3253--A

2013-2014 Regular Sessions

IN SENATE

January 31, 2013

- Introduced by Sen. KRUEGER -- read twice and ordered printed, and when printed to be committed to the Committee on Elections -- recommitted to the Committee on Elections in accordance with Senate Rule 6, sec. 8 -- committee discharged, bill amended, ordered reprinted as amended and recommitted to said committee
- AN ACT to amend the election law, the legislative law, the public officers law and the state finance law, in relation to the nomination and election of delegates to a constitutional convention

THE PEOPLE OF THE STATE OF NEW YORK, REPRESENTED IN SENATE AND ASSEMBLY, DO ENACT AS FOLLOWS:

1 Section 1. Legislative findings. The legislature hereby finds and 2 declares that the power of the people of this state to call a constitu-3 tional convention to periodically reevaluate their social contract is 4 one of the great democratic mechanisms in existence. It is important, 5 however, to ensure that the people of this state are fully represented 6 and have fair access to this important process.

7 S 2. Subdivision 1 and the opening paragraph and paragraph (h) of 8 subdivision 2 of section 6-136 of the election law, subdivision 1 as 9 amended by chapter 200 of the laws of 1996, the opening paragraph of 10 subdivision 2 as amended by chapter 659 of the laws of 1994 and para-11 graph (h) of subdivision 2 as amended by chapter 79 of the laws of 1992, 12 are amended and three new subdivisions 2-a, 4 and 5 are added to read as 13 follows:

1. Petitions for any office to be filled by the voters of the 14 entire state, EXCEPT THE OFFICE OF DELEGATE-AT-LARGE TO A CONVENTION TO REVISE 15 AND AMEND THE STATE CONSTITUTION, must be signed by not less than 16 fifteen thousand or five per centum, whichever is less, of the then enrolled voters of the party in the state (excluding voters in inactive 17 18 19 status), of whom not less than one hundred or five per centum, whichever 20 is less, of such enrolled voters shall reside in each of one-half of the 21 congressional districts of the state. PETITIONS FOR THE OFFICE OF DELE-

EXPLANATION--Matter in ITALICS (underscored) is new; matter in brackets
[] is old law to be omitted.

LBD01049-03-4

1 GATE-AT-LARGE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION 2 MUST BE SIGNED BY NOT LESS THAN FIVE THOUSAND OR TWO AND ONE-HALF PER 3 CENTUM, WHICHEVER IS LESS, OF SUCH ENROLLED VOTERS.

All other petitions, EXCEPT PETITIONS FOR THE OFFICE OF DISTRICT DELE-5 GATE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION, must be 6 signed by not less than five per centum, as determined by the preceding 7 enrollment, of the then enrolled voters of the party residing within the 8 political unit in which the office or position is to be voted for 9 (excluding voters in inactive status), provided, however, that for the 10 following public offices the number of signatures need not exceed the 11 following limits:

(h) For any office to be filled by all the voters of any state senatorial district, EXCEPT THE OFFICE OF DISTRICT DELEGATE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION, one thousand signatures;

15 2-A. PETITIONS FOR THE OFFICE OF DISTRICT DELEGATE TO A CONVENTION TO 16 REVISE AND AMEND THE STATE CONSTITUTION MUST BE SIGNED BY NOT LESS THAN 17 FIVE HUNDRED OR TWO AND ONE-HALF PER CENTUM, WHICHEVER IS LESS, OF THE 18 THEN ENROLLED VOTERS OF THE PARTY RESIDING WITHIN THE STATE SENATE 19 DISTRICT (EXCLUDING VOTERS IN INACTIVE STATUS).

4. IF A PETITION OF A CANDIDATE FOR THE OFFICE OF DELEGATE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION IS REJECTED, SUCH CANDIDATE SHALL BE AFFORDED SEVEN BUSINESS DAYS TO CORRECT TECHNICAL RRORS IN FILED PETITIONS AFTER THE OFFICIAL FILING OF PETITION OR, WHEN THE PETITION IS THE SUBJECT OF A JUDICIAL CHALLENGE WITHIN SEVEN BUSI-NESS DAYS AFTER THE COMMENCEMENT OF THE LAWSUIT.

26 5. THE PROVISIONS OF THIS SECTION SHALL BE LIBERALLY CONSTRUED TO 27 AVOID THE DISQUALIFICATION OF CANDIDATES TO THE MAXIMUM EXTENT FEASIBLE, 28 INCONSISTENT WITH SUBSTANTIAL COMPLIANCE NOT THEREWITH AND THE 29 PREVENTION OF FRAUD. "SUBSTANTIAL COMPLIANCE" WITHIN THIS SECTION MEANS ACTUAL COMPLIANCE IN RESPECT TO THE SUBSTANCE ESSENTIAL TO EVERY REASON-30 ABLE OBJECTIVE OF THE STATUTE. IT MEANS THAT A COURT SHOULD DETERMINE 31 32 WHETHER THE STATUTE HAS BEEN FOLLOWED SUFFICIENTLY SO AS TO CARRY OUT 33 THE INTENT FOR WHICH IT WAS ADOPTED. SUBSTANTIAL COMPLIANCE WITH A STAT-UTE IS NOT SHOWN UNLESS IT IS MADE TO APPEAR THAT THE PURPOSE 34 OF THE 35 SHOWN TO HAVE BEEN SERVED. WHAT CONSTITUTES SUBSTANTIAL STATUTE IS COMPLIANCE WITH A STATUTE IS A MATTER DEPENDING ON THE FACTS 36 EACH OF 37 PARTICULAR CASE.

38 S 3. Section 7-104 of the election law is amended by adding two new 39 subdivisions 9 and 10 to read as follows:

9. AT A GENERAL ELECTION AT WHICH THE NAMES OF CANDIDATES FOR DELEGATE-AT-LARGE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION
APPEAR ON THE BALLOT, EACH VOTER SHALL BE ENTITLED TO VOTE FOR ONE
CANDIDATE AND THE FIFTEEN CANDIDATES STATEWIDE RECEIVING THE HIGHEST
NUMBER OF VOTES SHALL BE ELECTED TO THE OFFICE OF DELEGATE-AT-LARGE TO
SUCH CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION.

10. AT A GENERAL ELECTION AT WHICH THE NAMES OF CANDIDATES 46 FOR THE 47 OFFICE DISTRICT DELEGATE TO A CONVENTION TO REVISE AND AMEND THE OF 48 STATE CONSTITUTION APPEAR ON THE BALLOT OF SUCH DISTRICT, EACH VOTER 49 SHALL BE ENTITLED TO VOTE FOR ONE CANDIDATE AND THE THREE CANDIDATES OF 50 SUCH DISTRICT RECEIVING THE HIGHEST NUMBER OF VOTES SHALL BE ELECTED TΟ OFFICE OF DISTRICT DELEGATES TO SUCH CONVENTION TO REVISE AND AMEND 51 THE52 THE STATE CONSTITUTION.

53 S 4. Subdivision 1 of section 14-114 of the election law is amended by 54 adding a new paragraph b-1 to read as follows:

55 B-1. NO CONTRIBUTOR MAY MAKE A CONTRIBUTION TO ANY CANDIDATE OR 56 AUTHORIZED COMMITTEE OF A CANDIDATE FOR AN OFFICE OR POSITION SUBJECT TO S. 3253--A

PROVISIONS OF THIS SECTION WHO IS ALSO A CANDIDATE IN THE SAME 1 THE 2 ELECTION FOR THE OFFICE OF DELEGATE-AT-LARGE OR DISTRICT DELEGATE TO A 3 CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION, AND NO SUCH CANDIDATE OR AUTHORIZED COMMITTEE OF A CANDIDATE FOR DELEGATE-AT-LARGE 4 5 OR DISTRICT DELEGATE MAY ACCEPT ANY CONTRIBUTION FROM ANY CONTRIBUTOR б IN THE AGGREGATE AMOUNT, GREATER THAN THE LARGER AMOUNT WHICH WHICH IS 7 MAY BE CONTRIBUTED TO SUCH A CANDIDATE FOR DELEGATE-AT-LARGE OR DISTRICT 8 DELEGATE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION OR SUCH OTHER OFFICE OR POSITION SUBJECT TO THE PROVISIONS OF THIS SECTION. 9 10 S 5. Sections 14-100 through 14-130 of article 14 of the election law 11 are designated title 1 and a new title heading is added to read as 12 follows:

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GENERAL CAMPAIGN RECEIPTS AND EXPENDITURES

14 S 6. Article 14 of the election law is amended by adding a new title 2 15 to read as follows: 16 TITLE II 17 STATE PUBLIC FINANCING

