the laws of 1994, is amended to
27 read as follows:

28 6-a. Distribution of rule making information. (a) An agency shall
29 transmit a copy of any rule making notice prepared pursuant to this

EXPLANATION--Matter in *ITALICS* (underscored) is new; matter in brackets
[] is old law to be omitted.

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1 article to the governor, the temporary president of the senate, the
2 speaker of the assembly, the administrative regulations review commis-
3 sion and the office of regulatory and management assistance at the time
4 such notice is submitted to the secretary of state for publication in
5 the state register. Such transmittal shall include the complete rule
6 text, regulatory impact statement, regulatory flexibility analysis,
7 rural area flexibility analysis, or revisions thereof, and any other
8 information submitted to the secretary of state pursuant to this arti-
9 cle. FURTHERMORE, SUCH TRANSMITTAL MAY BE COMPLETED BY ELECTRONIC MEANS
10 IN ACCORDANCE WITH ARTICLE THREE OF THE STATE TECHNOLOGY LAW.

11 (b) An agency shall make a copy of the complete text of any proposed,
12 adopted or emergency rule, regulatory impact statement, regulatory flex-
13 ibility analysis, rural area flexibility analysis, or revisions thereof
14 available, IN WRITTEN AND ELECTRONIC FORMS, to the public at the time
15 such documents are submitted to the secretary of state for publication
16 in the state register and shall send to any person a copy of such text
17 upon written OR ELECTRONIC request.

18 (c) An agency shall notify every person who has submitted a written OR
19 ELECTRONIC request to be notified of all proposed, revised, emergency
20 and/or adopted rules which may affect such person. Such requests shall
21 expire annually on the thirty-first day of December with renewals for
22 the succeeding year to be accepted on or after December first. Notices
23 issued pursuant to such requests shall be sent in writing OR ELECTRONIC
24 FORM to the last address OR ELECTRONIC MAIL ADDRESS specified by the
25 person. An agency may charge any person requesting such notice a fee
26 consisting of the cost of preparation, handling and postage.

27 S 4. The opening paragraph of subdivision 3 of section 202-bb of the
28 state administrative procedure act, as added by chapter 171 of the laws
29 of 1994, is amended to read as follows:

30 In proposing a rule for adoption or in adopting a rule on an emergency
31 basis, the agency shall issue a rural area flexibility analysis regard-
32 ing the rule being proposed for adoption or the emergency rule being
33 adopted. A copy of such analysis and any finding, and reasons for such
34 finding, pursuant to this section, shall be submitted IN WRITING, AND
35 MAY BE TRANSMITTED ELECTRONICALLY IN ACCORDANCE WITH ARTICLE THREE OF
36 THE STATE TECHNOLOGY LAW, to the governor, the temporary president of
37 the senate, the speaker of the assembly, the office for regulatory and
38 management assistance and the administrative regulations review commis-
39 sion at the time such analysis is submitted OR ELECTRONICALLY TRANSMIT-
40 TED to the secretary of state for publication and, upon written OR ELEC-
41 TRONIC request, a copy shall be sent OR ELECTRONICALLY TRANSMITTED to
42 any other person. Each rural area flexibility analysis shall contain:

43 S 5. Paragraph (a) of subdivision 1 of section 202-d of the state
44 administrative procedure act, as amended by chapter 462 of the laws of
45 2012, is amended to read as follows:

46 (a) The departments of health, education, environmental conservation,
47 financial services, labor, agriculture and markets, motor vehicles and
48 state, the offices of children and family services and temporary and
49 disability assistance, the division of housing and community renewal and
50 the workers' compensation board, and any other department specified by
51 the governor or his or her designee shall, and any other agency may, in
52 its discretion, submit IN WRITING OR ELECTRONICALLY IN ACCORDANCE WITH
53 ARTICLE THREE OF THE STATE TECHNOLOGY LAW to the secretary of state, for
54 publication in the first regular issue of the state register published
55 during the month of January and the last regular issue of the state
56 register published in June, a regulatory agenda to solicit comments

1 concerning any rule which the agency is considering to propose, but for
2 which no notice of proposed rule making has been submitted pursuant to
3 subdivision one of section two hundred two of this article.