18 FOR THE ELECTION OF 19 DELEGATES TO A CONVENTION 20 TO REVISE AND AMEND THE STATE 21 CONSTITUTION SECTION 14-200. DEFINITIONS. 22 23 14-202. ELIGIBILITY. 24 14-204. QUALIFIED CAMPAIGN EXPENDITURES. 14-206. OPTIONAL PUBLIC FINANCING. 25 14-208. CONTRIBUTION AND RECEIPT LIMITATIONS. 26 27 14-210. EXPENDITURE LIMITATIONS. 14-212. EXAMINATIONS AND AUDITS; REPAYMENTS. 28 14-214. PENALTIES. 29 30 14-216. CIVIL PENALTIES. 31 DEFINITIONS. AS USED IN THIS TITLE, UNLESS ANOTHER MEANING S 14-200. 32 IS CLEARLY INDICATED: 1. THE TERM "STATE BOARD" SHALL MEAN THE STATE BOARD OF ELECTIONS. 33 34 2. THE TERM "CANDIDATE" SHALL MEAN A CANDIDATE FOR NOMINATION 35 ELECTION TO THE OFFICE OF DELEGATE-AT-LARGE TO A CONVENTION TO REVISE 36 AND AMEND THE STATE CONSTITUTION, OR A CANDIDATE FOR NOMINATION OR 37 ELECTION TO THE OFFICE OF DISTRICT DELEGATE TO A CONVENTION TO REVISE 38 AND AMEND THE STATE CONSTITUTION. 39 3. THE TERM "ELIGIBLE CANDIDATE" SHALL MEAN A CANDIDATE WHO MEETS REQUIREMENTS FOR ELIGIBILITY IN SECTION 14-202 OF THIS TITLE. 40 41 4. THE TERM "MATCHABLE CONTRIBUTIONS" SHALL MEAN THAT PORTION OF THE 42 AGGREGATE CONTRIBUTIONS MADE AFTER THE EFFECTIVE DATE OF THIS TITLE BY NATURAL PERSONS RESIDENT IN THE STATE OF NEW YORK TO A CANDIDATE FOR 43 NOMINATION OR ELECTION TO ANY OF THE OFFICES COVERED BY THE PROVISIONS 44 45 THIS TITLE WHICH DO NOT EXCEED FIVE HUNDRED DOLLARS, WHICH HAVE BEEN OF 46 REPORTED IN FULL BY THE CANDIDATE'S AUTHORIZED COMMITTEE TO THE STATE 47 BOARD, INCLUDING THE CONTRIBUTOR'S FULL NAME AND RESIDENTIAL ADDRESS AND, WITH RESPECT TO CONTRIBUTIONS OF MORE THAN ONE HUNDRED DOLLARS, THE 48 49 NAME AND ADDRESS OF THE CONTRIBUTOR'S EMPLOYER. "MATCHABLE CONTRIB-UTIONS" SHALL BE THE NET AMOUNT OF ANY MONETARY CONTRIBUTION REALIZED BY 50 A CANDIDATE OR DESIGNATED COMMITTEE AFTER DEDUCTING THE REASONABLE VALUE 51 52 OF ANY GOODS OR SERVICES PROVIDED THE CONTRIBUTOR IN CONNECTION WITH THE

OR

THE

53 CONTRIBUTION, EXCEPT THAT CONTRIBUTIONS FROM ANY PERSON WHO HAS RECEIVED A PAYMENT OR ANYTHING OF VALUE FROM SUCH COMMITTEE OR FROM A PERSON WHO 54

1 IS AN OFFICER, DIRECTOR OR EMPLOYEE OF, OR A PERSON WHO HAS A TEN 2 PERCENT OR GREATER OWNERSHIP INTEREST IN ANY ENTITY WHICH HAS RECEIVED 3 SUCH A PAYMENT OR THING OF VALUE SHALL NOT BE MATCHABLE. A LOAN MAY NOT 4 BE TREATED AS A MATCHABLE CONTRIBUTION. FOR PURPOSES OF THIS SUBDIVI-5 SION, A "CONTRIBUTOR" SHALL BE DEEMED TO INCLUDE THE SPOUSE AND UNEMAN-6 CIPATED CHILDREN OF ANY INDIVIDUAL CONTRIBUTOR.

5. THE TERM "QUALIFIED CAMPAIGN EXPENDITURE" SHALL MEAN AN EXPENDITURE8 FOR WHICH PUBLIC FUNDS MAY BE USED.

9 6. THE TERM "FUND" SHALL MEAN THE NEW YORK STATE DELEGATE TO THE 10 CONSTITUTIONAL CONVENTION CAMPAIGN FINANCE FUND ESTABLISHED IN SECTION 11 EIGHTY-ONE OF THE STATE FINANCE LAW.

12 7. THE TERM "THRESHOLD FOR ELIGIBILITY" SHALL MEAN THE AMOUNT OF TOTAL 13 MATCHABLE CONTRIBUTIONS THAT THE AUTHORIZED COMMITTEE OF AN OTHERWISE 14 ELIGIBLE CANDIDATE FOR ELECTION MUST RECEIVE IN ORDER TO QUALIFY FOR 15 OPTIONAL PUBLIC FINANCING PURSUANT TO THIS TITLE.

16 S 14-202. ELIGIBILITY. 1. TO BE ELIGIBLE FOR OPTIONAL PUBLIC FINANCING 17 UNDER THIS TITLE, A CANDIDATE FOR NOMINATION OR ELECTION MUST:

18 (A) MEET ALL THE REQUIREMENTS OF THIS CHAPTER AND OTHER PROVISIONS OF 19 LAW TO HAVE HIS OR HER NAME ON THE BALLOT;

20 (B) BE A CANDIDATE AS DEFINED IN SECTION 14-200 OF THIS TITLE AT A 21 PRIMARY OR GENERAL ELECTION AND MEET THE THRESHOLD FOR ELIGIBILITY SET 22 FORTH IN SUBDIVISION TWO OF THIS SECTION;

23 (C) ELECT TO PARTICIPATE IN THE PUBLIC FUNDING PROVISIONS OF THIS 24 TITLE NOT LATER THAN SEVEN DAYS AFTER THE LAST DAY TO FILE DESIGNATING 25 PETITIONS FOR THE OFFICE SUCH CANDIDATE IS SEEKING;

(D) AGREE TO OBTAIN AND FURNISH TO THE STATE BOARD ANY EVIDENCE IT MAY
REASONABLY REQUEST RELATING TO HIS OR HER CAMPAIGN EXPENDITURES OR
CONTRIBUTIONS AND FURNISH SUCH OTHER PROOF OF COMPLIANCE WITH THIS TITLE
AS MAY BE REQUESTED BY THE STATE BOARD;

30 (E) HAVE A SINGLE AUTHORIZED POLITICAL COMMITTEE WHICH HE OR SHE 31 CERTIFIES AS THE AUTHORIZED COMMITTEE FOR THE PURPOSES OF THIS TITLE;

32 (F) AGREE TO IDENTIFY ACCURATELY IN ALL CAMPAIGN MATERIALS THE PERSON33 OR ENTITY THAT PAID FOR SUCH CAMPAIGN MATERIAL; AND

34 (G) AGREE NOT TO MAKE EXPENDITURES FOR HIS OR HER DESIGNATION, NOMI-NATION OR ELECTION TO MORE THAN ONE OFFICE OR POSITION OR ANY COMBINA-35 THEREOF ON THE BALLOT IN THE SAME ELECTION IN WHICH HE OR SHE IS A 36 TION 37 CANDIDATE FOR THE OFFICE OF DELEGATE-AT-LARGE OR DISTRICT DELEGATE TO A 38 CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION, WHICH IN THE 39 AGGREGATE, FOR ALL SUCH OFFICES AND POSITIONS SOUGHT AT THE ELECTION, 40 EXPENDITURE LIMITATIONS ESTABLISHED BY THIS TITLE FOR AN EXCEED THE 41 ELIGIBLE CANDIDATE.

42 2. THE THRESHOLD FOR ELIGIBILITY FOR PUBLIC FUNDING FOR CANDIDATES IN 43 A PRIMARY OR GENERAL ELECTION FOR THE FOLLOWING OFFICES SHALL BE:

44 (A) A CANDIDATE FOR DELEGATE-AT-LARGE TO A CONVENTION TO REVISE AND 45 AMEND THE STATE CONSTITUTION IN A PRIMARY ELECTION OR A CANDIDATE FOR DELEGATE-AT-LARGE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTI-46 47 TUTION IN A GENERAL ELECTION. NOT LESS THAN SEVENTY-FIVE THOUSAND 48 DOLLARS IN MATCHABLE CONTRIBUTIONS INCLUDING AT LEAST FIVE HUNDRED SUCH 49 CONTRIBUTIONS OF TEN DOLLARS OR MORE OR ONE-HALF OF THE EXPENDITURE 50 LIMIT, WHICHEVER IS LESS.

51 (B) DISTRICT DELEGATE TO A CONVENTION TO REVISE AND AMEND THE STATE 52 CONSTITUTION IN A PRIMARY OR GENERAL ELECTION. NOT LESS THAN SEVEN THOU-53 SAND FIVE HUNDRED DOLLARS IN MATCHABLE CONTRIBUTIONS INCLUDING AT LEAST 54 TWENTY-FIVE SUCH CONTRIBUTIONS OF TEN DOLLARS OR MORE FROM RESIDENTS OF 55 THE DISTRICT IN WHICH THE SEAT IS TO BE FILLED OR ONE-HALF OF THE 56 EXPENDITURE LIMIT, WHICHEVER IS LESS. 1 3. IN ORDER TO BE ELIGIBLE TO RECEIVE PUBLIC FUNDS IN A PRIMARY 2 ELECTION, A CANDIDATE MUST AGREE THAT IN THE EVENT SUCH CANDIDATE IS A 3 CANDIDATE FOR SUCH OFFICE IN THE GENERAL ELECTION IN SUCH YEAR, SUCH 4 CANDIDATE WILL BE BOUND BY THE PROVISIONS OF THIS TITLE AND SECTION 5 EIGHTY-ONE OF THE STATE FINANCE LAW, INCLUDING, BUT NOT LIMITED TO, THE 6 RECEIPT AND EXPENDITURE LIMITS OF THIS TITLE.

4. CANDIDATES FOR DISTRICT DELEGATE WHO ARE CONTESTED IN A PRIMARY
8 ELECTION AND WHO DO NOT SEEK PUBLIC FUNDS SHALL NOT BE ELIGIBLE FOR
9 PUBLIC FUNDS FOR THE GENERAL ELECTION IN THAT YEAR.

10 5. CANDIDATES WHO ARE UNOPPOSED IN A GENERAL ELECTION SHALL NOT BE 11 ELIGIBLE TO RECEIVE PUBLIC FUNDS.

CANDIDATE FOR ELECTION TO AN OFFICE IN A PRIMARY OR GENERAL 12 6. NO ELECTION WHO HAS QUALIFIED FOR PUBLIC FUNDS SHALL RECEIVE SUCH PUBLIC 13 14 FUNDS UNLESS AT LEAST ONE OTHER CANDIDATE FOR SUCH OFFICE IN SUCH 15 ELECTION ALSO QUALIFIED TO RECEIVE PUBLIC FUNDS OR AT LEAST ONE OTHER 16 CANDIDATE FOR SUCH OFFICE IN SUCH ELECTION AND SUCH CANDIDATE'S AUTHOR-17 IZED COMMITTEE HAVE SPENT, OR CONTRACTED OR OBLIGATED TO SPEND, OR HAVE RECEIVED IN LOANS OR CONTRIBUTIONS AN AMOUNT EXCEEDING TEN PERCENT OF 18 19 THE EXPENDITURE LIMIT FOR SUCH OFFICE IN SUCH ELECTION WHICH IS FIXED BY 20 THIS TITLE FOR CANDIDATES WHO HAVE ELECTED TO ACCEPT SUCH PUBLIC FUNDS. 21 IF A CANDIDATE FOR AN OFFICE AND THE AUTHORIZED COMMITTEE OF SUCH CANDI-DATE REACHES THE THRESHOLD TO QUALIFY TO RECEIVE PUBLIC FUNDS, OR SPENDS 22 23 OR CONTRACTS OR OBLIGATES TO SPEND, OR RECEIVES IN LOANS OR CONTRIB-UTIONS, AN AMOUNT EXCEEDING TEN PERCENT OF THE EXPENDITURE LIMIT FOR 24 25 SUCH OFFICE IN SUCH ELECTION AT ANY TIME AFTER THE FILING DEADLINE FOR THE LAST REPORT REQUIRED TO BE FILED BEFORE THE FIRST DISTRIBUTION OF 26 27 PUBLIC FUNDS FOR SUCH ELECTION, SUCH CANDIDATE OR COMMITTEE MUST NOTIFY 28 THE STATE BOARD OF THAT FACT WITHIN FORTY-EIGHT HOURS BY EXPRESS MAIL.

29 S 14-204. OUALIFIED CAMPAIGN EXPENDITURES. 1. PUBLIC FUNDS PROVIDED 30 UNDER THE PROVISIONS OF THIS TITLE AND SECTION EIGHTY-ONE OF THE STATE FINANCE LAW MAY ONLY BE USED FOR EXPENDITURES BY ANY ONE COMMITTEE 31 32 AUTHORIZED BY THE CANDIDATE TO MAKE EXPENDITURES ON SUCH CANDIDATE'S 33 BEHALF, TO FURTHER THE CANDIDATE'S NOMINATION OR ELECTION DURING THE CALENDAR YEAR IN WHICH THE PRIMARY OR GENERAL ELECTION IN WHICH THE 34 CANDIDATE SEEKING NOMINATION OR ELECTION IS HELD, FOR SERVICES, MATERI-35 ALS, FACILITIES OR OTHER THINGS OF VALUE USED DURING THAT YEAR. THE 36 TOTAL OF ALL EXPENDITURES MADE BY THE CANDIDATE AND SUCH CANDIDATE'S 37 AUTHORIZED COMMITTEE, INCLUDING ALL PAYMENTS RECEIVED FROM THE FUND, SHALL NOT EXCEED THE EXPENDITURE LIMITATIONS ESTABLISHED IN SECTION 38 39 40 14-210 OF THIS TITLE, EXCEPT INSOFAR AS SUCH PAYMENTS ARE MADE TO REPAY LOANS USED TO PAY CAMPAIGN EXPENDITURES. 41

42 2. SUCH PUBLIC FUNDS MAY NOT BE USED FOR:

43 (A) AN EXPENDITURE IN VIOLATION OF ANY LAW OF THE UNITED STATES OR OF 44 THIS STATE;

(B) PAYMENTS OR ANYTHING OF VALUE GIVEN OR MADE TO THE CANDIDATE, A
RELATIVE OF THE CANDIDATE, OR TO A BUSINESS ENTITY IN WHICH ANY SUCH
PERSON HAS A TEN PERCENT OR GREATER OWNERSHIP INTEREST OR OF WHICH ANY
SUCH PERSON IS AN OFFICER, DIRECTOR OR EMPLOYEE;

49 (C) PAYMENT IN EXCESS OF THE FAIR MARKET VALUE OF SERVICES, MATERIALS, 50 FACILITIES OR OTHER THINGS OF VALUE RECEIVED IN EXCHANGE;

(D) ANY EXPENDITURE MADE AFTER THE CANDIDATE, OR THE ONLY REMAINING
OPPONENT OF THE CANDIDATE, HAS BEEN DISQUALIFIED OR HAD SUCH CANDIDATE'S
PETITIONS DECLARED INVALID BY A BOARD OF ELECTIONS OR A COURT OF COMPETENT JURISDICTION UNTIL AND UNLESS SUCH FINDING IS REVERSED BY A HIGHER
AUTHORITY. THIS PARAGRAPH SHALL NOT APPLY TO A CANDIDATE ENTITLED TO

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EXPEND PUBLIC FUNDS PURSUANT TO THE PROVISIONS OF SUBDIVISION THREE OF

2 SECTION 14-206 OF THIS TITLE; 3 ANY EXPENDITURE MADE TO CHALLENGE THE VALIDITY OF ANY PETITION OF (E) 4 DESIGNATION OR NOMINATION OR ANY CERTIFICATE OF NOMINATION, ACCEPTANCE, 5 AUTHORIZATION, DECLINATION OR SUBSTITUTION; 6 EXPENDITURE FOR NONCAMPAIGN RELATED FOOD, DRINK OR ENTERTAINMENT; (F) 7 AND 8 (G) GIFTS, EXCEPT BROCHURES, BUTTONS, SIGNS AND OTHER CAMPAIGN MATERI-9 AL. 10 S 14-206. OPTIONAL PUBLIC FINANCING. 1. ELIGIBLE CANDIDATES FOR NOMI-11 NATION OR ELECTION IN PRIMARY AND GENERAL ELECTIONS MAY OBTAIN PAYMENT 12 TO AUTHORIZED COMMITTEES FROM PUBLIC FUNDS FOR OUALIFIED CAMPAIGN EXPENDITURES. NO SUCH PUBLIC FUNDS SHALL BE PAID TO AN AUTHORIZED 13 14 COMMITTEE UNTIL THE CANDIDATE HAS QUALIFIED AS AN ELIGIBLE CANDIDATE AND

15 FILED A SWORN STATEMENT WITH THE STATE BOARD ELECTING TO RECEIVE PUBLIC FUNDS AND AGREEING TO ABIDE BY THE REQUIREMENTS OF THIS TITLE AND 16 17 SECTION EIGHTY-ONE OF THE STATE FINANCE LAW. PAYMENTS SHALL NOT EXCEED THE AMOUNTS SPECIFIED IN THIS TITLE, AND SHALL BE MADE ONLY IN ACCORD-18 19 ANCE WITH THE PROVISIONS OF THIS TITLE AND SECTION EIGHTY-ONE OF THE STATE FINANCE LAW. SUCH PAYMENTS MAY ONLY BE MADE TO AN ELIGIBLE CANDI-20 DATE'S AUTHORIZED COMMITTEE. NO PUBLIC FUNDS SHALL BE USED EXCEPT AS 21 22 REIMBURSEMENT OR PAYMENT FOR QUALIFIED CAMPAIGN EXPENDITURES ACTUALLY 23 AND LAWFULLY INCURRED OR TO REPAY LOANS USED TO PAY OUALIFIED CAMPAIGN 24 EXPENDITURES.

25 2. (A) THE AUTHORIZED COMMITTEE OF EACH ELIGIBLE CANDIDATE SHALL BE 26 ENTITLED TO PAYMENT FOR QUALIFIED CAMPAIGN EXPENDITURES NOT TO EXCEED 27 ONE DOLLAR FOR EACH ONE DOLLAR OF MATCHABLE CONTRIBUTIONS OBTAINED AND 28 REPORTED TO THE STATE BOARD IN ACCORDANCE WITH THE PROVISIONS OF THIS 29 TITLE.