4 S 6. The opening paragraph of subdivision 1 of section 202-d of the
5 state administrative procedure act, as added by chapter 698 of the laws
6 of 1984, is amended to read as follows:

7 An agency may, in its discretion, submit IN WRITING OR ELECTRONICALLY
8 IN ACCORDANCE WITH ARTICLE THREE OF THE STATE TECHNOLOGY LAW to the
9 secretary of state, for publication in the first regular issue of the
10 state register published during the months of January, May and Septem-
11 ber, a regulatory agenda to afford the agency an opportunity to solicit
12 comments concerning any rule which the agency is considering proposing,
13 but for which no notice of proposed rule making has been submitted
14 pursuant to subdivision one of section two hundred two of this [chapter]
15 ARTICLE. A regulatory agenda shall be comprised of summaries of such
16 rules. Each summary shall, in less than two thousand words, contain, in
17 so far as practicable:

18 S 7. Subdivisions 2 and 3 of section 101-a of the executive law,
19 subdivision 2 as amended by chapter 610 of the laws of 1987 and subdivi-
20 sion 3 as amended by chapter 483 of the laws of 1988, are amended to
21 read as follows:

22 2. Except as provided in subdivision three of this section, at least
23 forty-five days prior to either the adoption of any rule, or, if a
24 public hearing is required by statute, at least forty-five days prior to
25 the first public hearing on a proposed rule, the agency proposing to
26 take such action shall send IN WRITING OR MAY TRANSMIT ELECTRONICALLY IN
27 ACCORDANCE WITH ARTICLE THREE OF THE STATE TECHNOLOGY LAW a notification
28 of such proposed action to the temporary president of the senate and the
29 speaker of the assembly. This notification shall: (a) refer to the
30 statutory authority under which the action is proposed, (b) give the
31 time and place of any public hearing that may be scheduled concerning
32 the proposed action, or state the manner in which data, views or argu-
33 ments may be submitted to the agency concerning the proposed action, (c)
34 contain a copy of the complete text of the proposed rule, and (d)
35 contain a fiscal statement setting forth the fiscal consequences of the
36 proposed action on the state and its local governments.

37 3. If the agency finds that it is necessary for the preservation of
38 the public health, safety or general welfare to dispense with the
39 requirements of subdivision two OF THIS SECTION, the agency may dispense
40 with such requirements and adopt the rule, as an emergency measure.
41 Within five days of the filing of such emergency measure in the office
42 of the department of state, the agency taking such action shall send OR
43 TRANSMIT, AS THE CASE MAY BE, the temporary president of the senate and
44 the speaker of the assembly a notification containing the information
45 required by subdivision two of this section; provided, however, such
46 notification shall also: (a) include a brief statement setting forth the
47 reasons why the agency finds that it is necessary for the preservation
48 of the public health, safety or general welfare to dispense with the
49 requirements of subdivision two of this section and adopt the rule as an
50 emergency measure, and (b) provide the date the emergency measure will
51 terminate if the agency does not intend to adopt such measure as a
52 permanent rule, or indicate that the agency intends to adopt such meas-
53 ure as a permanent rule, in which case compliance with the notification
54 requirements of this section shall be deemed satisfied. The effective-
55 ness of any such emergency measure, unless adopted as a permanent rule
56 in the manner prescribed by law, shall not exceed ninety days after the

1 filing of such measure in the office of the department of state,
2 provided, however, if such emergency measure is readopted prior to the
3 expiration of such ninety day period such readoption and any subsequent
4 readoptions shall remain in effect for no longer than sixty days.

5 S 8. Section 146 of the executive law, as amended by chapter 17 of the
6 laws of 1984, paragraph (d) of subdivision 1 as amended by chapter 189
7 of the laws of 1996, subdivision 4-a as amended by chapter 41 of the
8 laws of 1994, is amended to read as follows:

9 S 146. Publication of certain public notices. 1. The department of
10 state shall publish, pursuant to the schedule in section one hundred
11 forty-seven of this article, AND POST ON ITS INTERNET WEBSITE a publica-
12 tion to be known as the state register, in which shall be published AND
13 POSTED from time to time as received by such department:

14 (a) rules, orders, designations, and notices submitted by the chief
15 administrator of the courts;

16 (b) notices and advertisements required by state statute or federal
17 law, rule or regulation to be published by an agency in a newspaper;

18 (c) notices required by statute to be published in newspapers in
19 actions against foreign corporations;

20 (d) notices and job impact statements required by the state adminis-
21 trative procedure act to be published in the state register; and

22 (e) any other matter required by statute to be published in the state
23 register.

24 2. The secretary of state may, at his OR HER discretion, publish AND
25 POST in the state register any notice or information which is not other-
26 wise required by statute to be submitted to him OR HER by an agency or
27 public corporation for publication in the state register, in instances
28 where such publication AND POSTING will serve the public interest.

29 3. With regard to rule making notices required to be published AND
30 POSTED in the state register pursuant to article two of the state admin-
31 istrative procedure act, the secretary of state may, at his OR HER
32 discretion, publish AND POST the complete text of a proposed or adopted
33 rule, which is not otherwise required to be published AND POSTED in the
34 state register, in instances where such publication AND POSTING will
35 serve the public interest. THE SECRETARY OF STATE SHALL ACCEPT FROM A
36 STATE AGENCY ALL RULEMAKING NOTICES, STATEMENTS AND ANALYSES AS REQUIRED
37 BY THE STATE ADMINISTRATIVE PROCEDURE ACT, DATA, RULES, AND REGULATIONS
38 AS PROVIDED FOR BY ARTICLE THREE OF THE STATE TECHNOLOGY LAW.