(B) HOWEVER, IF ANY CANDIDATE IN ANY ELECTION FOR AN OFFICE FOR WHICH 30 PUBLIC FUNDS ARE AVAILABLE PURSUANT TO THE PROVISIONS OF THIS TITLE AND 31 32 SECTION EIGHTY-ONE OF THE STATE FINANCE LAW, ELECTS NOT TO ACCEPT SUCH 33 PUBLIC FUNDS AND SUCH CANDIDATE AND SUCH CANDIDATE'S AUTHORIZED COMMIT-34 TEE SPEND OR CONTRACT OR OBLIGATE TO SPEND, OR RECEIVE IN LOANS OR CONTRIBUTIONS, AN AMOUNT EXCEEDING ONE-THIRD OF THE EXPENDITURE 35 LIMIT SUCH OFFICE FIXED BY THIS TITLE FOR CANDIDATES WHO HAVE ELECTED TO 36 FOR 37 ACCEPT SUCH PUBLIC FUNDS, THEN THE AUTHORIZED COMMITTEE OF EACH ELIGIBLE CANDIDATE FOR SUCH OFFICE SHALL BE ENTITLED TO PAYMENT FOR QUALIFIED 38 39 CAMPAIGN EXPENDITURES NOT TO EXCEED TWO DOLLARS FOR EACH SUCH DOLLAR OF 40 MATCHABLE CONTRIBUTIONS. IF A CANDIDATE WHO ELECTS NOT TO ACCEPT SUCH PUBLIC FUNDS, OR THE AUTHORIZED COMMITTEE OF SUCH A CANDIDATE, SPENDS OR 41 CONTRACTS OR OBLIGATES TO SPEND, OR RECEIVES IN LOANS OR CONTRIBUTIONS, 42 43 AN AMOUNT EXCEEDING ONE-THIRD OF THE EXPENDITURE LIMIT FOR SUCH OFFICE, 44 SUCH CANDIDATE OR COMMITTEE MUST NOTIFY THE STATE BOARD OF THE FACT 45 WITHIN FORTY-EIGHT HOURS BY EXPRESS MAIL.

3. NO CANDIDATE FOR NOMINATION FOR AN OFFICE WHO IS UNOPPOSED IN A 46 47 PRIMARY ELECTION SHALL BE ENTITLED TO PAYMENT FROM THE FUND FOR QUALI-48 FIED CAMPAIGN EXPENDITURES, UNLESS THERE IS A CONTEST IN SUCH PRIMARY THE NOMINATION OF AT LEAST ONE OTHER PARTY FOR SUCH OFFICE. WHERE 49 FOR THERE IS SUCH A CONTEST, THE AUTHORIZED COMMITTEE OF AN UNOPPOSED CANDI-50 51 DATE FOR NOMINATION MAY RECEIVE ONE-HALF OF THE PAYMENT PROVIDED IN SUBDIVISION TWO OF THIS SECTION, PROVIDED THAT SUCH CANDIDATE OTHERWISE 52 QUALIFIES PURSUANT TO THE PROVISIONS OF THIS TITLE. SUCH PAYMENT MAY 53 54 ONLY BE EXPENDED FOR PROPERTY, SERVICES OR FACILITIES USED ON OR BEFORE 55 THE DATE OF SUCH PRIMARY.

4. THE TOTAL PAYMENTS FROM THE FUND RECEIVED BY THE AUTHORIZED COMMIT-TEE OF ANY CANDIDATE, WHEN ADDED TO THE TOTAL OF CONTRIBUTIONS RECEIVED BY SUCH CANDIDATE AND SUCH CANDIDATE'S AUTHORIZED COMMITTEE, MAY NOT EXCEED THE AMOUNT WHICH MAY BE EXPENDED BY SUCH CANDIDATE PURSUANT TO THE PROVISIONS OF THIS TITLE.

5. THE STATE BOARD SHALL PROMPTLY EXAMINE ALL REPORTS OF CONTRIBUTIONS
TO DETERMINE THAT, ON THEIR FACE, THEY MEET THE REQUIREMENTS FOR MATCHABLE CONTRIBUTIONS, AND SHALL KEEP A RECORD OF SUCH CONTRIBUTIONS.

THE STATE BOARD SHALL PROMULGATE REGULATIONS FOR THE CERTIFICATION 9 6. 10 FOR APPROVAL OF PAYMENT BY THE NEW YORK STATE DELEGATE TO THE CONSTITU-TIONAL CONVENTION CAMPAIGN FINANCE FUND PURSUANT TO SECTION EIGHTY-ONE 11 OF THE STATE FINANCE LAW OF THE SUM OF PUBLIC FUNDS THAT SUCH CANDIDATE 12 HAS OUALIFIED TO RECEIVE FROM THE NEW YORK STATE DELEGATE TO THE CONSTI-13 14 TUTIONAL CONVENTION CAMPAIGN FINANCE FUND. THESE REGULATIONS SHALL 15 INCLUDE THE PROMULGATION AND DISTRIBUTION OF FORMS ON WHICH CONTRIB-16 UTIONS AND EXPENDITURES ARE TO BE REPORTED, THE PERIODS DURING WHICH SUCH REPORTS MUST BE FILED AND THE VERIFICATION REQUIRED. THE STATE 17 BOARD SHALL ENDEAVOR TO INSTITUTE PROCEDURES WHICH WILL MAKE POSSIBLE 18 19 PAYMENT BY THE NEW YORK STATE DELEGATE TO THE CONSTITUTIONAL CONVENTION 20 CAMPAIGN FINANCE FUND WITHIN FOUR BUSINESS DAYS AFTER RECEIPT OF THE 21 REQUIRED FORMS AND VERIFICATIONS.

S 14-208. CONTRIBUTION AND RECEIPT LIMITATIONS. 1. THE FOLLOWING LIMITATIONS APPLY TO ALL CONTRIBUTIONS FOR THOSE OFFICES FOR WHICH PUBLIC
FUNDS ARE AVAILABLE PURSUANT TO THE PROVISIONS OF THIS TITLE AND SECTION
EIGHTY-ONE OF THE STATE FINANCE LAW:

26 (A) IN ANY PRIMARY OR GENERAL ELECTION FOR A PUBLIC OFFICE TO BE VOTED 27 ON BY THE VOTERS OF THE ENTIRE STATE, NO CONTRIBUTOR MAY MAKE A CONTRIB-ANY CANDIDATE OR AUTHORIZED COMMITTEE, AND NO CANDIDATE OR 28 UTION TO AUTHORIZED COMMITTEE MAY ACCEPT ANY CONTRIBUTION FROM ANY CONTRIBUTOR, 29 WHICH, IN THE AGGREGATE AMOUNT, IS GREATER THAN FOUR THOUSAND DOLLARS. A 30 CANDIDATE FOR DELEGATE-AT-LARGE TO A CONVENTION TO REVISE AND AMEND THE 31 32 STATE CONSTITUTION IN A GENERAL ELECTION WHO HAS ELECTED TO PARTICIPATE THE OPTIONAL PUBLIC FINANCING PROVISIONS OF THIS TITLE MAY ACCEPT 33 IN 34 FROM ONE OR MORE OF THE PARTY COMMITTEES OR CONSTITUTED COMMITTEES OF 35 ALL THE PARTIES WHICH HAVE NOMINATED SUCH CANDIDATE, AN AMOUNT WHICH, IN THE AGGREGATE, DOES NOT EXCEED ONE HUNDRED THOUSAND DOLLARS. A CANDI-36 37 DATE FOR DELEGATE-AT-LARGE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION IN A GENERAL ELECTION WHO HAS ELECTED NOT TO PARTICIPATE IN 38 SUCH OPTIONAL PUBLIC FINANCING MAY ACCEPT FROM SUCH PARTY OR CONSTITUTED 39 40 COMMITTEE AN AMOUNT, WHICH IN THE AGGREGATE, DOES NOT EXCEED FIFTY THOU-41 SAND DOLLARS.

42 (B) IN ANY PRIMARY OR GENERAL ELECTION FOR DISTRICT DELEGATE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION, NO CONTRIBUTOR 43 MAY MAKE A CONTRIBUTION TO ANY CANDIDATE OR AUTHORIZED COMMITTEE, AND NO 44 45 CANDIDATE OR AUTHORIZED COMMITTEE MAY ACCEPT ANY CONTRIBUTION FROM ANY CONTRIBUTOR, WHICH, IN THE AGGREGATE AMOUNT, IS GREATER THAN ONE THOU-46 47 SAND FIVE HUNDRED DOLLARS, EXCEPT THAT A CANDIDATE FOR DISTRICT DELEGATE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION IN A GENERAL 48 49 ELECTION WHO HAS ELECTED TO PARTICIPATE IN THE OPTIONAL PUBLIC FINANCING 50 PROVISIONS OF THIS TITLE OR SUCH CANDIDATE'S AUTHORIZED COMMITTEE MAY ACCEPT FROM ONE OR MORE OF THE PARTY OR CONSTITUTED COMMITTEES OF ALL OF 51 THE PARTIES WHICH HAVE NOMINATED SUCH CANDIDATE, AN AMOUNT WHICH IN THE 52 AGGREGATE DOES NOT EXCEED FIFTY THOUSAND DOLLARS. A CANDIDATE FOR 53 54 DISTRICT DELEGATE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTI-55 TUTION WHO HAS ELECTED NOT TO PARTICIPATE IN SUCH OPTIONAL PUBLIC

1 FINANCING MAY ACCEPT FROM SUCH PARTY OR CONSTITUTED COMMITTEES AN AMOUNT 2 WHICH, IN THE AGGREGATE, DOES NOT EXCEED THIRTY THOUSAND DOLLARS.

3 IF ANY CANDIDATE ELECTS NOT TO ACCEPT SUCH PUBLIC FUNDS (C) HOWEVER, 4 AND SUCH CANDIDATE AND SUCH CANDIDATE'S AUTHORIZED COMMITTEE SPEND OR CONTRACT OR OBLIGATE TO SPEND, OR RECEIVE IN LOANS OR CONTRIBUTIONS, AN 5 6 AMOUNT EXCEEDING ONE-THIRD OF THE EXPENDITURE LIMIT FOR SUCH OFFICE 7 FIXED BY THIS TITLE FOR CANDIDATES WHO HAVE ELECTED TO ACCEPT SUCH PUBLIC FUNDS, CONTRIBUTORS TO THOSE CANDIDATES FOR SUCH OFFICE WHO HAVE 8 9 ELECTED TO RECEIVE PUBLIC FUNDS SHALL BE ALLOWED TO CONTRIBUTE AND SUCH 10 CANDIDATES OR AUTHORIZED COMMITTEES SHALL BE ALLOWED TO ACCEPT CONTRIB-UTIONS FROM ANY CONTRIBUTOR, WHICH, IN THE AGGREGATE, ARE TWICE THE 11 AMOUNT WHICH WOULD OTHERWISE BE ALLOWED BY PARAGRAPHS (A) AND (B) 12 OF THIS SUBDIVISION, WHICHEVER IS APPLICABLE. IF A CANDIDATE WHO ELECTS NOT 13 14 ACCEPT SUCH PUBLIC FUNDS, AND THE AUTHORIZED COMMITTEE OF SUCH A TO 15 CANDIDATE SPENDS OR CONTRACTS OR OBLIGATES TO SPEND, OR RECEIVES IN LOANS OR CONTRIBUTIONS, AN AMOUNT EXCEEDING ONE-THIRD OF THE EXPENDITURE 16 17 LIMIT FOR SUCH OFFICE, SUCH CANDIDATE OR COMMITTEE MUST NOTIFY THE STATE BOARD OF THAT FACT WITHIN FORTY-EIGHT HOURS BY EXPRESS MAIL. 18

19 (D) SIXTY DAYS BEFORE AN ELECTION AT WHICH A BALLOT QUESTION WHICH ASKS THE VOTERS OF THE STATE IF THERE SHALL BE A CONVENTION TO REVISE 20 AND AMEND THE STATE CONSTITUTION IS ON THE BALLOT, THE STATE BOARD SHALL 21 THE PERCENTAGE DIFFERENCE BETWEEN THE MOST RECENT AVAILABLE 22 DETERMINE 23 MONTHLY CONSUMER PRICE INDEX FOR ALL URBAN CONSUMERS PUBLISHED BY THE UNITED STATES BUREAU OF LABOR STATISTICS AND SUCH CONSUMER PRICE INDEX 24 25 PUBLISHED FOR THE SAME MONTH AT THE END OF TWO THOUSAND ONE. THE AMOUNT 26 OF EACH CONTRIBUTION LIMIT FIXED IN THIS SUBDIVISION SHALL BE ADJUSTED 27 AMOUNT OF SUCH PERCENTAGE DIFFERENCE TO THE CLOSEST ONE HUNDRED ΒY THE 28 DOLLARS BY THE STATE BOARD, WHICH SHALL FORTHWITH ISSUE A REGULATION SETTING FORTH THE AMOUNT OF EACH SUCH CONTRIBUTION LIMIT. EACH CONTRIB-29 UTION LIMIT AS SO ADJUSTED SHALL BE THE CONTRIBUTION LIMIT IN EFFECT FOR 30 ANY ELECTION HELD BEFORE THE NEXT SUCH ADJUSTMENT. 31

32 2. A COMMITTEE WHICH HAS BEEN AUTHORIZED BY A PERSON WHO IS A CANDI-33 DATE FOR DELEGATE-AT-LARGE OR DISTRICT DELEGATE TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION IN CONNECTION WITH SUCH PERSON'S 34 35 CANDIDACY FOR ANOTHER OFFICE OR POSITION MAY NOT BE DESIGNATED AS THE AUTHORIZED COMMITTEE FOR THE ELECTION FOR DELEGATE-AT-LARGE OR DISTRICT 36 37 DELEGATE TO THE CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION. 38 SUCH COMMITTEE MAY NOT CONTRIBUTE TO SUCH CANDIDATE AND SUCH CANDIDATE'S 39 AUTHORIZED COMMITTEE FOR THE OFFICE OF DELEGATE-AT-LARGE OR DISTRICT 40 TO A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION ANY DELEGATE MORE THAN THE CONTRIBUTION LIMIT FOR SUCH OFFICE ESTABLISHED 41 ΒY THIS TITLE, NOR SHALL SUCH OTHER AUTHORIZED COMMITTEE TRANSFER ANY MONEY OR 42 43 THING OF VALUE TO SUCH CANDIDATE OR THE COMMITTEE AUTHORIZED ΒY SUCH 44 CANDIDATE FOR THE ELECTION FOR DELEGATE-AT-LARGE OR DISTRICT DELEGATE TO 45 A CONVENTION TO REVISE AND AMEND THE STATE CONSTITUTION.

46 3. EXCEPT FOR THE LIMITATIONS SPECIFICALLY SET FORTH IN THIS SECTION, 47 SUCH ELIGIBLE CANDIDATES SHALL BE SUBJECT TO THE PROVISIONS OF SECTION 48 14-114 OF THIS ARTICLE.

49 S 14-210. EXPENDITURE LIMITATIONS. 1. THE FOLLOWING LIMITATIONS APPLY 50 TO ALL EXPENDITURES BY ELIGIBLE CANDIDATES AND THEIR AUTHORIZED COMMIT-51 TEES RECEIVING PUBLIC FUNDS PURSUANT TO THE PROVISIONS OF THIS TITLE AND 52 SECTION EIGHTY-ONE OF THE STATE FINANCE LAW.

53 2. (A) IN ANY PRIMARY ELECTION, EXPENDITURES BY ELIGIBLE CANDIDATES 54 FOR DELEGATE-AT-LARGE TO A CONVENTION TO REVISE AND AMEND THE STATE 55 CONSTITUTION AND THEIR AUTHORIZED COMMITTEES, INCLUDING EXPENDITURES FOR 56 NOMINATION TO ANY OTHER OFFICE OR POSITION FOR WHICH SUCH PERSON IS A

CANDIDATE AT SUCH ELECTION, SHALL NOT EXCEED THE SUM OF SEVENTY-FIVE 1 CENTS FOR EACH VOTER ENROLLED IN THE CANDIDATE'S PARTY IN THE STATE, OR 2 3 TWO HUNDRED FIFTY THOUSAND DOLLARS, WHICHEVER IS GREATER, AND EXPENDI-4 TURES BY ELIGIBLE CANDIDATES FOR DISTRICT DELEGATE TO A CONVENTION TO 5 REVISE AND AMEND THE STATE CONSTITUTION AND THEIR AUTHORIZED COMMITTEES 6 SHALL NOT EXCEED THE SUM OF ONE DOLLAR AND SEVENTY-FIVE CENTS FOR EACH 7 VOTER ENROLLED IN THE CANDIDATE'S PARTY IN THE DISTRICT IN WHICH SUCH 8 CANDIDATE IS A CANDIDATE AS DETERMINED BY THE RECORDS OF THE APPROPRIATE BOARD OR BOARDS OF ELECTION AS OF THE LAST GENERAL ELECTION PRECEDING 9 10 THE PRIMARY ELECTION, OR FIFTEEN THOUSAND DOLLARS, WHICHEVER IS GREATER. 11 HOWEVER, SUCH EXPENDITURES SHALL NOT EXCEED FIVE HUNDRED THOUSAND DOLLARS IN A PRIMARY ELECTION FOR DELEGATE-AT-LARGE TO A CONVENTION TO 12 REVISE AND AMEND THE STATE CONSTITUTION, AND THIRTY THOUSAND DOLLARS IN 13 14 PRIMARY ELECTION FOR DISTRICT DELEGATE TO A CONVENTION TO REVISE AND А 15 AMEND THE STATE CONSTITUTION.