39 4. With regard to a notice of adoption published in the state register
40 pursuant to article two of the state administrative procedure act, for
41 which the corresponding notice of proposed rule making published AND
42 POSTED in the state register included the complete text of the rule, the
43 secretary of state may, at his OR HER discretion, include only the
44 changes in such text in the notice of adoption.

45 4-a. Notice of the availability of any state or federal funding which
46 is to be distributed by any agency upon application by any municipality,
47 school board, school district, not-for-profit organization or any other
48 individual or organization entitled to apply for such funding pursuant
49 to any law, rule or regulation governing the distribution of such funds
50 shall be published AND POSTED in the state register. Such notice shall
51 appear in the register no later than forty-five days prior to the last
52 day for receipt of applications for such funding. Such notice shall not
53 be required: (i) whenever a notice has been published in the procurement
54 opportunities newsletter pursuant to article four-C of the economic
55 development law; (ii) for state or federal transportation funding; and
56 (iii) in those instances where an entity has been specifically desig-

1 nated by law or legislative resolution to receive funding. Failure to
2 publish the notice in a timely manner shall not be a basis for setting
3 aside an award or challenging a contract or other legal claim.

4 5. The publication AND POSTING of notices and advertisements in the
5 state register shall be additional to their publication in newspapers,
6 whenever publication in newspapers is required by statute.

7 6. The secretary of state shall promulgate rules establishing proce-
8 dure, forms, font and style for submission of material by any person,
9 agency or public corporation for publication AND POSTING in the state
10 register.

11 S 9. Subdivision 3 of section 148 of the executive law, as amended by
12 chapter 636 of the laws of 1981, is amended to read as follows:

13 3. Subscriptions to the state register shall be made available to the
14 public by either first or second class mail, OR IN ELECTRONIC FORM at
15 the election of the subscriber. A reasonable rate for a subscription to
16 PRINTED COPIES OF the regular issue and quarterly index required by
17 subdivision three of section one hundred forty-seven of this article, to
18 be not more than eighty dollars per year for first class mail delivery
19 and not more than forty dollars per year for second class mail delivery,
20 shall be set by the secretary of state. The secretary of state may
21 charge no more than one dollar and fifty cents per single copy of a
22 PRINTED regular issue or quarterly index of the state register. Rates
23 shall not be set at such a level that the anticipated total subscription
24 revenues exceed the total cost of producing, printing and distributing
25 the state register.

26 S 10. Subdivision 1 of section 149 of the executive law, as amended by
27 chapter 17 of the laws of 1984, is amended and a new subdivision 4 is
28 added to read as follows:

29 1. The PRINTED VERSION OF THE state register shall be an eight and
30 one-half by eleven inch booklet with three holes punched in the left
31 hand margin to make such register suitable for storage in an eight and
32 one-half by eleven inch loose-leaf binder.

33 4. TO THE EXTENT PRACTICABLE, EVERY VERSION OF THE STATE REGISTER
34 TRANSMITTED BY ELECTRONIC MEANS SHALL SUBSTANTIALLY COMPLY WITH THE
35 PROVISIONS OF THIS SECTION.

36 S 11. Section 87 of the legislative law is amended by adding a new
37 subdivision 4 to read as follows:

38 4. THE COMMISSION SHALL BE AUTHORIZED TO REQUEST AND RECEIVE, FROM A
39 STATE AGENCY, ALL RULEMAKING NOTICES, STATEMENTS AND ANALYSES AS
40 PROVIDED FOR PURSUANT TO THE STATE ADMINISTRATIVE PROCEDURE ACT, DATA,
41 RULES, REGULATIONS AND OTHER INFORMATION BY ELECTRONIC MEANS AS PROVIDED
42 FOR BY ARTICLE THREE OF THE STATE TECHNOLOGY LAW.

43 S 12. This act shall take effect on the one hundred twentieth day
44 after it shall have become a law, provided that the amendments to para-
45 graph (a) of subdivision 1 of section 202-d of the state administrative
46 procedure act, made by section five of this act, shall be subject to the
47 expiration and reversion of such subdivision pursuant to section 2 of
48 chapter 402 of the laws of 1994, as amended, when upon such date the
49 provisions of section six of this act shall take effect; and provided,
50 further, that effective immediately, the addition, amendment and/or
51 repeal of any rule or regulation necessary for the implementation of
52 this act on its effective date are authorized and directed to be made
53 and completed on or before such effective date.