(B) IN ANY GENERAL ELECTION, EXPENDITURES BY ELIGIBLE CANDIDATES FOR
THE FOLLOWING OFFICES AND THEIR DESIGNATED COMMITTEES, INCLUDING EXPENDITURES FOR ELECTION TO ANY OTHER OFFICE FOR WHICH SUCH PERSON IS A
CANDIDATE AT SUCH ELECTION, SHALL NOT EXCEED THE FOLLOWING AMOUNTS:

20 FOR A CANDIDATE FOR:

21 DELEGATE-AT-LARGE22 TO A CONVENTION TO REVISE AND23 AMEND THE STATE CONSTITUTION

\$1,500,000

24 DISTRICT DELEGATE
25 TO A CONVENTION TO REVISE AND
26 AMEND THE STATE CONSTITUTION \$150,000

27 (C) HOWEVER, IF ANY CANDIDATE ELECTS NOT TO ACCEPT SUCH PUBLIC FUNDS 28 AND SUCH CANDIDATE AND SUCH CANDIDATE'S AUTHORIZED COMMITTEE SPEND OR CONTRACT OR OBLIGATE TO SPEND, OR RECEIVE IN LOANS OR CONTRIBUTIONS, AN 29 AMOUNT EXCEEDING ONE-THIRD OF THE EXPENDITURE LIMIT FOR SUCH OFFICE 30 FIXED BY PARAGRAPH (A) OR (B) OF THIS SUBDIVISION, WHICHEVER IS APPLICA-31 BLE, FOR CANDIDATES WHO HAVE ELECTED TO ACCEPT SUCH PUBLIC FUNDS, THERE 32 SHALL BE NO EXPENDITURE LIMIT FOR THOSE CANDIDATES FOR SUCH OFFICE WHO 33 34 HAVE ELECTED TO RECEIVE PUBLIC FUNDS. IF A CANDIDATE WHO ELECTS NOT TO ACCEPT SUCH PUBLIC FUNDS, AND SUCH CANDIDATE AND THE AUTHORIZED COMMIT-35 TEE OF SUCH A CANDIDATE SPENDS OR CONTRACTS OR OBLIGATES TO SPEND, OR 36 37 RECEIVES IN LOANS OR CONTRIBUTIONS, AN AMOUNT EXCEEDING ONE-THIRD OF THE EXPENDITURE LIMIT FOR SUCH OFFICE, SUCH CANDIDATE OR COMMITTEE MUST 38 39 NOTIFY THE STATE BOARD OF THAT FACT WITHIN FORTY-EIGHT HOURS BY EXPRESS 40 MAIL.

41 CANDIDATES FOR OFFICE WHO ARE UNOPPOSED IN THE PRIMARY ELECTION (D) MAY EXPEND BEFORE THE PRIMARY ELECTION, FOR SERVICES, MATERIALS OR 42 43 FACILITIES USED ON OR BEFORE THE DATE OF SUCH PRIMARY ELECTION, AN AMOUNT EQUAL TO HALF THE SUM SUCH CANDIDATES WOULD BE ENTITLED TO SPEND 44 45 ΙF THEIR NOMINATION WAS CONTESTED IN SUCH PRIMARY ELECTION; PROVIDED 46 THAT THERE IS A CONTEST IN SUCH PRIMARY FOR THE NOMINATION OF AT LEAST 47 ONE OTHER PARTY FOR SUCH OFFICE.

(E) EXPENDITURES FOR LEGAL FEES AND EXPENSES TO DEFEND THE VALIDITY OF
PETITIONS OF DESIGNATION OR NOMINATION OR CERTIFICATES OF NOMINATION,
ACCEPTANCE, AUTHORIZATION, DECLINATION OR SUBSTITUTION, OR TO CHALLENGE
SUCCESSFULLY, ANY SUCH PETITION OR CERTIFICATE ON GROUNDS OF FRAUD AND
FOR EXPENSES INCURRED TO COMPLY WITH THE CAMPAIGN FINANCE REPORTING

1 REQUIREMENTS OF THIS ARTICLE SHALL NOT BE SUBJECT TO THE EXPENDITURE 2 LIMITS OF THIS SUBDIVISION.

3 EXPENDITURE LIMIT IN THIS SUBDIVISION, EACH (F) NOTWITHSTANDING ANY 4 COUNTY COMMITTEE OF ANY PARTY WHICH NOMINATES A CANDIDATE FOR STATEWIDE 5 INCLUDING ANY SUBCOMMITTEES OF SUCH A COMMITTEE, MAY EXPEND IN OFFICE, 6 SUPPORT OF EACH SUCH CANDIDATE FOR STATEWIDE OFFICE OF SUCH PARTY WHO 7 AGREED TO ACCEPT PUBLIC FINANCING, AN AMOUNT WHICH SHALL NOT EXCEED HAS 8 THE SUM OF TWO CENTS FOR EACH VOTER REGISTERED IN SUCH COUNTY AS DETER-MINED BY THE RECORDS OF THE APPROPRIATE BOARD OF ELECTIONS AS OF THE 9 10 PRECEDING GENERAL ELECTION.

11 (G) SIXTY DAYS BEFORE AN ELECTION AT WHICH A BALLOT QUESTION WHICH 12 VOTERS OF THE STATE IF THERE SHALL BE A CONVENTION TO REVISE ASKS THEAND AMEND THE STATE CONSTITUTION IS ON THE BALLOT, THE STATE BOARD SHALL 13 14 DETERMINE THE PERCENTAGE DIFFERENCE BETWEEN THE MOST RECENT AVAILABLE 15 MONTHLY CONSUMER PRICE INDEX FOR ALL URBAN CONSUMERS PUBLISHED BY THE 16 UNITED STATES BUREAU OF LABOR STATISTICS AND SUCH CONSUMER PRICE INDEX PUBLISHED AT THE END OF TWO THOUSAND ONE. THE AMOUNT OF EACH EXPENDITURE 17 FIXED IN THIS SUBDIVISION SHALL BE ADJUSTED BY THE AMOUNT OF SUCH 18 LIMIT 19 PERCENTAGE DIFFERENCE TO THE CLOSEST ONE THOUSAND DOLLARS BY THE STATE 20 BOARD, WHICH SHALL FORTHWITH ISSUE A REGULATION SETTING FORTH THE AMOUNT EACH SUCH CONTRIBUTION LIMIT. EACH CONTRIBUTION LIMIT AS SO ADJUSTED 21 OF 22 SHALL BE THE CONTRIBUTION LIMIT IN EFFECT FOR ANY ELECTION HELD BEFORE 23 THE NEXT SUCH ADJUSTMENT.

24 IN COMPUTING THE AGGREGATE AMOUNT EXPENDED FOR PURPOSES OF THIS 3. 25 SECTION, EXPENDITURES MADE BY A COMMITTEE IN SUPPORT OF MORE THAN ONE 26 CANDIDATE SHALL BE ALLOCATED AMONG SUCH CANDIDATES SUPPORTED BY THE 27 COMMITTEE IN ACCORDANCE WITH FORMULAS PROMULGATED BY THE STATE BOARD OR, 28 IN THE ABSENCE OF SUCH OFFICIAL FORMULAS, IN ACCORDANCE WITH ANY FORMULA BASED UPON REASONABLE STANDARDS. THE STATEMENTS FILED BY SUCH COMMITTEE 29 ACCORDANCE WITH THIS CHAPTER SHALL SET FORTH, IN ADDITION TO THE 30 INOTHER INFORMATION REQUIRED, THE TOTAL AMOUNT EXPENDED BY THE COMMITTEE 31 32 ON BEHALF OF ALL SUCH CANDIDATES AND THE AMOUNT ALLOCATED TO EACH CANDI-33 BY DOLLAR AMOUNT AND PERCENTAGE. EXPENDITURES BY A STATE OR OTHER DATE 34 COMMITTEE OF A POLITICAL PARTY FOR ACTIVITIES WHICH DO NOT SUPPORT OR 35 THE ELECTION OF ANY CANDIDATE OR CANDIDATES BY NAME OR BY CLEAR OPPOSE INFERENCE SHALL NOT BE REGARDED AS EXPENDITURES ON BEHALF OF OR IN OPPO-36 37 SITION TO A CANDIDATE.

38 S 14-212. EXAMINATIONS AND AUDITS; REPAYMENTS. 1. THE STATE BOARD 39 SHALL CONDUCT A THOROUGH EXAMINATION AND AUDIT OF THE CONTRIBUTIONS AND 40 QUALIFIED CAMPAIGN EXPENSES OF THE AUTHORIZED COMMITTEE OF EVERY ELIGI-41 BLE CANDIDATE WHO RECEIVED PAYMENTS PURSUANT TO SECTION 14-206 OF THIS 42 TITLE.

43 2. (A) IF THE STATE BOARD DETERMINES THAT ANY PORTION OF THE PAYMENT 44 TO SUCH AUTHORIZED COMMITTEE FROM THE FUND WAS IN EXCESS OF THE MADE 45 AGGREGATE AMOUNT OF PAYMENTS TO WHICH SUCH ELIGIBLE CANDIDATE WAS ENTI-TLED PURSUANT TO SECTION 14-206 OF THIS TITLE, IT SHALL NOTIFY SUCH 46 47 COMMITTEE, AND SUCH COMMITTEE SHALL PAY TO THE STATE BOARD AN AMOUNT 48 EQUAL TO THE AMOUNT OF EXCESS PAYMENTS.

(B) IF THE STATE BOARD DETERMINES THAT ANY AMOUNT OF PAYMENT MADE TO
AN AUTHORIZED COMMITTEE OF AN ELIGIBLE CANDIDATE FROM THE FUND WAS USED
FOR PURPOSES OTHER THAN TO DEFRAY QUALIFIED CAMPAIGN EXPENSES, IT SHALL
NOTIFY THE SAID AUTHORIZED COMMITTEE OF THE AMOUNT DISQUALIFIED, AND THE
SAID AUTHORIZED COMMITTEE SHALL PAY TO THE STATE BOARD AN AMOUNT EQUAL
TO SUCH DISQUALIFIED AMOUNT.

55 (C) IF THE TOTAL OF CONTRIBUTIONS AND PAYMENTS FROM THE FUND RECEIVED 56 BY ANY CANDIDATE AND SUCH CANDIDATE'S AUTHORIZED COMMITTEE EXCEEDS THE

CAMPAIGN EXPENDITURES OF SUCH CANDIDATE AND COMMITTEE, SUCH CANDIDATE 1 AND COMMITTEE SHALL USE SUCH EXCESS FUNDS TO REIMBURSE THE FUND FOR 2 3 PAYMENTS RECEIVED BY SUCH COMMITTEE FROM THE FUND NOT LATER THAN TEN 4 DAYS AFTER ALL LIABILITIES HAVE BEEN PAID AND IN ANY EVENT, NOT LATER THAN MARCH THIRTY-FIRST OF THE YEAR FOLLOWING THE YEAR OF THE ELECTION 5 6 FOR WHICH SUCH PAYMENTS WERE INTENDED. NO SUCH EXCESS FUNDS SHALL BE 7 USED FOR ANY OTHER PURPOSE, UNLESS THE TOTAL AMOUNT DUE THE FUND FROM 8 SUCH CANDIDATE AND COMMITTEE HAS BEEN REPAID.

9 3. IF A COURT OF COMPETENT JURISDICTION DISQUALIFIES A CANDIDATE WHOSE 10 AUTHORIZED COMMITTEE HAS RECEIVED PUBLIC FUNDS ON THE GROUNDS THAT SUCH 11 CANDIDATE COMMITTED FRAUDULENT ACTS IN ORDER TO OBTAIN A PLACE ON THE 12 BALLOT AND SUCH DECISION IS NOT REVERSED BY A HIGHER COURT, SUCH CANDI-13 DATE AND SUCH CANDIDATE'S AUTHORIZED COMMITTEE SHALL PAY TO THE STATE 14 BOARD AN AMOUNT EQUAL TO THE TOTAL OF PUBLIC FUNDS RECEIVED BY SUCH 15 AUTHORIZED COMMITTEE.

4. ALL PAYMENTS RECEIVED BY THE STATE BOARD PURSUANT TO THIS SECTION
SHALL BE DEPOSITED IN THE NEW YORK STATE DELEGATE TO THE CONSTITUTIONAL
CONVENTION CAMPAIGN FINANCE FUND.

19 S 14-214. PENALTIES. 1. ANY PERSON WHO KNOWINGLY AND WILLFULLY FAILS 20 TO FILE A STATEMENT REQUIRED TO BE FILED BY THIS TITLE OR THE RULES OR 21 REGULATIONS OF THE STATE BOARD IN IMPLEMENTATION THEREOF WITHIN FIVE DAYS AFTER THE DATE PROVIDED FOR FILING SUCH STATEMENT, OR ANY PERSON 22 23 WHO KNOWINGLY AND WILLFULLY VIOLATES ANY OTHER PROVISION OF THIS TITLE OR OF SECTION EIGHTY-ONE OF THE STATE FINANCE LAW SHALL BE GUILTY OF A 24 25 CLASS A MISDEMEANOR, UNLESS A GREATER PENALTY IS SPECIFICALLY PRESCRIBED 26 IN ANOTHER APPLICABLE STATUTE.

2. ANY PERSON WHO KNOWINGLY AND WILLFULLY CONTRIBUTES OR EXPENDS OR
AIDS OR PARTICIPATES IN THE CONTRIBUTION OR EXPENDITURE OF FUNDS IN AN
AMOUNT EXCEEDING AN APPLICABLE MAXIMUM SPECIFIED IN THIS TITLE, OR WHO
KNOWINGLY AND WILLFULLY ACCEPTS OR AIDS OR PARTICIPATES IN THE ACCEPTANCE OF A CONTRIBUTION IN AN AMOUNT EXCEEDING AN APPLICABLE MAXIMUM
SPECIFIED IN THIS TITLE SHALL BE GUILTY OF A CLASS A MISDEMEANOR.

33 3. ANY PERSON WHO KNOWINGLY AND WILLFULLY NEGLECTS OR REFUSES TO 34 FURNISH ANY INFORMATION REQUIRED OR AUTHORIZED BY THIS TITLE OR BY 35 SECTION EIGHTY-ONE OF THE STATE FINANCE LAW, OR TO EXHIBIT RECORDS, 36 PAPERS OR DOCUMENTS AUTHORIZED BY THIS TITLE OR BY SECTION EIGHTY-ONE OF 37 THE STATE FINANCE LAW TO BE INSPECTED OR WHICH ARE REQUIRED TO BE EXHIB-38 ITED, SHALL BE GUILTY OF A CLASS A MISDEMEANOR.

4. ANY PERSON WHO KNOWINGLY AND WILLFULLY EXPENDS OR AIDS OR PARTIC-IPATES IN THE EXPENDITURE OF FUNDS FOR A PURPOSE OR IN A MANNER WHICH VIOLATES THE PROVISIONS OF THIS TITLE, OR WHICH VIOLATES THE PROVISIONS OF SECTION EIGHTY-ONE OF THE STATE FINANCE LAW, SHALL BE GUILTY OF A CLASS A MISDEMEANOR.

5. ANY PERSON WHO KNOWINGLY AND WILLFULLY FAILS TO RETURN OR AIDS OR
PARTICIPATES IN THE FAILURE TO RETURN TO THE STATE BOARD OR TO THE NEW
YORK STATE DELEGATE TO THE CONSTITUTIONAL CONVENTION CAMPAIGN FINANCE
FUND ANY FUNDS REQUIRED TO BE RETURNED TO SUCH BOARD OR FUND PURSUANT TO
THE PROVISIONS OF THIS TITLE OR SECTION EIGHTY-ONE OF THE STATE FINANCE
LAW SHALL BE GUILTY OF A CLASS A MISDEMEANOR.

6. ANY PERSON WHO FURNISHES ANY FALSE, FICTITIOUS OR FRAUDULENT
EVIDENCE, BOOKS OR INFORMATION TO THE STATE BOARD OF ELECTIONS UNDER
THIS TITLE OR INCLUDES IN ANY EVIDENCE, BOOKS, OR INFORMATION SO
FURNISHED ANY MISREPRESENTATION OF A MATERIAL FACT, OR FALSIFIES OR
CONCEALS ANY EVIDENCE, BOOKS, OR INFORMATION RELEVANT TO ANY AUDIT BY
THE STATE BOARD OF ELECTIONS OR KNOWINGLY AND WILLFULLY VIOLATES ANY

1 OTHER PROVISION OF THIS TITLE OR OF SECTION EIGHTY-ONE OF THE STATE 2 FINANCE LAW SHALL BE GUILTY OF A CLASS A MISDEMEANOR.

3 7. THE ATTORNEY GENERAL SHALL BE PRIMARILY RESPONSIBLE FOR INSTITUTING 4 AND CONDUCTING PROSECUTIONS UNDER THIS SECTION. IN SUCH CASES, THE 5 ATTORNEY GENERAL OR THE ATTORNEY GENERAL'S DEPUTY SHALL EXERCISE ALL THE 6 POWERS AND PERFORM ALL THE DUTIES WHICH THE DISTRICT ATTORNEY WOULD 7 OTHERWISE BE AUTHORIZED OR REQUIRED TO EXERCISE OR PERFORM; WHENEVER ANY 8 INSTITUTED BY THE ATTORNEY GENERAL, THE DISTRICT SUCH PROSECUTION IS 9 ATTORNEY SHALL ONLY EXERCISE SUCH POWERS AND PERFORM SUCH DUTIES AS ARE 10 REQUIRED OF THE DISTRICT ATTORNEY BY THE ATTORNEY GENERAL OR THE DEPUTY 11 ATTORNEY GENERAL. UNTIL AND UNLESS THE ATTORNEY GENERAL EXERCISES 12 UNDER THIS SECTION, AN OTHERWISE AUTHORIZED DISTRICT ATTORNEY AUTHORITY MAY INSTITUTE AND CONDUCT A PROSECUTION UNDER THIS SECTION. 13

8. WHENEVER THE ATTORNEY GENERAL IS AUTHORIZED UNDER THIS TITLE TO
PROSECUTE A CRIMINAL PROCEEDING ON BEHALF OF THE STATE BOARD, THE ATTORNEY GENERAL SHALL HAVE THE DISCRETION TO DELEGATE THE AUTHORITY TO
INITIATE OR CONDUCT ANY SUCH PROSECUTION TO THE STATE BOARD.

18 S 14-216. CIVIL PENALTIES. 1. ANY PERSON WHO FAILS TO FILE A STATEMENT 19 OR RECORD REQUIRED TO BE FILED BY THIS TITLE OR THE RULES OR REGULATIONS 20 OF THE STATE BOARD IN IMPLEMENTATION THEREOF SHALL BE SUBJECT TO A CIVIL 21 PENALTY, NOT IN EXCESS OF ONE THOUSAND DOLLARS, TO BE RECOVERABLE IN A 22 CIVIL ACTION BROUGHT BY THE STATE BOARD.

23 AGGREGATE AMOUNT OF EXPENDITURES BY A CANDIDATE AND SUCH 2. ΙF THE 24 CANDIDATE'S AUTHORIZED COMMITTEE EXCEEDS THE EXPENDITURE LIMITATIONS 25 CONTAINED TITLE, SUCH CANDIDATE SHALL BE LIABLE FOR A CIVIL IN THIS 26 PENALTY IN AN AMOUNT EQUAL TO THREE TIMES THE SUM BY WHICH SUCH EXPENDI-27 TURES EXCEED THE PERMITTED AMOUNT.

28 S 7. Paragraphs (ix) and (x) of subdivision (c) of section 1-c of the 29 legislative law, as added by chapter 1 of the laws of 2005, are amended 30 and a new paragraph (xi) is added to read as follows:

31 (ix) the adoption or rejection of any rule, regulation, or resolution 32 having the force and effect of a local law, ordinance, resolution, or 33 regulation; [or]

34 (x) the outcome of any rate making proceeding by any municipality or 35 subdivision thereof[.]; OR

36 (XI) THE ACTION OR INACTION OF A DELEGATE TO A CONSTITUTIONAL CONVEN-37 TION.

38 S 8. Section 1-f of the legislative law, as added by chapter 2 of the 39 laws of 1999, is amended to read as follows:

S 1-f. [Monthly registration] REGISTRATION docket. 1. MONTHLY REGIS-TRATION DOCKET. It shall be the duty of the commission to compile a monthly docket of statements of registration containing all information required by section one-e of this article. Each such monthly docket shall contain all statements of registration filed during such month and all amendments to previously filed statements of registration. Copies shall be made available for public inspection.

47 2. CONSTITUTIONAL CONVENTION DELEGATE CONTACT LOG. FROM THE DATE UPON 48 WHICH THE BOARD OF ELECTIONS CERTIFIES THE ELECTION OF DELEGATES TO THE 49 CONSTITUTIONAL CONVENTION TO THE DATE THE CONSTITUTIONAL CONVENTION IS 50 ADJOURNED, EACH LOBBYIST, AS DEFINED BY THIS ARTICLE, SHALL FILE A LOG 51 WEEK OF ALL CONTACTS WITH DELEGATES TO THE CONSTITUTIONAL CONVEN-EACH TION. SUCH LOG OF THESE CONTACTS SHALL BE SUBMITTED TO THE COMMISSION. 52 THE COMMISSION SHALL MAINTAIN A WEEKLY DOCKET WHICH SHALL CONTAIN ALL 53 54 LOGS, COPIES OF WHICH SHALL BE OPEN AND AVAILABLE FOR INSPECTION BY THE 55 PUBLIC.

1 S 9. Section 1-o of the legislative law is amended by adding a new 2 subdivision (e) to read as follows:

3 (E) ANY PERSON WHO FAILS TO FILE ANY LOG OF CONTACTS WITH DELEGATES OF 4 THE CONSTITUTIONAL CONVENTION AS REQUIRED BY THIS ARTICLE SHALL BE 5 SUBJECT TO A CIVIL PENALTY, NOT IN EXCESS OF TWENTY-FIVE DOLLARS FOR THE 6 FIRST OFFENSE. ANY PERSON WHO KNOWINGLY AND WILLFULLY FAILS TO FILE ANY 7 OF CONTACTS WITH DELEGATES OF THE CONSTITUTIONAL CONVENTION AS LOG 8 REQUIRED BY THIS ARTICLE SHALL BE GUILTY OF A CLASS A MISDEMEANOR FOR 9 THE SECOND OFFENSE AND EACH OFFENSE THEREAFTER.

10 S 10. The opening paragraph of paragraph (a) of subdivision 2 of 11 section 73-a of the public officers law, as amended by section 5 of part 12 A of chapter 399 of the laws of 2011, is amended to read as follows:

13 Every statewide elected official, state officer or employee, member of the legislature, DELEGATE TO A CONSTITUTIONAL CONVENTION, legislative 14 15 employee and political party chairman and every candidate for statewide elected office or for member of the legislature OR FOR DELEGATE TO A 16 CONSTITUTIONAL CONVENTION shall file an annual statement of financial 17 disclosure containing the information and in the form set forth in 18 19 subdivision three of this section. On or before the fifteenth day of May 20 with respect to the preceding calendar year:

21 S 11. The state finance law is amended by adding a new section 81 to 22 read as follows:

23 S 81. NEW YORK STATE DELEGATE TO THE CONSTITUTIONAL CONVENTION CAMPAIGN FINANCE FUND. 1. THERE IS HEREBY ESTABLISHED A SPECIAL FUND, TO 24 25 BE KNOWN AS THE NEW YORK STATE DELEGATE TO THE CONSTITUTIONAL CONVENTION 26 CAMPAIGN FINANCE FUND, IN THE JOINT CUSTODY OF THE STATE COMPTROLLER AND THE COMMISSIONER OF TAXATION AND FINANCE. THE MONEYS IN SUCH FUND MAY BE 27 28 STATE BOARD OF ELECTIONS ONLY AS PAYMENTS FOR PARTIC-EXPENDED ΒY THE29 IPATING CANDIDATES IN ACCORDANCE WITH THE PROVISIONS OF TITLE TWO OF ARTICLE FOURTEEN OF THE ELECTION LAW. 30

2. THE FUND SHALL BE KEPT SEPARATE FROM ALL OTHER FUNDS AND SHALL BE
32 CREDITED WITH ALL SUMS APPROPRIATED THEREFOR, ANY DONATIONS RECEIVED
33 PURSUANT TO SUBDIVISION FIVE OF THIS SECTION AND ALL EARNINGS ACCRUING
34 ON SUCH FUNDS.

35 3. AS SOON AS PRACTICABLE IN THE YEAR TWO THOUSAND FIFTEEN AND IN TIME FOR INCLUSION IN THE EXECUTIVE EXPENSE BUDGET IN EVERY YEAR THEREAFTER, 36 37 AND AΤ SUCH OTHER TIMES AS THE STATE BOARD OF ELECTIONS SHALL DEEM 38 NECESSARY, SAID BOARD SHALL SUBMIT ITS ESTIMATE OF THE AMOUNT OF PUBLIC 39 FUNDS WHICH WILL BE NECESSARY TO PROVIDE CANDIDATES FOR DELEGATES TO THE 40 CONSTITUTIONAL CONVENTION SUFFICIENT FINANCING FOR ELECTIONS IN THE NEXT YEAR IN WHICH ELECTIONS ARE SCHEDULED PURSUANT TO LAW, AND A RESERVE FOR 41 CONTINGENCIES. SUCH ESTIMATES SHALL BE SUBMITTED IN SUCH MANNER AND AT 42 43 SUCH TIMES AS TO ENSURE THAT SUCH AMOUNTS AS SHALL BE NECESSARY MAY ΒE 44 APPROPRIATED IN FULL BY THE BEGINNING OF THE FISCAL YEAR PRIOR TO THAT 45 IN WHICH ELECTIONS ARE SCHEDULED PURSUANT TO LAW AND THAT ADDITIONAL 46 AMOUNTS MAY BE APPROPRIATED AS NECESSARY.

47 4. THE MONEYS IN SUCH FUND SHALL BE PAID TO PARTICIPATING CANDIDATES 48 BY SAID BOARD UPON ITS CERTIFICATION THAT SUCH CANDIDATES QUALIFY FOR 49 SUCH FUNDS.

50 5. SAID BOARD SHALL BE EMPOWERED TO ACCEPT DONATIONS TO BE CREDITED TO 51 THE FUND. SAID BOARD MAY DEVISE SUCH METHODS OF SOLICITING AND COLLECT-52 ING DONATIONS AS IT MAY DEEM FEASIBLE AND APPROPRIATE.

53 S 12. If any item, clause, sentence, subparagraph, subdivision, 54 section, or any other part of this act, or the application thereof to 55 any person or circumstances, is held to be invalid, such holding shall 56 not affect, impair, or invalidate the remainder of this act, of the 1 application of such section or part of a section held invalid, to any 2 other person or circumstances, but shall be confined in its operation to 3 the item, clause, sentence, subparagraph, subdivision, section, or other 4 part of this act directly involved in such holding, or to the person and 5 circumstances therein involved.

6 S 13. This act shall take effect on the first of January next succeed-7 ing the date on which it shall have become a law; provided, however, 8 that the state commissioner of taxation and finance and the state comp-9 troller may promulgate any rules, regulations and forms necessary for 10 the implementation of section 81 of the state finance law, as added by

11 section eleven of this act on or before the effective date of this act.